

Volume I: Technical Application

# BEYOND TRAFFIC:

## The Smart City Challenge

PHASE 2



#SMARTCOLUMBUS

SUBMITTED BY: THE CITY OF  
**COLUMBUS**  
ANDREW J. GINTHER, MAYOR

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#SMARTCOLUMBUS

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Volume 1: Technical Application

# BEYOND TRAFFIC: The Smart City Challenge Phase 2

July 29, 2016

NOTE: The Technical Application and Budget Application update dated July 29, 2016 is based on current knowledge of partnership agreements. Any new partnership agreements may affect the technical proposal and budget requiring updates/amendments in the future.



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## ACRONYM LIST

AASHTO	American Association of State Highway and Transportation Officials
ACC	Adaptive Cruise Control
ADA	Americans with Disabilities Act
AEP	American Electric Power
AEV	Alternative Energy Vehicle
AEZ	Alternative Energy Zone
API	Application Programming Interface
APP	Software Application
ASD	After-market Safety Device
ATCMTD	Advanced Transportation and Congestion Management Technologies Deployment
ATIS	Advanced Traveler Information System
ATM	Automated Teller Machine
AV	Autonomous Vehicle
AWS	Amazon Web Services
BRT	Bus Rapid Transit
BSM	Basic Safety Message
CAMP	Crash Avoidance Metrics Partnership
CAV	Connected/Automated Vehicles
CCDI	Columbus Area Committee on Disability Issues
CCTN	Columbus Connected Transportation Network
CCTV	Closed Circuit Television
CDDC	Columbus Downtown Development Corporation
CEO	Chief Executive Officer
CFO	Clean Fuels Ohio
CMAQ	Congestion Mitigation and Air Quality
CMAX	Central Ohio Transit Authority Bus Rapid Transit Project Brand
CNG	Compressed Natural Gas
ConOps	Concept of Operations
COTA	Central Ohio Transit Authority
CRLC	Columbus Region Logistics Council
CSCC	Columbus State Community College
CTSS	Columbus Traffic Signal System
CV	Connected Vehicle
CVRIA	Connected Vehicle Reference Implementation Architecture
DATP	Driver Assistive Truck Platooning
DBE	Disadvantaged Business Enterprise
DMA	Dynamic Mobility Application
DoT	Department of Technology
DOT	Department of Transportation
DRS	Deployment Readiness Summary
DSRC	Dedicated Short Range Communications
DWDM	Dense Wave Division Multiplexing



EAM	Enterprise Asset Management
EAV	Electric Autonomous Vehicle
EHS	Enhanced Human Services
ESCO	Energy Services Company
ESID	Energy Special Improvement District
EV	Electric Vehicle
EVSE	Electric Vehicle Supply Equipment
FAST Act	Fixing America's Surface Transportation Act
FHWA	Federal Highway Administration
FMLM	First Mile/Last Mile
FMS	Freeway Management System
FSP	Freight Signal Prioritization
FTA	Federal Transit Administration
FTZ	Foreign Trade Zone
GCAC	Greater Columbus Arts Council
GCD	GridCommand Distribution™
GhG	Greenhouse Gasses
Ghz	Gigahertz
GIS	Geographic Information System
GM	General Motors
GMP	Gross Metropolitan Product
GPS	Global Positioning System
GTFS	General Transit Feed Specification
IAM	Identity and Access Management
ICT	Information and Communication Technology
IDE	Integrated Data Exchange
IEEE	Institute of Electrical & Electronic Engineering
IRB	Institutional Review Board
ISO	International Organization of Standardization
ITS	Intelligent Transportation Systems
JSON	Java Script Object Notation
LED	Light-Emitting Diode
LOE	Level of Effort
MDOT	Michigan Department of Transportation
MMITSS	Multi-Modal Intelligent Traffic Signal System
MORPC	Mid-Ohio Regional Planning Commission
MOU	Memorandum of Understanding
MPO	Metropolitan Planning Organization
NHTSA	National Highway Traffic Safety Administration
NOC	Network Operations Center
NTP	Notice to Proceed
NSF	National Science Foundation



OARS	Olentangy-Scioto-Interceptor Augmentation Relief Sewer
ODOT	Ohio Department of Transportation
ODW	Ohio Distribution Warehouse
OEM	Original Equipment Manufacturer
OSU	The Ohio State University
OSU CAR	The Ohio State University Center for Automotive Research
PACE	Property Assessed Clean Energy
PMBOK	Project Management Body of Knowledge
PMP	Program Management Plan
POS	Point of Service
PSP	Platoon Signal Priority
PUCO	Public Utilities Commission of Ohio
QA	Quality Assurance
QC	Quality Control
RDAC	Regional Data Advisory Committee
RDE	Research Data Exchange
REC	Renewable Energy Credits
RFID	Radio Frequency Identification
RFP	Request for Proposal
ROI	Return on Investment
RSU	Roadside Unit
RTCM	Radio Technical Commission for Maritime Services
SCC	Smart City Challenge
SCMS	Security Credential Management System
SDD	System Design Document
SDK	Software Development Kit
SEMP	Systems Engineering Management Plan
SID	Downtown Columbus Special Improvement District
SIT	Special Income Tax
SME	Subject Matter Expert
SPARC	Sports Pavilion and Automotive Research Complex
SPaT	Signal Phasing & Timing
SPR	Statewide Planning & Research
STEM	Science, Technology, Engineering, and Math
THEA	Tampa Hillsborough Expressway Authority
TIGER	Transportation Investment Generating Economic Recovery
TIP/STIP	Transportation Improvement Plan/State Transportation Improvement Plan
TMC	Traffic Management Center
TMDD	Traffic Management Data Dictionary
TNC	Transportation Networking Company
TOC	Time of Charge
TOU	Time of Use
TPIMS	Truck Parking Information and Management System
TRC	Transportation Research Center



TSP	Transit Signal Priority
TSPS	Truck Smart Parking Services, Inc.
TSPW	Transit Bus Stop Pedestrian Warning
UHF	Ultra-High Frequency
UPS	United Parcel Service
US	United States
USDOE	United States Department of Energy
USDOT	United States Department of Transportation
UTC	University Transportation Center
V2G	Vehicle to Grid
VRU	Vulnerable Road Users
WBS	Work Breakdown Structure
WIC	Women, Infants, and Children



## EXECUTIVE SUMMARY

The City of Columbus has aligned around a unified vision to lead the way in moving our country forward with the deployment of Smart City technologies. With the leadership of our public and private sectors, our community will leverage the investment of the US Department of Transportation (USDOT) and Vulcan into a total investment of \$140 million. This financial investment, coupled with industry leading expertise, will ensure effective deployment that can be shared with the world.

### THE SMART COLUMBUS VISION

Columbus strives to be the nation’s epicenter for Intelligent Transportation Systems (ITS) research, development and implementation. Our investment in ITS will create opportunities for economic development and job creation and provide ladders of opportunity for residents to better access jobs, fresh food, services, education, healthcare, and recreation.

Smart Columbus projects are universally accessible and sustainable. Our proposed approach is revolutionary in unprecedented data integration, autonomous and connected vehicle deployment, and implementing advanced sensors and cameras through smart intersections. With guidance from a diverse group of civic organizations, non-profits, business leaders, and senior technical advisors, our demonstration will be designed, developed, and implemented to provide optimal accessibility to youth, seniors, New Americans, and the Americans with Disabilities Act (ADA) community. We will also make significant investments, implement programs, and create incentives for transportation electrification and greenhouse gas reduction that will be environmentally and financially sustainable.

Columbus is the ideal testing ground to demonstrate the application of ITS. What works in Columbus—a model mid-sized American city—can be transferred to cities around the country. Columbus has a proven record of using transportation infrastructure to reconnect neighborhoods and unlock economic opportunity, with previous projects like the Long Street Bridge and Cultural Wall that have become a national template.



*Columbus residents and visitors enjoy the Long Street Bridge Cultural Wall.*

### THE COLUMBUS WAY

In Columbus, we have built an unprecedented culture of collaboration. By knocking down silos and building partnerships, Columbus has quickly become one of the fastest growing cities in the country, leading the Midwest in job and wage growth.

Through collaboration, Columbus has delivered complex projects like the \$35.5 million Scioto Greenways downtown riverfront restoration and the \$387 million Olentangy-Scioto-Interceptor Augmentation Relief Sewer (OARS) Deep Sewer Tunnel. Notably, our Public Private Partnership Program has managed 102 projects, representing \$284.5 million in public investment while leveraging \$2.58 billion in private investment.

This history of collaboration enables our commitment: Columbus will make Smart Columbus the most coveted model for every other city.

### LEADERSHIP AND EXPERTISE

The leadership of Mayor Andrew J. Ginther and the community, social services, advocates, industry leaders, and experts that comprise the Smart Columbus Team position Columbus to lead the country in adopting Smart City technology. We will leverage the resources, capabilities, and expertise of the private sector through the deep engagement of the Columbus Partnership, a non-profit corporate CEO group



representing more than 50 of our region's largest employers and most significant institutions. The Smart Columbus Program Office will provide program management, acceleration and deployment of advanced transportation technologies, and collection of project data and its dissemination at our annual Mid-Sized City Forum. The Program Office will leverage the resources, capabilities, and expertise of the following in-market partners:

**The Ohio State University** – One of the largest public research universities in the country with renowned expertise in ITS research and development, and the City's largest employer with one of the largest student populations in the United States, the university maintains its own transportation infrastructure and electric grid, maximizing our opportunities for scalability.

**Battelle** – The world's largest non-profit research and development organization serves as a portal to six national laboratories leveraging world-class facilities and expertise in vehicle electrification and autonomy, and smart grid research.

**American Electric Power** – America's largest public utility company is a catalytic force in moving electric vehicle and smart-grid technologies forward.

**Honda of America, Mfg.** – As the hub of Honda of America's Manufacturing and Research and Development operations, Honda offers expertise in advanced electric vehicles and commitment to our comprehensive, connected vehicle (CV) deployment.

**IBM** – Global center to IBM's advanced data analytics operation, Columbus gains access to the best of IBM - the power of Watson, Weather Channel, IBM Cloud Platform, Smart Cities global network, and IBM proprietary Design Thinking framework.

**Nationwide Insurance** – One of the largest insurance and financial services companies in the world located in the heart of Downtown presents a corporate campus test bed for smart technology and transit adoption.

**Alliance Data Systems (ADS)** – The engine behind loyalty and marketing campaigns for more than 1,000 consumer-facing companies worldwide offers relevant support for mobile fare payment and transit pass adoption.

**L Brands** – Fashion retail parent company of global brands Victoria's Secret and Bath and Body Works, L Brands maintains full service logistics operations and significant employment centers that will engage in the autonomous vehicle demonstration.

**Cardinal Health** – The leading healthcare logistics company provides access to a national network of distribution centers, transportation logistics, and regulatory experience.

## STATE-OF-THE-ART FACILITIES AND NETWORKS

The City of Columbus recently completed construction of an advanced Traffic Management Center (TMC), and construction is presently underway with a multi-year, \$76 million investment in the Columbus Traffic Signal System (CTSS). The CTSS, TMC, and Ohio Department of Transportation (ODOT) TMC will provide advanced and integrated traffic management coordination between Columbus, ODOT, and regional communities.

The Transportation Research Center (TRC) is a world-leading provider of vehicular testing services, providing research and development (R&D), compliance, and certification testing for vehicles and components for crash-, emissions-, dynamic- and durability-testing. The TRC will contribute its wealth of expertise in advanced automotive research to the Smart Columbus Demonstration.

The Central Ohio Transit Authority (COTA) Cleveland Avenue Bus Rapid Transit (CMAX BRT) will connect more than 200,000 residents and 170,000 jobs between Downtown and major residential, retail, and employment centers along the City's second busiest transit route, including three of our most challenged neighborhoods. COTA and Smart Columbus will expand the CMAX project to bring a smart payment system to cash-based households, integrated trip planning for first mile/last mile connections, and Wi-Fi along the route.



## CHALLENGES: A TALE OF TWO CITIES

While Columbus is a city of great opportunity, we have our challenges as well. Like many cities, we wrestle with aging infrastructure while striving to satisfy growing demands for improved quality of life. Every family in every neighborhood should be able to share in the Columbus success story, but they do not. We must begin by providing our youngest residents the opportunity to celebrate their first birthdays. Infant mortality rate is widely viewed as the global standard for measuring the health of a community. Absence of reliable access to prenatal care and challenging social and economic conditions are key contributors. Our goal is to reduce infant mortality in Franklin County by 40 percent and cut the racial health disparity gap in half by 2020. Through the smart corridors and smart payment projects to improve mobility, Smart Columbus will improve access to pre-natal care and provide ladders of opportunity for residents to address these challenging social and economic conditions. In addition, the City and CelebrateOne are collaborating with COTA for the USDOT Rides to Wellness grants. These additional funds enhance our efforts to increase healthcare access for mothers and lower rates of infant mortality.

## SMART COLUMBUS GOALS

After engaging residents, leaders, experts and others, five major goals emerged to provide ladders of opportunity for all:



- Improve **access to jobs** through expanded mobility options in major job centers
- Enhance visitor experience by better **connecting our visitors** to transportation options
- Stimulate regional economic prosperity and compete globally through **smart logistics**
- Better **connect Columbus residents** to safe, reliable transportation that can be accessed by all
- Support the efficient movement of people and goods through **environmentally sustainable practices**.

## A DISTRICT APPROACH

The Smart Columbus Program will pilot projects in four distinct types of districts (residential, commercial, downtown, and logistics), as illustrated in Figure ES-1. Each district has challenges unique to its type, yet universal to similar commercial, residential, downtown, and logistics districts across Columbus and the Nation. Our challenges, solutions, results, and performance measures are scalable to other neighborhoods and transferable to other mid-sized cities through our Mid-Sized City Forum.

## DEPLOYING SMART TECHNOLOGY SOLUTIONS

To tackle the challenges faced by our community, the Smart Columbus Program creates a menu of smart solutions built upon four core-enabling technologies:

The **Connected Columbus Transportation Network (CCTN)** will include traffic signals equipped with traffic detection and sensors, dedicated short range communications (DSRC), and pedestrian detection; truck loading zones with machine vision detection of zone availability; multi-function kiosks with transit service information, first/last mile and bike/vehicle sharing information, parking availability, and Wi-Fi hot spots.





The **Integrated Data Exchange (IDE)** open data environment will contain data from many different sources consistent with the USDOT's Research Data Exchange (RDE) concept. IDE will generate performance metrics for program monitoring and evaluation; transparently serve the needs of public agencies, researchers, and entrepreneurs; provide practical guidance and lessons learned to other potential deployment sites; and assist health and human service organizations to provide more effective services to their clients

A suite of applications and processes will deliver **Enhanced Human Services (EHS)** to residents and visitors. These applications include a multi-modal trip planning application, a common payment system for all transportation modes, a smartphone application for assistance to persons with disabilities, and integration of travel options at key locations for visitors.

Smart Columbus will expand the Smart Grid program and increase **Electric Vehicle (EV) Infrastructure**. We will install vehicle-to-grid capability for charging stations to manage grid resources, provide assistance and analysis to fleet operators to encourage EV adoption, increase investment in EV charging, create customer education programs such as ride-and-drive events with local dealers, and create an EV cooperative buying program.



## OUTCOMES: A SAFER, MORE MOBILE AND ACCESSIBLE CITY

The Smart Columbus Program will reorient our city to deliver more diversified and nimble transportation options by using data and a connected, complete network that supports healthy activity and a more attractive and sustainable urban form.

We will use data to measure our progress toward improved safety, enhanced mobility, and enhanced ladders of opportunity, and address climate change so we can make adjustments and replicate our success. Benchmarking data regarding infant mortality, poverty, and unemployment rates will be used to monitor behavioral change, so we can move our more challenged neighborhoods into prosperity.

## SMART COLUMBUS SUSTAINABILITY

To accelerate and sustain Smart Columbus, the City has established a non-profit composed of some of the world's most talented individuals, companies, and organizations. Managed by the Smart Columbus Board of Trustees, the non-profit will provide the resources and guidance needed to implement Smart Columbus initiatives, including an Acceleration Fund, which will nearly triple the \$50 million in USDOT and Vulcan funding.

## CONCLUSION

Smart Columbus leverages existing Columbus strengths while acknowledging there is much more work to be done. Just as we will provide a single mom with tools to connect with a better job and improve her health and that of her children, we will provide companies easier access to available parking and efficient delivery of their goods. We will make it easier for visitors to take advantage of Columbus' many popular attractions.

In all cases, we are committed to providing sustainable transportation services that reduce our carbon footprint and preserve our planet. We will implement our vision that encourages walkable, bikable, and transit-friendly communities that lift up all residents – whether a senior desiring to age in place, a Somali immigrant or single dad wanting to earn a decent wage, or Asa Burke, a scholar-athlete at East High School, who maintains a 4.3 GPA despite the daily struggle of having no dependable means of transportation.



# Smart Columbus Site Map

- BRT Transit Line with TSP
- BRT Transit Line w/o TSP
- - - DSRC Equipped Corridors
- - - Trucking Corridor
- - - Bus Line to Rickenbacker
- Traffic Signal
- ◻ Bus Stop

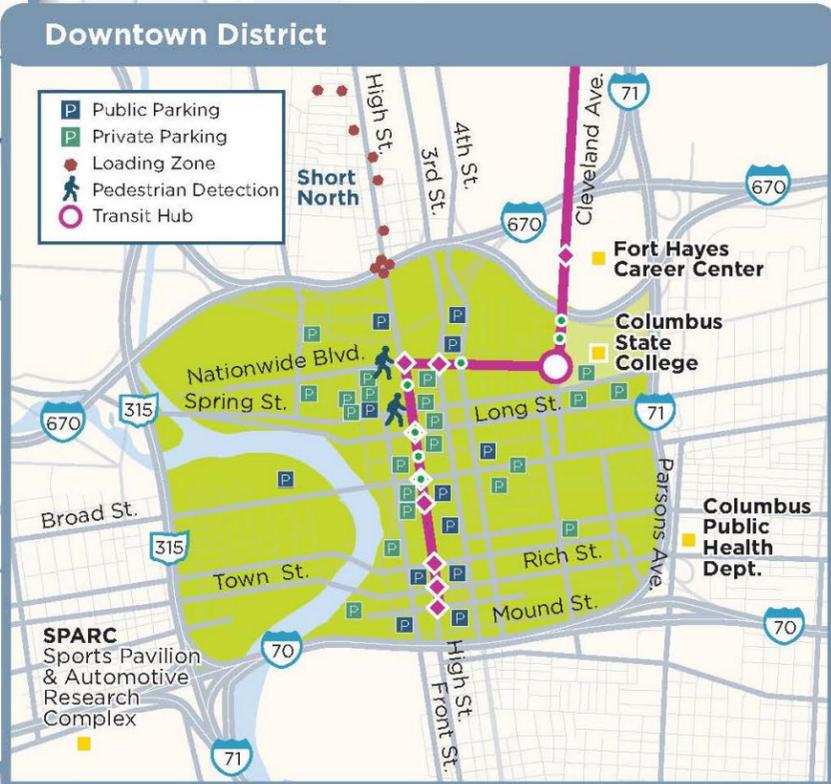
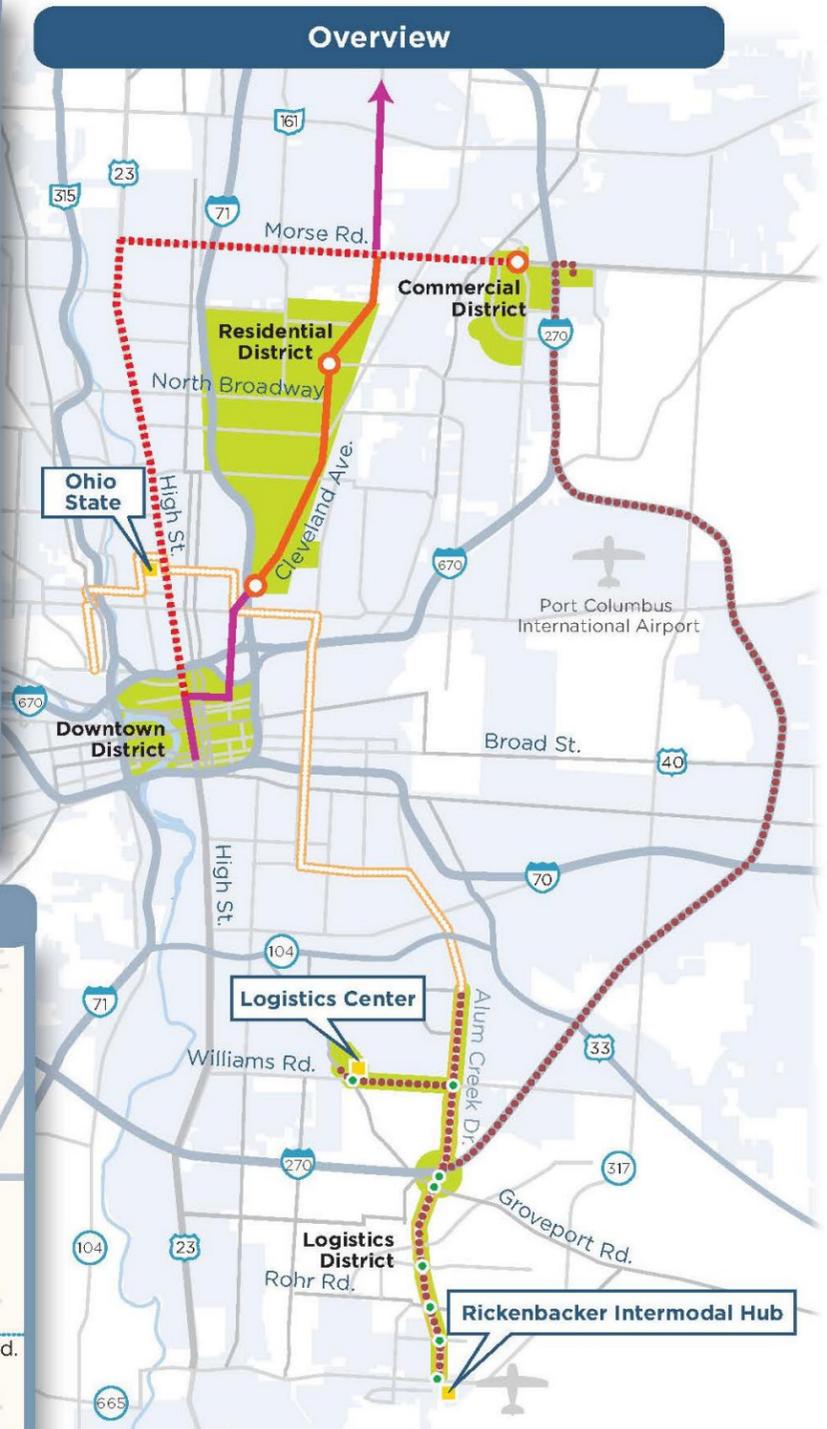
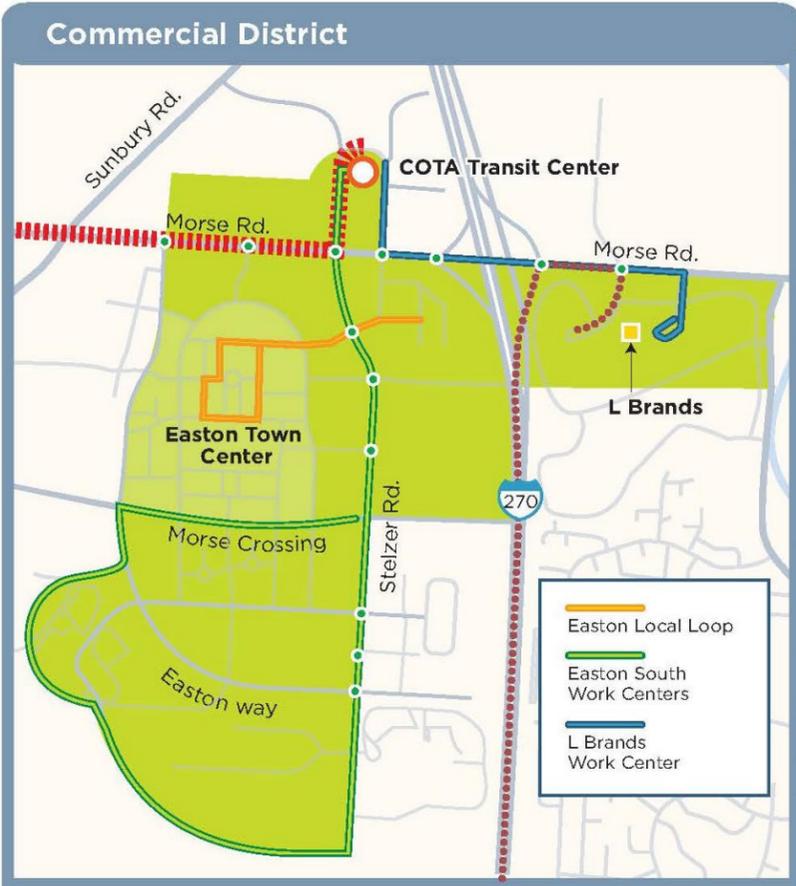


Figure ES-1. Smart Columbus will demonstrate our technology solutions in four distinct districts.



## A TECHNICAL APPROACH

### A.1 IMPLEMENTING AND OPERATING THE DEMONSTRATION PROJECT

Columbus, like many cities across the United States, faces challenges resulting from rapid population growth and the resulting strain placed on aging infrastructure to meet growing demands for health, mobility, safety, security, prosperity, environmental sustainability, and access to opportunities. Facing limited resources, smart cities must seek innovative solutions to address these challenges, make wise investment decisions, and empower residents to help create a new, smarter, and sustainable urban environment.

This proposal describes how the City of Columbus plans to overcome the challenges of the future to create a healthy, prosperous, and attractive city by:

- Addressing the mobility needs of underserved communities by providing safe, reliable, and affordable transportation alternatives, while also investing in those communities to provide ladders of opportunity.
- Implementing innovative technology-based solutions to connect all residents to information and opportunities, and breaking down digital barriers.
- Using technology to manage traffic congestion and improve safety with demonstrable results.
- Providing a robust data management approach that supports efficient data collection, storage, aggregation, and analysis; data-driven decision-making; performance measurement and monitoring; evaluation; and an open, entrepreneurial environment for app developers and researchers.
- Supporting smart grid applications, vehicle electrification, and technological solutions to reduce greenhouse gases.

We offer an integrated, holistic approach that will be a model for other aspiring smart cities throughout the world. More than a demonstration of technologies, Smart Columbus offers a sustainable deployment and an operational environment that will yield continuing benefits in the four desired outcome areas – improving safety, enhancing mobility, creating ladders of opportunity, and addressing climate change.

**Smart Columbus is both scalable and replicable.** The City of Columbus plans to leap-frog fixed rail through enhanced connections to transit and First Mile/Last Mile (FMLM) services. The City and its partners consider their bus-based mass transit system to be an opportunity to demonstrate emerging mobility solutions at a lower cost and with greater flexibility than a fixed-rail infrastructure. Many mid-sized cities cannot afford light rail, or the feasibility of deploying such a system is limited. The Smart Corridor solution will be a model for similarly situated cities throughout the United States. Bus Rapid Transit (BRT) deployments and technological breakthroughs involving vehicle connectivity and automation have the ability to maximize existing infrastructure.

**Smart Columbus is innovative, but also implementable.** Smart Columbus offers a robust and proven technical approach, based on application team experience of the Tampa and New York City Connected Vehicle (CV) Pilots, the Safety Pilot Model Deployment, the Ann Arbor Connected Vehicle Test Environment, the Florida Department of Transportation Automated Vehicle Support Program, and myriad other highly complex and innovative programs. Lessons learned and innovations developed under those programs will be leveraged for Smart Columbus.

Our Vision and Technical Approach are described below.

#### A.1.1 Implementing Smart Columbus

The Smart Columbus enabling technologies will build the foundational elements of a smart city – a connected transportation network, an integrated data exchange (IDE) network, systems to support enhanced human services (EHS), and infrastructure elements to support smart grid and vehicle electrification solutions. These foundational elements will be applied to meet urban challenges that are



faced by most cities, defined by neighborhoods and districts – residential, commercial, downtown, and logistics – to deliver the outcomes desired by the United States Department of Transportation (USDOT).

Figure A-1 depicts how the Smart Columbus vision elements remain guideposts to the Smart Columbus program.



SmartCois-14

Figure A-1. The four core enabling systems support the Smart Columbus Vision and lay the foundation for deployment and repeatable implementation of smart solutions.

### Deployment District Approach

Smart Columbus is taking a district-oriented approach to best demonstrate effective implementation of a comprehensive portfolio of connected technologies that solve focused, relatable city issues and enhance mobility across the region. Four deployment districts have strategically identified based on the unique problem-solving proving ground they offer creating a foundation of nationwide scalability: Residential (Linden) – high opportunity and economic need neighborhood; Commercial (Easton) – high traffic retail destination and jobs center; Downtown – regional economic anchor and growing urban core; and Logistics (Rickenbacker) – 10th most active logistics hub in the heart of America.

#### A.1.1.1 Enabling Technologies

The City of Columbus is approaching the implementation of our Smart Columbus Program by evaluating the needs of our community; documenting the challenges and needs faced by our residents, businesses,



and visitors; and creating a menu of solutions and a suite of applications that can be developed and deployed to meet those needs. This menu of solutions is built upon four core-enabling systems:

- Data Collection – Deployment of the (1) Connected Columbus Transportation Network (CCTN)
- Data Storage and Analysis – Creation of an (2) Integrated Data Exchange (IDE), an open environment for data capture, management, warehousing, analysis, decision support, distribution, research, and application development
- Data Usage for Smart Choices – Implementation of a suite of technologies to (3) enhance human services and (4) establishment of smart grid solutions and vehicle electrification capabilities.

These four enabling technologies serve as the building blocks for the district deployments described later in this section.

**A.1.1.1.1 Connected Columbus Transportation Network (CCTN)**

An effective transportation system connects people, goods, and services using a variety of modes and technologies. In addition to quality physical infrastructure, optimal performance of transportation networks is increasingly reliant upon the quick and accurate collection and dissemination of large amounts of data.

*Table A-1. CTSS fiber strand count allocation.*

	Backbone	Trunk
CTSS	24	24
Central Ohio Transit Authority (COTA) Operations	12	12
ODOT Freeway Management System (FMS)	12	12
ODOT Division of Information Technology	12	
Other Agencies	24	
Columbus Department of Technology	144	72
Available Fibers for Future Use	60	24
<b>Total</b>	<b>288</b>	<b>144</b>

The foundation for development and implementation of the CCTN is based on the Columbus Traffic Signal System (CTSS) program, currently underway, which will interconnect the Central Ohio Region’s 1,250 traffic signals and provide capability for uniform signal coordination throughout the system. The CTSS communications network comprises 565 miles of single mode 144 strand fiber optic trunk cables along arterial routes and 288 strand fiber optic backbone cables along freeway infrastructure (Table A-1). Network access and redundancy is enhanced by connections to additional fiber optic networks of various regional agencies and wireless Ethernet equipment is extensively used for “last mile” applications.

While the CTSS signal operations network supports GigE connectivity between sites, the overall network is capable of moving vast amounts of data throughout the region. Fiber use was thoughtfully engineered in order to maximize the potential data throughput and the accessibility within the City and to regional agencies. Using dense wave division multiplexing (DWDM) technology, the City’s Department of Technology (DoT) can achieve data transfer rates of up to 100 Gbps using a single pair of fibers. The network layout was designed to be readily accessible for future expansion opportunities for new equipment and technologies.

Construction of the CTSS network is 60 percent complete and the entire CTSS network is anticipated to be operational by 2020. The long-term vision of the CCTN is to leverage the CTSS network investments made by the region and deploy dedicated short-range communications (DSRC) at every signalized intersection along key corridors in the City that connects people, goods, and services. The initial deployment consists of 175 traffic signalized intersections (sites) covering approximately more than 50 miles of roadways, including new roadway fiber or wireless backhaul along two roadways serving Rickenbacker Inland Port. Alum Creek Drive from Performance Parkway south to Rickenbacker Freight Intermodal Facility and Williams Road from Groveport Road to Alum Creek Drive will be added to enable our proposed logistics solutions. All other deployments using fiber connectivity are covered by the City’s ongoing CTSS project. Refer to Figure ES-1 displaying the proposed locations of the DSRC deployments. Using citywide fiber to connect people, vehicles, and infrastructure the CTSS provides:

- Enhanced emergency vehicle preemption passage through intersections
- Transit vehicle priority to improve transit operations
- Real-time changes for signal timing based on data
- Pedestrian detection and red light safety applications for improved intersection safety



- Increased school zone visibility to CVs
- Transit and travel options for increased resident connectivity
- Increased student access to education tools.

### Smart Columbus Traffic Signal Corridor Deployments

The City of Columbus will deploy a number of technologies and components throughout the City in the districts and along major routes connecting those districts. These deployments will include a consistent set of components as presented in Table A-2.

*Table A-2. CCTN infrastructure deployments.*

Deployment	Component
Traffic Signal	Traffic detection and sensors, DSRC radio, I-SIG DMA suite (including MMITSS, Transit Signal Priority (TSP), Freight Signal Prioritization (FSP) and PREEMPT), Global Positioning System (GPS) correction, pedestrian detection (pedestrians in the roadway and pedestrians awaiting transit service)
Truck Loading Zone	Machine vision detection of loading zone availability
Multi-Modal Service Hubs	DSRC radios, security features (CCTV and emergency call boxes), multi-function kiosks (transit service information, FMLM and vehicle sharing request and information, bike sharing information, parking availability information), automated shuttle service request in Easton hubs, Wi-Fi hot spots, pedestrian detection (collision avoidance and demand detection), traveler information, and payment kiosks
Street Lights	Wi-Fi with traveler information “splash” or welcome page in the Hudson Street Corridor smart street lights demonstration
School Zones	Reduced speed via DSRC

### Other Data Sources and Sensors

The CCTN also includes sensor data (Table A-3) being generated by different network nodes for application use and analysis within the IDE. These sources will provide a large data stream into the IDE to improve safety, mobility, and operations in the City of Columbus.

*Table A-3. CCTN data sources and sensors.*

Data Source	Data
DSRC	Basic safety message, vehicle situation data, transit, freight, emergency vehicle priority call history. Equipped vehicles, including 350 COTA buses, 400 City vehicles, 50 trucks, 100 school buses, and 2,100 vehicles from volunteers
MobileEye Shield+	Collision avoidance warning data and pavement marking, signing, and pothole roadway condition data from 350 COTA buses
Peloton	Platoon frequency and truck travel times
Parking Operators	Parking lot occupancy and availability; parking space occupancy and availability at on-street and off-street parking facilities
Traffic Signals	Traffic signal state (SPaT broadcast capture and Econolite developed Centracs module), traffic detector data and signal system operations data
Partner Agencies (ODOT, COTA, etc.)	Operations data (speeds, volume, occupancy) and transit-specific data (vehicle location, bus occupancy)

All in-vehicle DSRC devices will utilize the Security Credential Management System (SCMS) developed by the USDOT and the Crash Avoidance Metrics Partnership (CAMP). This use of the SCMS will ensure authenticity of all data exchanges. All devices will be procured from vendors who have been certified through the USDOT process for these devices, which will help to ensure that the devices meet the standards developed for the CV program. The data collected from the roadside units will be transmitted over the CCTN network to the City of Columbus IDE.



A.1.1.1.2 Integrated Data Exchange

At the center of Smart Columbus is the Integrated Data Exchange (IDE), a new dynamic platform that integrates data from deployed smart technologies and community partners offering an open-source information portal intended to facilitate better decision-making and problem solving for all users. The collaborative culture and data analytics landscape in Columbus makes us uniquely capable of building a cutting-edge data exchange that will set the standard of excellence for cities to emulate around the country.

As a public entity, we recognize the importance of maintaining data integrity and protecting the public’s interest, as well as providing a critical resource to measuring and understanding the benefits, costs, issues, and potential resolutions for replication of the success of Smart Columbus elsewhere. Within the Columbus Region, our Mid-Ohio Regional Planning Commission (MORPC), in collaboration with several public and private sector partners, has provided leadership in data fusion and has initiated developing a Regional Data Laboratory for Central Ohio. Smart Columbus will build upon this framework and expand this concept to an even wider range of data sources and integration opportunities. While leveraging the Elastic Cloud Services of Amazon Web Services (AWS), the Smart Columbus IDE will consist of three primary components as illustrated in Figure A-2.

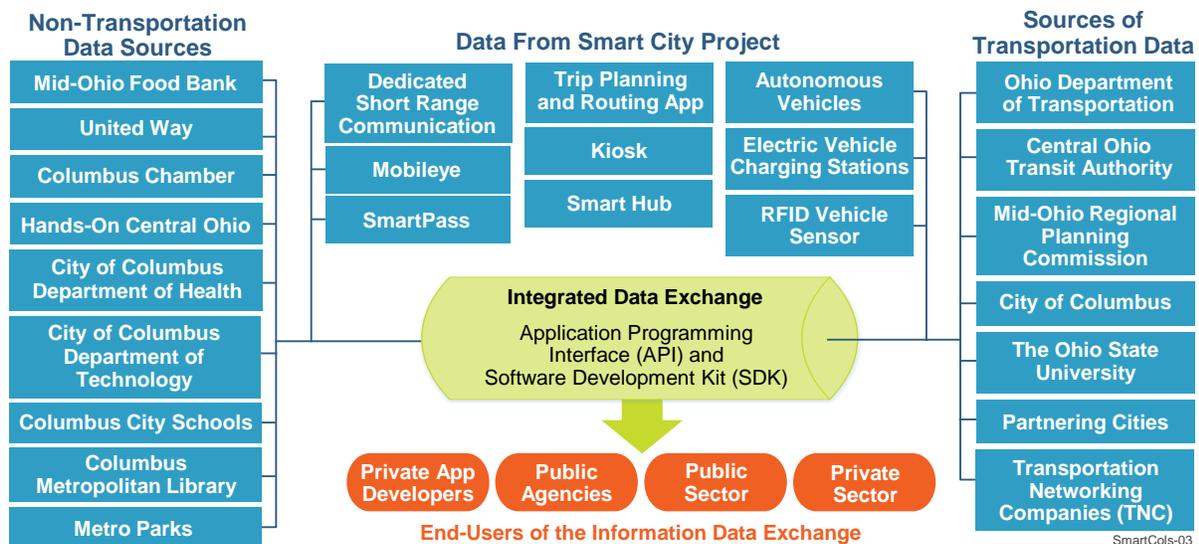


Figure A-2. The Smart Columbus Integrated Data Exchange consists of three primary components.

As efforts by the Regional Data Laboratory for Central Ohio have begun similar architectural considerations for managing such a data resource, this approach incorporates additional policies and methods to respond to the incredible data volume and velocity, as well as provide standards and templates for future smart city initiatives.

**IDE Architecture Framework**

The IDE is an approach to data that includes policies, governance, and security. It consists of a set of data repositories that will be created to support data discovery, analytics, ad hoc investigations, and reporting. The IDE contains data from many different sources while being consistent with the USDOT’s Research Data Exchange (RDE) concept—authorized stakeholders are free to add data to the data exchange and access any updates as necessary.

The IDE has capabilities that ensure the data is properly documented, cataloged, and protected so subject matter experts (SMEs) have access to the data they need for their work. This design point is critical because SMEs play a crucial role in ensuring that analytics provide worthwhile and valuable insights at appropriate points in the organization’s operation.

The IDE will classify data as it enters the IDE to support both privacy principles and user needs. For example, when data is classified as highly sensitive, the IDE can enforce dynamic security of the data on



ingestion into the IDE. Less sensitive data may be stored in secured repositories in the IDE, so it can be used for production analytics. However, when it is copied into sandboxes for analytical discovery, it will be masked to prevent data scientists from seeing the values, without losing the referential integrity of the data. Behind the scenes, the IDE is auditing access to data to detect if users are accessing more data than is reasonable for their role. The IDE opens access to the defined user community of the system and the associated approved partners and developers, but only for legitimate and approved purposes.

### Cloud Hosting Platform (Amazon Web Services)

Deploying a smart city generates a significant amount of data and requires application hosting and content delivery infrastructure. AWS is a comprehensive cloud-computing platform designed to address these needs while being as scalable and elastic as possible. Where applicable and economically responsible, AWS will be used to provide the storage and content delivery infrastructure necessary to support the Columbus IDE, as well as application hosting services, management identification, and integration services to connect disparate data sources (non-traditional transportation data) with real-time information and analytics. Over the years, the City has been extremely successful in scaling cloud resources both on premise and off to maintain budget and availability for over 550 servers and 1.8 petabytes of data. To sustain the program beyond the demonstration, the City will evaluate long-term solutions, ownership, and management that will be informed through the deployment.

### Urban Analytics

The IDE provides an open analytics platform that will allow the City to collaborate with other stakeholder organizations and capture and integrate all current and future forms of structured and unstructured data to achieve network-wide visibility. To support advanced urban analytics, the IDE will leverage standards-based integration of traffic and road information capture systems from various technologies and other ITS detection devices; provide built-in capabilities for using weather, social media, and other Internet information; and support the Traffic Management Data Dictionary (TMDD) data format as a standards-based data exchange. Finally, the IDE will provide a Service Oriented Architecture-based platform for any involved stakeholder to engage in big data analytical efforts on both real-time and archived data, to investigate system performance and the impacts to the community and region. The urban analytics services will also support many non-transportation-specific applications as discussed in the integrated solutions.

### Smart Columbus Developer Network

A Developer Network will be created that promotes extensive use of the IDE by both the public and private sectors. The City will encourage third-party developer participation from the wider technology community to create innovative applications and services that leverage the data generated through the Smart Columbus Program. The City will launch a developer platform on <http://developer.columbus.gov> and continue to expand the City's existing Open Data Portal <http://data.columbus.opendata.arcgis.com/>. The developer network will include tools and resources including software development kits (SDK), interfaces, sample code, and full documentation to support the developer community. In addition, a number of community events, specifically hackathons, will be hosted to bring innovations to the Smart Columbus Program. The Developer Network and companion outreach efforts are critical to ensuring data availability to entrepreneurs, residents, evaluators, and researchers, along with the local and regional agencies involved.

MORPC, working with Columbus and other regional private and public partners, is hosting a Regional Data Laboratory. The Data Laboratory's multidisciplinary oversight committee, the Regional Data Advisory Committee (RDAC), comprises representatives from multiple data providers. The RDAC can provide governance in developing and maintaining the IDE. Through its regional partner MORPC, the IDE will be used to generate metrics on achieving data-driven Smart City Challenge goals, as well as goals related to sustainability and quality of life initiatives. The regional team will use data to measure success, identify weaknesses, and predict trends to prevent failure.

#### A.1.1.1.3 Enhanced Human Services

Successful communities are dense, active, and, most importantly, connected. Dense communities bring together enough residents to support neighborhood retail, viable community institutions, and relationships among neighbors. Activity brings people out of their homes into common spaces and onto the streets.



Block parties, cookouts, attendance at school events, and other gatherings build a sense of community and connection to one another. Activity requires density and safety. Beyond these interpersonal connections, being physically and electronically connected is necessary for the economic sustainability of a neighborhood. Without access to jobs, food, and modern technology, existing residents with options will leave and the neighborhood will become a residence of last resort, rather than a neighborhood of choice.

The suite of enabling technologies, processes, and implementations within the EHS group are based on information and research conducted by USDOT as well as our own experiences with the objective of promoting a dense, active, and connected community for residents and visitors in the City of Columbus. EHS requires coordination and collaboration across entities to successfully overcome the many inherent challenges and barriers to implementation. However, if successful, EHS transportation programs can have a noticeable impact on the mobility of persons with and without disabilities, as the overall transportation experience, from trip planning through trip conclusion can be streamlined, integrated, and require less effort by the traveler. In terms of technologies, these are the “building blocks” for applications and systems.

The City of Columbus is proposing four technology and process components that collectively will serve to provide EHS to residents and visitors. These components include: (1) a multi-modal, comprehensive trip planning application; (2) an integrated and common payment system throughout all transportation systems in the City; and (3) adoption of a smartphone application from our International City Partner in Barcelona to provide assistance in travel to persons with disabilities.

### Multi-Modal Trip Planning Application

Leveraging a potential partnership with Moovel and the City’s rich geographical information system (GIS) datasets, we are proposing to adapt and adopt their existing multi-modal trip planning application for the City as illustrated in Figure A-3. Currently, this application provides turn-by-turn voice guidance for driving with real-time traffic; incidents and road closures; routing and guidance for transit, pedestrian, and ride-share; and works in an offline mode to enable underserved communities access to travel guidance without a cellular data plan. Users can compare travel options across modes and plan their travel based upon current traffic conditions and availability of services. In Columbus, we will enhance this existing application by adding multi-lingual information on parking availability, ridesharing options, and car/bicycle sharing options. One key enhancement to the application will be the addition of information that will allow for the coordination of travel across these modes (i.e., availability of services across the entire trip), and will use “Connection Protection” type features to ensure that those services remain available and accessible once a trip has been selected.



Figure A-3. City of Columbus looks to partner with Moovel to provide comprehensive traffic data information and a customized multi-modal trip planning application to enhance human services.

### Integrated Common Payment System

One of the most significant barriers to transportation has nothing to do with infrastructure. Our cash-based families face a hardship beyond proximity to mobility options. Their hardship is availability of payment transactions options. Without a debit or credit card, our cash-based families are at a severe disadvantage. Transportation providers like car2go, Uber, Lyft, and others require card-based accounts. In addition to limited choices, those residents living on cash become targets for muggings and other theft crimes. New Americans and our undocumented residents and many residents in neighborhoods like Linden, which is a banking desert, need a solution. Our integrated common payment system will allow travelers to use one card for all of their transportation options. The City will partner with Alliance Data Systems, Central Ohio Transit Authority (COTA), and our regional banks to create an integrated payment system based upon the use of a dual chip, customized prepaid card. These cards will have the ability to



have cash encumbered on them at those banks, their Automated Teller Machines (ATMs) throughout the City (Figure A-4). Part of the City's request of our banking partners will be to infuse ATMs and a branch office into specific neighborhoods, and Linden is our first target. This will assist our cash-based households to have access to the tools they need to access our new transportation model, just like anyone else in Columbus.

The efficiency of this program is not solely focused on our residents and families in need of card payment options, it will be available to our residents who now use their smartphones to submit payment. COTA has agreed to upgrade their fareboxes across their entire fleet to accept additional forms of payments, including magnetic stripes, contactless payment, and mobile applications. For the first time in Columbus, residents will be able to plan, book, and pay for all aspects of their travel with a common payment system, regardless of their financial status. The data generated from usage statistics of these cards as well as pay for services and goods using the same card will provide our city with additional information we will be integrate into our outreach and informational campaigns.



Figure A-4. City of Columbus looks to partner with the local financial sources industry, Alliance Data Services, COTA, and others to create a common payment system for transit as well as goods and services for cash-based households.

Quite simply, for transportation to provide a catalyst for climbing the ladder-of-opportunity, we must look beyond transporting residents from Point A to Point B. What is needed is to tie the transportation system, and its use thereof, to the ultimate purpose of the trip and the resulting goods and services that are provided to the traveler when they arrive at their destination. Our vision is to grow the common payment platform and the trip planning software to ultimately include the ability to pay for non-transportation goods and services at their destination as well. This will provide the City a cross-section of data that can be used by social services or healthcare providers to enhance their services provided to their clients.

In an effort to create this impactful data, the City has partnered with Sidewalk Labs, and will utilize their Flow platform to allow private service providers, such as doctor's offices, to assist their patients in planning and paying for travel to their office as well as providing automated notification if publically assisted transportation trips are missed or not taken so that follow-up with those patients can be made. This will be a critical component and feature that will be used to reduce infant mortality, which is four times the national average in our challenged residential neighborhoods, particularly as a result of lack of access to consistent prenatal care. This enables truly "connecting" the residents in disadvantaged to service and better health through the transportation system.

To amplify the ladders of opportunity objectives of the Smart Columbus application, the business community has committed to deploy a set of focused strategies that connect Linden residents with job opportunities in the Smart Columbus deployment zones.

### Inclusive Mobility

In an approach to enhance the mobility of all residents, Smart Columbus will pilot the deployment of a new mobile device application that enables those with cognitive disabilities. Barcelona, one of Columbus' international smart city partners, connected us to Mass Factory Urban Accessible Mobility, a high-tech company spin-off of Autonomous University of Barcelona. Their flagship mobile application 'App&Town' (Figure A-5) is a free Android mobile application that allows users to plan and navigate their transit routes. The application includes a proprietary turn-by-turn navigator so accurate and intuitive that groups with reduced mobility including elderly, cognitively impaired, and even visually impaired can use it.

The application uses the latest technology available on mobile devices, such as Global Positioning System (GPS),



Figure A-5. The City of Columbus looks to partner with Mass Factory to deploy a customized application developed in Barcelona to assist persons with disabilities to utilize public transportation in Columbus.



compass and accelerometer, speech recognition or generation, and mobile cell or Wi-Fi connection to provide a guidance system of maps. This application will be deployed initially as a pilot installation to ensure that the concepts are compatible with US systems and processes. Following a successful pilot deployment, the intention is to launch a full deployment across all public transit services in the City including those operated by COTA, The Ohio State University (OSU), and others to be determined.

#### A.1.1.1.4 Electric Vehicle Infrastructure

As explained in the Vulcan application and in other sections of this proposal, the City of Columbus, OSU, and the private sector under the leadership of the Columbus Partnership are fully committed to leading a community-wide transition to being an electric vehicle (EV)-centric city. Currently, Columbus is deficient in EV infrastructure and as a result has a low rate of user adoption. With the Vulcan and USDOT investment, Smart Columbus will spur the conversion of public fleets, private fleets, and personal vehicles to electric and double-down on charging station installation.

The City of Columbus, OSU, and others have made the commitment to begin the conversion of vehicles in their fleet, causing a direct and immediate effect on the local production of greenhouse gases. The Columbus Partnership will launch 'Lead Electric,' a first of its kind effort to catalyze broad adoption of EVs by enlisting top executives to convert their personal vehicles, gain commitment by workplaces to build sustainability strategy around adoptive EV by installing charging stations, providing individual EV education, and converting their private fleet as appropriate. The consumer adoption and institutional commitment to building a more robust EV mobility culture will be captured in a data feedback loop through the IDE. Furthermore, research capacity and assets of OSU will be dedicated to Smart Columbus in this area.

#### A.1.1.2 Deployment Districts

Like most mid-sized cities in the United States, the City of Columbus is divided into several neighborhoods, commercial districts, and other geographic zones that are connected by highways, rail, transit, people, and culture. Some of these communities, such as Milo-Grogan, are defined geographically by transportation infrastructure, such as rail lines on all four boundaries. Regardless of the reason, these neighborhoods or communities can be classified into one of four districts based upon the predominant type of land-use. This classification scheme is well-known among the transportation planning community and virtually every city in the United States can identify regions within their city that can be similarly classified. Although some of our deployments will occur within a specific neighborhood or geographic region, we use this district schema to reinforce the concept that the proposed technology solutions can and should be readily adopted and deployed in other cities with these same types of districts.

In the following sections, we discuss the specific challenges, technology solutions, and potential for sustainability within each of these four districts. We believe that the challenges and technology solutions can be transferred from one neighborhood or geographic location to another within the same district classification. Importantly, however, is the connectivity and linkages between these districts that the enabling technologies facilitate.

##### A.1.1.2.1 Residential District

###### A.1.1.2.1.1 Challenges

The Residential District identified by Smart Columbus is Linden, an underserved neighborhood situated northeast of the Downtown District. Underserved communities throughout the United States unfortunately share many of the same challenges. By deploying smart technology solutions in Linden, Smart Columbus will demonstrate how next generation transportation technology can reconnect neighborhoods previously divided by transportation infrastructure. Decades ago the construction of Interstate 71 along the West border of the neighborhood caused Linden to essentially be cut off from nearby amenities, services, and centers of employment.

The Linden Neighborhood of Columbus was chosen as the first neighborhood district for its numerous socio-economic challenges, including low household income, a lack of major employers, and poor access to recreational amenities. These problems are compounded by the lack of access to transportation options. Despite the neighborhood's proximity to the central core of the Columbus Region, basic services such as healthcare, grocery stores, and banking are scarce within the neighborhood boundaries. Many



residents are transit-reliant; yet planning and completing a trip to access employment and services can be challenging, particularly for parents with young children, seniors, and travelers with disabilities.

Transit service is available in Linden along the Cleveland Avenue Corridor; however, facilities at bus stops are lacking, with extremely few shelters along existing bus routes. Intersections in the area are frequently ranked as unsafe, with three of the top 25 highest crash intersections in the Columbus Region located in Linden. Neighborhood residents report that existing shelters are often poorly lit and lack amenities. There are also many FMLM challenges along the corridor: sidewalks are missing from many streets and the existing network is in poor condition; street lighting is often dim or missing; and, Americans with Disabilities Act (ADA)-compliant facilities for travelers with disabilities are often inadequate or non-existent.

Due to the lack of major employers in Linden, residents must travel to other neighborhoods to seek employment. Yet commuting can be a challenge, particularly for carless households. Currently, planning and completing a trip to work is difficult when multiple modes or systems are involved. Departure, arrival, and overall travel times can be unpredictable if congestion is present in the system; this unpredictability can place stress on residents who work shift-based schedules.

Numerous public health issues exist in Linden, where residents often lack access to basic healthcare facilities as well as health insurance. One clear manifestation of this problem is infant mortality – for every 1,000 babies born in the United States, about 6 die before they turn the age of 1. In South Linden, this number is nearly four times higher. Infant mortality is especially acute for black babies, who, in Franklin County, are two and a half times as likely to die before their first birthday as white babies. Currently, there are no obstetrics/gynecology offices in Linden to combat this problem. Seventy-five percent of WIC recipients are in need of transportation to and from their appointments. The neighborhood is also a food desert without easy access to a major grocery store with fresh produce. Many residents report that their main sources of food are convenience stores. In addition, exercise and recreation are possible at nearby parks and trails, but accessing these facilities can be difficult.

#### A.1.1.2.1.2 Technology Solution

The Smart Columbus deployment proposes to address the transportation challenges of Linden using a multi-faceted, scalable, high-technology approach that includes the following core components (see Figure A-6):

- **Smart Corridor** will leverage the new COTA CMAX BRT line by installing DSRC-equipped intersections along Cleveland Avenue and neighboring streets including Hudson Street, High Street, and Morse Road.
- **New Mobility and Safety Applications** will be enabled through the Smart Corridor infrastructure and demonstration deployment of 3,000 CVs throughout the City. Applications will be provided via a combination of in-vehicle signage, mobile apps, and on-street kiosks.
- **Neighborhood Hubs** (serving the Smart Corridor) will provide a variety of transportation options to facilitate FMLM connections, as well as access to the jobs and amenities that were cut off by the construction of Interstate 71.
- **Smart Lighting and Wi-Fi Infrastructure** will be deployed in part of the neighborhood to provide FMLM safety and to make the neighborhood more walkable.

#### **Smart Corridor**

As part of the CCTN network, the City of Columbus will deploy a Smart Corridor along Cleveland Avenue, which will leverage the new COTA CMAX BRT service by equipping the entire COTA fleet with DSRC technology. The BRT line currently under construction will include upgraded transit stops, more frequent bus service, and faster travel times. The Smart Columbus Program will enhance this service through a range of CV technologies. Intersections will include prioritization for CMAX buses and emergency vehicles. Buses, transit stops, and crosswalks will be equipped with pedestrian detection technology. The physical infrastructure of this component will also allow transit users access to the traveler information and mobility applications discussed below. Riding the bus will be an attractive option – users of the CMAX service will consist of both transit-dependent residents as well as new choice transit riders.



The upgraded traffic signals allow for signal prioritization and support intersection safety applications for pedestrians and personal vehicles as well. Smart Columbus aims to deploy 3,000 vehicles with aftermarket retrofit DSRC and in-vehicle signage citywide. However, Linden will be a key focus of this initiative due to the prevalence of high-crash intersections throughout the neighborhood.

The City of Columbus is committed to ensuring the success of the Smart Corridor concept by encouraging use of each deployed component. COTA will launch CMAX initially as a free service in Linden to incentivize new transit riders who live in the neighborhood and encourage the use of park-and-ride lots along the corridor. This free service will alleviate the increasingly challenging market for downtown parking, which is currently inhibiting the ability to fill vacant office space. Additionally, the City may utilize a gamified approach to incentivizing use of the apps developed in the Smart Columbus Deployment. Under this concept and with private funding, a \$5 incentive would be given to download and use the Smart Columbus app (while incentives last) and users will be given the opportunity to receive a wearable FitBit device. Smart Columbus would review analytics from the use of the app to measure effectiveness of this component. Engagement with the app would also earn users rewards from local retailers and grocers, as well as national partners.

**Mobility and Safety Applications**

To capitalize on the physical infrastructure, the City of Columbus will promote and deploy numerous traveler information and mobility applications. Capabilities of the proposed application suite include the following:

- **Dynamic Transit Operations** will link multi-modal transportation options with travelers through dynamic transit vehicle scheduling, dispatching, and routing capabilities, allowing travelers to request and view multiple trip itineraries in real-time.
- **Connection Protection** will provide coordination between transportation providers, increasing the likelihood of making successful inter-modal, intra-modal transit transfers (e.g., from COTA to the OSU Campus Area Bus Service) and non-transit (e.g., shared ride) modes.
- **Dynamic Ridesharing** will provide an automated ride matching system for carpool travelers and allow users to offer or request rides in real-time, minutes prior to departure, or make scheduled one-way appointments.



Figure A-6. The core components of the Residential District will address challenges in the Linden neighborhood.



- **Integrated Multi-Modal Electronic Payment** will facilitate payments for a variety of modes.
- **Enhanced Human Services** will deliver real-time, multi-modal trip and services planning via multi-lingual decision support applications that assist travelers, including those with disabilities, in finding and choosing accessible transportation solutions.

The fiber and traffic signal infrastructure deployed along the Smart Corridor will also support numerous safety applications. New applications available to transit drivers and riders will include the following:

- **Transit Signal Priority** will provide travelers using CMAX with increased travel time reliability and shorter overall trip durations.
- **Transit Stop Pedestrian Warnings** will alert bus drivers and waiting passengers when a pedestrian may be at risk of strike via warnings inside transit vehicles and on transit stop signage.
- **Pedestrian in Signalized Crosswalk Warnings** will alert bus drivers when pedestrians in a crosswalk may be at risk of strike via an in-vehicle display.
- **Vehicle Turning Right in Front of Bus Warnings** will alert bus drivers when a vehicle passes a stopped transit vehicle and then begins to make a right turn in front of the bus.

Finally, the prevalence of connected personal vehicles in Linden will allow for a range of safety, mobility, and environmental applications. The following capabilities will be deployed as part Smart Columbus:

- **Forward Collision Warning** will alert drivers of an impending rear-end collision with another vehicle.
- **Emergency Brake Light Warning** will enable CVs to broadcast a self-generated message to other vehicles when an emergency braking event occurs.
- **Signal Phase and Timing** will allow for a two-way exchange of information between vehicles and intersections in order to provide alerts to optimize signal timing and provide alerts to drivers.
- **Eco-Approach and Departure at Signalized Intersections** will provide drivers with speed recommendations to pass a signal on green or decelerate to a stop in the most eco-friendly manner.

### ***Neighborhood Hubs***

The City of Columbus will employ smart Neighborhood Hubs along the Cleveland Avenue Corridor in order to facilitate access to the COTA CMAX BRT, as well as transitions to other modes. Hubs in Linden will be located at the Northern Lights Shopping Center and the Linden Transit Center. Additional Hubs will be located outside the neighborhood to provide access to Easton Town Center, Columbus State Community College (CSCC), and Downtown Columbus. As part of the CMAX BRT project, transit stops throughout the Smart Corridor will also be equipped with additional amenities beyond typical offerings.

Neighborhood Hubs would utilize a range of technologies to support enhanced access to jobs, services, and recreational amenities, and consist of a kiosk that provides trip planning applications, as well as the ability to accept payment for trips made via the common payment system, a critical need in Linden where many households are cash-based. Prior to the Smart City Challenge, the City of Columbus had been in discussion with potential vendors about deploying information kiosks in the City, primarily in the Downtown area. The Smart City Challenge affords the City the opportunity to expand this initiative to the Linden neighborhood, leveraging its plans for Downtown. While procurement has not been conducted, the City is in discussions with alternative vendors in addition to the kiosk system offered by Sidewalk Labs. The City intends to partner with COTA and one or more kiosk vendors to monetize its downtown kiosk installations to support a more robust kiosk installation in the Linden neighborhood and in support of the new COTA CMAX BRT project. The City would work with its procured vendor or vendors to install numerous kiosks Downtown, and approximately 10 kiosks at key locations in Linden and along the COTA CMAX BRT Corridor to facilitate access to public transit, as well as transitions to other modes. We would call these Linden kiosks "Neighborhood Hubs." Additional Hubs would be located at key locations outside the neighborhood to provide information access and trip planning to Easton Town Center, CSCC, and Downtown Columbus. The deployment would work with COTA to augment the CMAX BRT transit stops throughout the Smart Corridor with this additional data access.

Hubs would also be coordinated with the COTA CMAX BRT to provide transit information with real-time arrival and departure times, and for Hubs at CMAX stops, may provide a warning to alert waiting passengers who are standing within or close to the roadway to move back.



Additionally, Neighborhood Hubs would be used to facilitate first- and last-mile travel by supporting a range of modal options. Upon award, we will seek to expand the City's CoGo bike share service in Linden. Bike share stations would potentially be present at neighborhood schools or parks, select locations along the Alum Creek Trail, and select amenities west of Interstate 71, in order to facilitate stronger east-west connections in Linden. The bike share vendor would be required to accept payment via the common payment platform in order to allow cash-based households to participate. Similarly, we will seek a car share partner based on the same requirements. Finally, parking facilities at Hubs like the Northern Lights Shopping Center will enable car owners to transition to the CMAX line, and avoid downtown parking and congestion, and will include electric charging stations (estimated at two charging stations per transit center) to encourage EV use.

### **Smart Lighting and Wi-Fi**

A Smart Lighting and Wi-Fi infrastructure will be deployed as a demonstration in Linden that would include motion-responsive LED street lights in order to create a better sense of safety and make the neighborhood more walkable. The system would also reduce electricity usage. Upgrades will be conducted based on existing street lighting circuits. As part of Smart Columbus, this infrastructure will be deployed along the Hudson Street Corridor between Interstate 71 and Cleveland Avenue (refer to site map in Volume 2), which includes Columbus Alternative High School, Linden Park, and private residences. Hudson Street also serves as an east-west cycling connection between two major north-south bike trails, the Alum Creek Trail, and the Olentangy River Trail. Enhanced lighting will increase cyclist safety and facilitate use of the bike share service.

Neighborhood residents report slow Internet speeds in Linden, as the underlying coaxial infrastructure is outdated and Internet service providers have demonstrated interest in making upgrades. To combat this problem, the new smart lighting installation will also include Wi-Fi Internet. A widespread network of street lighting will enable free, wireless Internet access along the Hudson Street Corridor of Linden, which is the proposed location for the smart street lighting deployment. This free service will help enable online educational and career development resources for neighborhood residents, thereby providing an additional ladder of opportunity.

#### [A.1.1.2.1.3 Potential for Sustainability](#)

The challenges faced in Linden are present in underserved communities throughout the United States. The City of Columbus proposes a comprehensive and proactive program of transportation upgrades in Linden that will respond to each of these challenges, providing residents with the ladders of opportunity to be prosperous, healthy, and happy. All of the project components contained within the Residential District concept are designed to be scalable to other neighborhoods within the City, as well as other cities throughout the United States. While these proposals complement each other and are intended to function as an integrated solution, cities and neighborhoods could deploy a combination of components that meets their individual needs.

Columbus plans to leap-frog fixed rail through enhanced connections to transit and FMLM services. The City of Columbus and its partners consider their bus-based mass transit system to be an opportunity to demonstrate emerging mobility solutions at a lower cost and with greater flexibility than a fixed-rail infrastructure. Many mid-sized cities cannot afford light rail, or the feasibility of deploying such a system is limited. The Smart Corridor solution will be a model for similarly situated cities throughout the United States. BRT deployments and technological breakthroughs involving vehicle connectivity and automation have the ability to maximize existing infrastructure.

As the population within the Columbus Region continues to grow at a rapid pace, creating opportunities for mode diversions will be increasingly important to avoid congestion and combat the associated climate impacts. To hasten the development of new solutions, all applications developed in support of the Residential District concept will be made available as open source on the IDE to allow public and private sector entities the ability to develop a customized system to meet their needs.

#### [A.1.1.2.2 Commercial District](#)

##### [A.1.1.2.2.1 Challenges](#)

Located in the northeast part of Columbus, the Easton area is a mixed-use environment consisting of retail space, dining, commercial office space, warehousing, and residential units accessed primarily by



light-duty vehicles with regular scheduled bus service along the fringes of the area. The central retail area is built in the model of a mid-20<sup>th</sup> century urban town center, including through streets designed for vehicular traffic and on-street parking. With the exception of an enclosed mall structure comprising a small portion of this retail space, the majority of stores and restaurants have direct off-street access, resulting in a high percentage of pedestrians along outdoor sidewalks interacting with vehicular traffic. Surrounding this central region are several strip malls, big-box retailers, and standalone dining areas. To the south are large office and apartment/condominium complexes. This mix of properties has resulted in an extremely dense population of both people and vehicles. There is 2.9m SF of office space and 1.7m SF of retail space in the area. At its daily peak, as many as 32,000 people and 400,000 vehicles/week might be located in the region, and the number of jobs in the area exceeds 30,000.

The area serves as a major employment center, with numerous jobs in the retail, food services, and warehouse industries. These jobs are typically low paying and have a high-rate of turnover. Further, research has demonstrated that a major contributor to the instability in these types of jobs is the lack of reliable transportation. Compounding this challenge is a high number of professional service industries, such as legal, finance, and insurance, including regional or national headquarters, that are also located in the region.

Adding to the reliable transportation concerns are those of FMLM safety and mobility. While the area is served by both public transit services and numerous parking facilities, the proximity of these stops and/or facilities to the final destination of travelers, combined with the density of both pedestrian and vehicle traffic, creates both safety and mobility concerns. After departing transit, there remain points within this region that literally approach the mile distance from the current stops, presenting a FMLM challenge and potentially discouraging transit use in the region, both for employees and for patrons. Those who travel using their personal vehicle also find similar FMLM challenges due to quickly filled parking lots. The latter also add to the congestion of the region by using their personal vehicle.

Finally, building on this previous discussion, is the need to reduce harmful emissions and the sources of those emissions. Current traffic volumes in the area, particularly during peak times, have necessitated consideration of capacity improvements as costly and expansive as adding an additional freeway exit to support the present volume. Future development plans include additional retail and office space, furthering the current congestion challenges and demand on the transportation system.

#### A.1.1.2.2.2 Technology Solution

Addressing the challenges of the Commercial District require both investment in technology solutions and investments in techniques to create societal change. We are proposing a highly transformative, environmentally friendly, economically viable, and scalable approach to deploy AVs that are both electrified and connected. These connected Electric Autonomous Vehicles (EAVs) will be deployed in a live mixed-used traffic environment, interacting with other vehicles, bicyclists, pedestrians, and other forms of transportation, and operating in an environment that includes both signalized and non-signalized intersections. Our approach intends to bring a safe, efficient, accessible, environmentally friendly and easily expandable FMLM transportation solution to the region by deploying a fleet of multi-passenger EAVs that leverage the enhanced connectivity provided by the CCTN and leverages the citywide travel planning and payment solutions. The implementation of this innovative FMLM solution in this region also extends the access to jobs benefit by expanding the reach of the new CMAX BRT system immediately to the west of this location, and the deployment of smart connected intersections throughout the region, allowing for more efficient traffic flow to, from, and within the region.

Following are specifics of the major components of the vision for this region.

#### ***Electric Autonomous Vehicle***

As Figure A-7 illustrates, current plans include three fixed routes, with EAV deployment dependent on the time of day and the day of the week. These routes will serve the retail, commercial, and warehouse centers in the region, and create a connection to the recently opened, high-volume transit center located in in the Easton area, as well as to the numerous parking areas in the region.

The envisioned EAV fleet would utilize existing public roadways that will be “upgraded” to ensure necessary lane-keeping and safe traversing of intersections. The fleet would also use inductive charging stations to allow for fully autonomous operations, only requiring human interaction for regular safety and



maintenance inspections, as well as if the moderately low risk of a system error occurs. Finally, the “station” that will house this fleet during off hours and when recharging will be fully equipped with photovoltaic (solar) panels, and will be integrated with an expanded Smart Grid to allow for optimal charging cycles to be considered as part of the fleet’s operations.

The proposed EAV will incorporate the latest electrified AV technologies available, but would include up to a 12-passenger vehicle, wheelchair capable, fully autonomous, electric vehicle capable of speeds of up to 25 mph. The vehicle must also be capable of operating for nearly 14 hours on a single charge. Additional capabilities that will be added to support the operational and safety needs of this deployment include both an ability to manually control (locally and remotely), and the presence of DSRC technology to support USDOT V2V and V2I applications such as Forward Collision Warning and Stopped Vehicle Ahead. The EAV will also include a camera for both occupant counts, as well as to be used in cases of emergency or security situations.

Occupancy will be limited to vehicle maximum weight capacity and onboard sensors will be used to determine the weight. All vehicles will also be outfitted with seat belts, an emergency call box, internal visual and audible indicators, and as appropriate, a farebox to support the common payment system.

Operationally we intend to deploy a total of six EAVs in the district. Two proposed work center shuttle routes will be synchronized with the schedules of the local employers and the COTA fleet, and will start and end at the COTA Transit Center. The retail shuttle will operate within the confines of the retail area, serving the largest parking facilities and retail areas. Connection Protection, a feature of the Integrated Dynamic Transit Operations Dynamic Mobility Applications bundle, will be implemented to ensure successful transfers are made between COTA and the EAVs. Additionally, the EAV will be equipped with robust health and status monitoring capabilities, a sophisticated obstacle bypass algorithm, and for worse-case scenarios, will have the ability to be operated locally and remotely. Transit Signal Priority (TSP) to ensure safe passage through intersections and signal phase and timing information will also be available to the EAV to further improve its safe operation. On-demand service will be explored during the off-peak hours and for emergencies, as well as for any passenger who currently qualifies for COTA’s paratransit service. While the goal is to maximize operational efficiency by operating at capacity, we will provide access for all. Further, there will be a back-office monitoring service performed by COTA. This monitoring system will serve to provide emergency monitoring and assist with any remote operation, as needed. This back office will also serve to collect and forward all applicable data associated with operation of the EAV fleet.

There are significant inherent risks, but our approach for a phased development and testing, along with securing experts in this area, serves to reduce those risks. Further, we have the commitment of the Easton community and COTA to see this initiative through to success. Table A-4 provides a set of identified risks and the corresponding remediation.

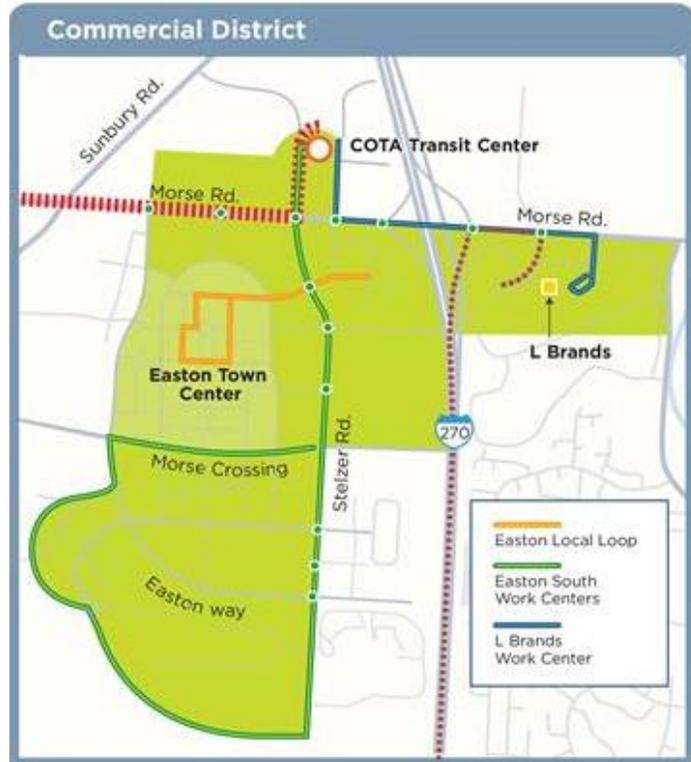


Figure A-7. The Commercial District includes EAVs in a mixed-use environment.



Table A-4. Risks associated with deployment of EAVs.

Risk	Likelihood	Impact	Remediation
Environmental conditions (fog, rain, snow, ice) impact operation of EAV	Medium	High	Procedures and technologies will be developed to ensure EAVs will only be operated when it is certain that the safety of occupants can be assured. Local policy will also be developed to publish the conditions and criteria upon which to base this decision.
Equipment failure	Low	High	Daily pre-operation test will be performed, as will recurring health and status monitoring while operational. Further, emergency call capability will be standard equipment.
Communications failures with DSRC, modems, or sensors, or other devices	Low	Medium	Major communication outages affecting ability of vehicle to perform safety will result in it automatically disengaging. Redundant or backup systems, including manual control (local or remote) will be available to complete route or return vehicle to maintenance.
Battery levels reach critical condition	Medium	Medium	Monitor and record battery levels continuously. Vehicles exhibiting critical levels can be manually overridden and moved to a safe place if return to charging station is not available.
Fixed-obstacles in pre-planned route	Medium	Medium	Manual control, whether local or remote, will be used if local algorithm is unable or incapable of re-routing around obstacle automatically.

**Inductive Charging Station**

As the EAV is intended to be fully autonomous, requiring minimal human intervention, the proposed solution uses a contactless inductive charging system to recharge the EAV’s Li-ion batteries. These charging stations will be located in a dedicated, off-route location that also serves as a secure, indoor storage facility used to house the EAV during periods of non-use. The facility will be located near the COTA Transit Hub. We propose to construct a 3,600 square foot facility that can house up to 12 vehicles and similar charging stations. Initially there will be three charging stations, allowing the fleet to rotate through as necessary to perform optimal charging. The facility will include sufficient room for maintenance and vehicle cleaning.

**Enhanced Human Service**

The Transit Service Information capability will be made available via both the smartphone application as well as from strategically deployed kiosks. The Easton EAV will take advantage of the proposed common payment platform. Connection Protection also will be enabled in conjunction with this application.

**CCTN Build Out**

The CCTN build out will include the region immediately surrounding the Easton Town Center, primarily to support the deployment of the EAV in that region, but to also offer the advanced safety features afforded by CV technology in an area with a high rate of adoption of new technologies. Each intersection in the region would broadcast Signal Phasing & Timing (SPaT), MAP, and Radio Technical Commission for Maritime Services (RTCM), and would have the ability to support EVP, Transit Signal Priority (TSP), and Freight Signal Prioritization (FSP), along with collecting anonymous Basic Safety Messages (BSMs) to help traffic engineers better understand the vehicle movements and patterns in the region and to optimize signal timing and related parameters.

Specific to the EAV, both SPaT information and TSP will be used to relay/adjust signal timing to ensure safe passage through the intersection by the EAV. Select intersections with high pedestrian volumes will also be equipped with pedestrian detection equipment that will not only aid the EAV, but will also provide warnings to all CV-equipped vehicles that include a pedestrian warning application – includes all COTA buses that will be operated in the region.

Finally, FSP will be implemented on select intersections to allow for the unencumbered passage of a proposed freight truck platooning (discussed later in section A.1.1.2.4.2) into the warehouse area adjacent to Easton. This same warehouse region also will be serviced by the EAV, allowing for both modes to effect signal timing, and to demonstrate arbitration between the two modes.



### A.1.1.2.2.3 Potential for Sustainability

A major benefit of a fully autonomous vehicle is the reduction in cost achieved by eliminating the operator and all onboard equipment necessary for human operation (steering wheel, pedals, gauges, and others). Further, by incorporating an electric AV, the complexity of the vehicle propulsion system is further simplified, potentially reducing maintenance costs. During the demonstration period, the vendor will be expected to perform all regular and unplanned maintenance actions on the EAV. If deemed successful, potential approaches for longer term sustainability include sponsorship/naming rights, MORPC funding, COTA funding, Federal Transit Administration (FTA) or other USDOT grants, or consideration of other fare options, with the future owner/operator likely to either perform the ongoing maintenance, or to contract it out to a qualified vendor.

### A.1.1.2.3 Downtown District

#### A.1.1.2.3.1 Challenges

Columbus' vibrant and fast-growing economy is anchored by the region's Downtown District, depicted in Figure A-8. As the region's central business district and center of government for the State, County, and City, the district's workforce is just over 83,000. Its largest employers include the State of Ohio, Nationwide, Huntington Bancshares, and American Electric Power. Moreover, 32,000 college students attend one of the five higher education institutions located in the urban core.

In recent years, Downtown has become an emerging neighborhood attracting millennials and boomers alike. With a current occupancy rate of 96 percent, the district experienced an 8 percent increase in residents in 2015 and anticipates an increased pace of growth over the next several years. Contributing to the density of activity and draw of visitors, located in the Downtown District are 14 hotels, four performing arts theaters, two major sporting event venues, and several vibrant entertainment and event venues.

The greatest challenge to continued growth and development of downtown is the lack of parking availability. The commercial office vacancy rate for all classes of space is 12 percent. Commercial real estate brokers report that they cannot lease office space because prospective tenants cannot find parking for employees. Hotels and other service industries report high staff turnover because of the cost of parking. Major employers report an inability to add jobs downtown. Visitors for events and guests of everyday business activity report regular frustration with finding parking. Additionally, increased congestion and roadway blockages result from delivery or service vehicles double-parking or continuously circling a block because of a shortage of delivery parking spaces to service the many restaurants, shops, and hotels in the Downtown District. We will address these challenges through technologies that connect visitors to parking as well as other travel options, provide real-time parking availability service for freight delivery, increase the efficiency of parking management through radio frequency identification (RFID) – based window stickers; and shift the commuter culture through employer-driven transit benefit programs deployed through the Smart Pass program.

**Utilizing Technologies to Enhance the Connectedness of Visitors During Large Events.** In addition to sporting events and conventions, the

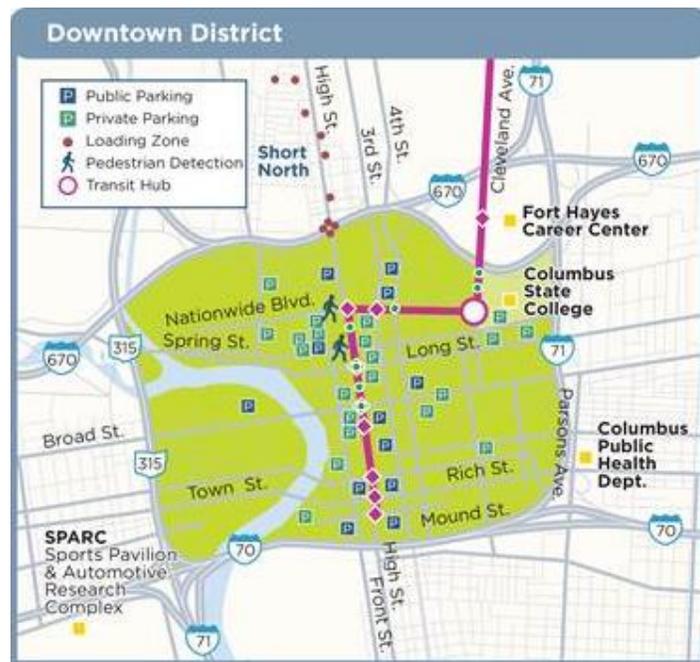


Figure A-8. The Downtown District solution leverages multiple technologies to address a range of challenges.



City of Columbus is host to many significant events throughout the year that range in size from 35,000 attendees to more than 450,000 visitors into the Downtown District as part of the “Red, White, and Boom” Independence Day celebration. With more than 26,000 downtown hotel rooms, the City is a thriving and growing destination for tourists and residents. Experience Columbus, together with their associated agencies such as the Columbus Convention Center, and CampusParc, Buckeye Parking Garage, LAZ Parking Realty Investors, and Franklin County Convention Facility, who collectively manage more than 42,000 parking spaces in Downtown Columbus and actively track, monitor, and collect information from visitors and residents regarding their downtown experiences. Over the past three years, Experience Columbus has collected more than 25,000 survey responses from visitors to the City regarding their experiences and satisfaction during their visit. One consistent and common theme found to be pervasive throughout these responses was the lack of information and satisfaction with parking, particularly parking availability. During this same time, the City engaged in a variety of outreach and communication activities to explore options for parking and congestion in the downtown districts.

### **Reducing Congestion and Greenhouse Gas Emissions from Inefficient Use of Loading Zones Use.**

Contributing to and expanding upon challenges associated with the lack of a centralized information source on parking availability, congestion, and additional greenhouse gas emissions are caused by the shortage and demand for delivery parking spaces to service the many restaurants, shops, and hotels in the Downtown District. In the City of Columbus, as in many city centers and business districts, parking spaces for delivery or service vehicles are extremely limited. This issue frequently manifests in increased congestion or roadway blockages as these trucks double-park or continuously circle a block waiting for a parking space. Safety of pedestrians is also at risk as trucks extend into sidewalks and roadways because they are stopping in undersized loading areas.

Between 2013 and 2015, the City of Columbus commissioned a comprehensive parking study of the Short North neighborhood to specifically examine parking technologies, demand, availability, and to solicit feedback from the public, small and large businesses, and delivery truck drivers<sup>1</sup>. In addition to stakeholder outreach and a detailed analysis of parking capacity, utilization, and availability, the City also identified a host of Best Practices for parking that were used in the United States and Internationally. One outcome of this parking study was the identification of the need for more information on parking availability to be made available, validating the visitor responses obtained by Experience Columbus through their visitor satisfaction survey. However, this survey was also extended to specifically include and address parking of delivery vehicles in the Short North, which compete with passenger vehicles for on-street parking, particularly during major events at the Columbus Convention Center when both passenger and delivery vehicle parking is in peak demand and the corresponding roadways are highly congested with circling traffic seeking a parking space.

**Facilitating More Efficient Permit Parking through Active Parking Management.** The City of Columbus recognizes that public on-street parking as well as private parking for individual businesses is limited in many commercial, residential, historic, and mixed-use neighborhoods. To preserve the vitality for residents, and to balance it with the needs of businesses in areas of limited parking, it was necessary to establish residential district permit parking in neighborhoods in these mixed-use neighborhoods, including the Downtown District. Our current system is largely a manual process for the issuance of parking permits as well as performing parking compliance checks. This results in periods, particularly during events or during periods where parking demand is significantly increased with a significant lack of parking for residents as spaces are consumed by visitors and other non-residents.

#### A.1.1.2.3.2 Technology Solution

These proposed technology solutions leverage the enabling technologies, particularly the EHS multi-modal trip planning application, the CCTN expansion to include video monitoring of loading zones in the Downtown District, and the IDE. The following provides additional information on the specific deployment for each challenge.

<sup>1</sup> “Columbus, OH Short North Parking Final Report,” June 25, 2015. Available at: [https://www.columbus.gov/uploadedFiles/Columbus/Departments/Public\\_Service/Mobility\\_Options/Community\\_Mobility\\_Planning/CIP\\_Short\\_North\\_Parking\\_Study/Columbus%20Short%20North%20Final%20Report%20COMBINED%20w%20Appendix%20%2006215.pdf](https://www.columbus.gov/uploadedFiles/Columbus/Departments/Public_Service/Mobility_Options/Community_Mobility_Planning/CIP_Short_North_Parking_Study/Columbus%20Short%20North%20Final%20Report%20COMBINED%20w%20Appendix%20%2006215.pdf)



**Event Parking Management.** The City of Columbus has partnered with Experience Columbus and their associated agencies who collectively manage more than 42,000 parking spaces in Downtown Columbus. Together with the City’s roughly 4,200 managed metered parking spaces, these providers encompass virtually all publically available parking in the Downtown District and the Short North neighborhood. Each of these parking providers already utilizes a variety of different technologies and tools to determine the availability of parking in their facilities. For example, the City’s parking meters are all connected via a cellular backhaul and can provide information on their payment status in real-time. In partnership with the City, these operators have agreed to provide real-time availability of public parking in their facilities to the City as an electronic input stream to the IDE for the duration of the Smart Columbus Program and beyond. This information will then be utilized by the City’s potential partnership with HERE for a multi-lingual, multi-modal trip planning application that will provide this information to the traveling public in real-time. Linkages to the reservation pages of the respective parking providers will be included allowing travelers to both plan and “reserve and book” a parking space during large events. Direct routing of travelers during large events is expected to reduce the overall congestion during those events. In addition to other forms of payment, each of these providers would accept the Smart Columbus payment card at their facility/meter for their parking.

**Loading Zone Parking Management.** As discussed in the build out of the CCTN, we will include video equipment that will be capable of monitoring loading zones in the Downtown District and programmatically determine availability using objective detection and visual data processing algorithms. The City has partnered with Truck Smart Parking Services, Inc. (TSPS) and HERE, Inc. to install and operate a real-time parking availability service for freight delivery. We will identify 10 loading zones at locations in collaboration with special improvement districts and property owners and businesses within the Downtown District during the first year, install a reservation service during the second year, and operate this reservation service for the remaining years.

**Managing Permit-Only Parking Spaces.** The City of Columbus annually issues 6,300 color-coded parking permits annually. We will pilot and evaluate augmenting the usefulness of these simple windshield stickers with a customized RFID-based window sticker provided by partners NXP and Neology. Through the inclusion of RFID technology in these window stickers, the City could almost immediately create a large pool of technology-enhanced vehicles where the technology includes relevant information such as Vehicle Identification. The large number of stickers offered by Neology could allow the City to potentially extend the demonstration to other permit parking zones in the City. Coupled with Ultra-High Frequency (UHF) RFID Readers placed at strategic points such as at surface lots and at the borders of permit parking zones, these RFID stickers will enable the City to have information on the number of permitted vehicles within each permit-only parking zone as well as automating enforcement through the use of a mobile mounted UHF RFID readers.

**Transit Benefit Program.** A scalable user-oriented transit benefit that aims to cause a shift in the commuter culture of our workforce increasing the ridership of public transportation, car sharing, and as a result decreasing the demand for parking infrastructure and output of carbon emissions. The program will be deployed through an early adopter network of ten private-sector employers, property owners, civic organizations, and the City of Columbus. The deployment of this pass program within our member companies and partner organizations will invite integration of transit education within HR operations and would open up information sharing funnels to input commuter behavior and transit usage from this program into the IDE.

#### A.1.1.2.3.3 Potential for Sustainability

The City of Columbus is highly leveraging the Public-Private Partnership with Experience Columbus and parking providers to demonstrate smart parking technologies such as RFID, camera-based monitoring, ticket counters, and magnetic vehicle sensors. On the City’s part, the recent upgrade of the Downtown parking meters and subsequent integration of their availability into the IDE through a wireless backhaul is a sustainable business model that requires virtually no additional investment to maintain. Once the initial set of applications have been developed, we fully expect that upgrades and enhancements will be needed in the future as new systems come online and new partners engage. At the same time, we are expecting that these upgrades will become commercialized through third-party software development providers who utilize the information provided by the IDE as a key source of parking availability information to enhance their own offerings. For this reason, we are specifically proposing a Developer



Portal so that by the end of the Smart Columbus Program period, the transition from public sponsored applications to third-party applications will be well underway, if not completed.

**A.1.1.2.4 Logistics District**

**A.1.1.2.4.1 Challenges**

Freight is a critical component of transportation and economic development. The Columbus Region ranks first among inland and coastal ports in population concentration within a one-day drive. The region is crossed by eight major Interstate highways. The City of Columbus itself is within a 10-hour drive of 47 percent of the country, and serves as a hub for long-haul trucks (Figure A-9). In addition to highway-borne freight, the City is served by both the Norfolk Southern Heartland Corridor and CSX Gateway that link the Columbus Region to multiple deep water and East Coast ports.

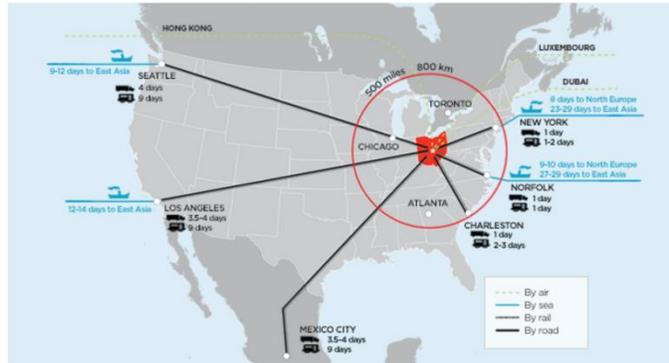


Figure A-9. The Columbus Region Serves as a Gateway to the North American Market

Source: Columbus 2020

**Major Logistics Employers in the Columbus Region**

- Abercrombie & Fitch Co.
- Big Lots, Inc.
- Cardinal Health, Inc.
- DSW, Inc.
- Eddie Bauer
- Exel, Inc.
- Express, Inc.
- Zulily, Inc.
- FedEx Corporation
- L Brands, Inc.
- Restoration Hardware
- Target Brands, Inc.
- United Parcel Service, Inc.
- Wal-Mart Stores, Inc.
- Amazon

Located 10 miles south of Downtown, Rickenbacker International Airport is a cargo-dedicated, high-speed, international, multi-modal logistics hub and includes Foreign Trade Zone #138, the 10th most active in the nation. As one of the world’s only cargo-dedicated airports, Rickenbacker International Airport offers an uncongested option to move air cargo to, from, and within the United States.

Recognizing the role that freight has as a dominant factor of employment and economic development, the Columbus Chamber of Commerce sponsors and coordinates the Columbus Region Logistics Council

(CRLC). CRLC was formed in 2008 of industry leaders in shipping/manufacturers, carriers, warehouse and distribution centers, and service providers to the logistics industry to serve as the regional catalyst for the growth of the region’s logistics capability. CRLC provides a significant resource for accessing and mobilizing the freight community to deploy leading-edge technologies.

Columbus experiences three significant freight-induced transportation challenges that will be addressed: (1) freight-induced congestion and queuing; (2) major incidents at bridges and over-passes from trucks exceeding weight and height restrictions; and (3) accommodating long-distance freight haulers to achieve hours-of-service requirements.

**Freight-induced Congestion and Queuing** is a significant challenge at the south end of the City where distribution centers have been established in proximity to Rickenbacker Airport. In particular, one significant access road where truck volume and freight-induced congestion routinely occurs is along Alum Creek Drive on the south side of the City and associated branch on Williams Road connecting to Groveport Road. This local access road connects Rickenbacker Airport with the outer loop of the City (Interstate-270). This low-speed road has several major warehouse and distribution centers including; UPS Distribution Center, CEVA Logistics, Walmart Distribution Center, and Eddie Bauer’s Fulfillment Service Center.

**Major Incidents Involving Heavy-Duty Vehicles at Bridges and Overpasses** occur relatively infrequently, but when they do occur, it results in significant costs. Truck routing is not a trivial activity as the truck characteristics and materials being transported have a significant impact on whether a specific truck can use a route or not. The ability to cross-reference route planning and vehicle characteristics in advance can provide drivers the advanced warning needed to avoid collisions.



**Accommodating Long-distance Freight Haulers to Achieve their Hours-of-Service Requirements** without increasing congestion or wear-and-tear on local roads is another significant challenge facing the City. A significant number of truck trips that originate from the City as well as travel through the City to destinations within Ohio and throughout the Midwest. Long-haul trucks have been observed queuing on access and local roads as they wait for parking availability. In some cases, they depart their distribution center without a clear plan for where and when they will fulfill their hours-of-service rest requirements and are relying upon experiences to identify suitable parking locations. We believe that if these long-distance haulers had better information on parking availability throughout Ohio as well as neighboring states, they would be less likely to depart from their distribution center until they had secured the appropriate parking or would be less likely to travel on local roads seeking parking options.

#### A.1.1.2.4.2 Technology Solutions

Freight-induced congestion and queuing will be addressed through Truck Platooning and FSP. Major incidents at overpasses and narrow streets will be avoided through a comprehensive bridge and street inventory and routing application. Long distance truck parking will be addressed through data integration and information dissemination using a web-enabled device.

**Driver Assistive Truck Platooning (DATP)** is the wireless coupling of longitudinal (brake and throttle) control of two trucks to maintain a safe, aerodynamic following distance between the trucks. DATP is an evolution of Adaptive Cruise Control (ACC) (on the market in the truck and automobile industries for over a decade) which relies on radar sensing to control throttle and brakes based on awareness of traffic ahead. DATP adds DSRC-based vehicle-to-vehicle communications to synchronize operation of brakes and throttle in both trucks, enabling shorter following distances. The City of Columbus has partnered with Peloton and fleet partners to deploy an innovative ITS platooning technology for heavy vehicles that features V2X (vehicle-to-vehicle/infrastructure/cloud) communications, radar-based active safety systems, vehicle control algorithms, and a cloud-based Network Operations Center (NOC) to link heavy trucks traveling along this freight corridor (Figure A-10). As a key element of the future smart city, this deployment will be a stepping stone toward full deployment of systems, which can save fuel, reduce emissions, and enhance quality of life in the City.

On Alum Creek Drive, equipped tractor-trailers will platoon two-at-a-time. Their movements will be monitored and coordinated by the Peloton NOC that will also take into account dynamic conditions such as traffic, workzones, and weather, using information feeds from the IDE to adjust gap sizes and other operating parameters for optimal safety and traffic flow. In approaching intersections equipped with Platoon Signal Priority (PSP) and DSRC I2V to broadcast signal phase and timing data, the trucks will adjust their speed to align with signal timing. In parallel, the signal controller will become aware of the approaching platoon via standard DSRC V2I communications and will seek to provide the trucks priority if conditions allow. The anticipated benefit for this deployment is that trucks will take up less precious space on arterial streets, the intersection becomes more efficient, and truck drivers save time and fuel. PSP and FSP will be implemented along Alum Creek Drive and Williams Road using DSRC equipment on the trucks and installed at the signalized intersections.

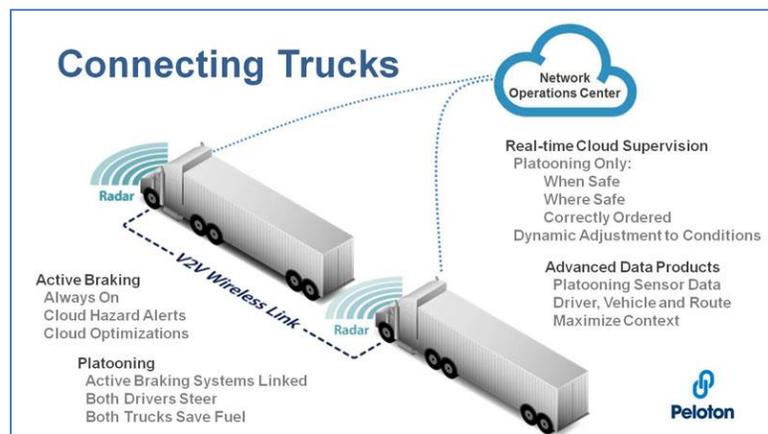


Figure A-10. The City of Columbus may partner with Peloton to deploy two-truck platoons along Alum Creek Drive to reduce congestion and increase capacity.

**Minimizing Incidents Due to Low Bridges or Narrow Roads** will be completed through conducting a comprehensive inventory of all road overpasses within the City and making this information available to the public via the IDE. The City of Columbus has partnered with TSPS to implement an intelligent truck warning and routing application.



**Regional Truck Parking Information and Management System** would leverage the \$25M Secretary Foxx awarded in 2015 for the development of such a system across eight states, including Kansas, Indiana, Iowa, Kentucky, Michigan, Minnesota, Ohio, and Wisconsin. As part of this grant, all rest areas along highways in these eight states will be instrumented with ITS equipment to determine the real-time availability of parking spaces. Michigan has aggressively implemented, through this grant, an Interstate 94 Truck Parking Information and Management System (TPIMS), a system that assesses truck parking availability along the Interstate 94 Corridor in Southwest Michigan and delivers real-time parking availability information to truck drivers through a mobile application. Similarly, the other states have begun to implement systems. With the availability of the IDE as part of the Smart Columbus Program, we are proposing to leverage these existing systems and create a “one-stop-shop” for all truck operators in the eight-state region, leveraging Michigan’s expertise and existing mobile application. In particular, we will consolidate the information streams from each state and make this information available in real-time for truck operators originating, destinating, or moving through the eight-state region. Within the Columbus Region, users will be routed to auxiliary parking spaces, both public and private, to eliminate unsafe parking and unnecessary driving on arterials and local roads. Traditional and non-traditional parking providers within the Columbus Region, such as a distribution centers or truck stop can register within the IDE and provide real-time availability on their parking. Truck operators will be presented with available parking options based upon criteria such as distance, availability, and distance from highway.

#### A.1.1.2.4.3 Potential for Sustainability

The technology solutions proposed for the Logistics District are already on the verge of commercial viability and sustainability. Interest in truck platooning is growing rapidly with shortages in truck drivers and increase in demand for moving goods via the highway. The United States Department of Energy (USDOE) research has clearly identified emissions and fuel saving benefits of truck platooning that would be sufficient to pay for the systems. The Smart Columbus Program provides a catalyst for adoption in the United States to launch this growth and adoption. Truck parking is similarly also beginning to be commercialized and simply needs a location with high visibility where procedural challenges can be identified and resolved. With the eventual ability to include private parking providers within the system, this system can quickly utilize a fee-based subscription service to become self-sustaining.

### A.1.2 Operating Smart Columbus

The Smart Columbus Program Management approach offers a structure for optimizing the expected outcomes – improving safety, enhancing mobility, creating ladders of opportunity, and addressing climate change. The structure is encompassed within the Smart Columbus Program Office as the governance body for planning, design, implementation, and sustainable operations of our program components. It is also incorporated in our processes for managing the planning, design, deployment, testing, and operation of Smart Columbus.

The key to the success of Smart Columbus is having a detailed Program Management Plan (PMP) and skilled program leadership to execute the plan. The Smart Columbus Program Office will ensure successful execution of the PMP, including ongoing operations. Smart Columbus leadership will base the PMP on proven Project Management Body of Knowledge (PMBOK) principles. Components of the PMP are described below.

#### A.1.2.1 Scope Management

The Smart Columbus Team will prepare a scope management plan that presents a clear approach to managing risks associated with scope changes. Managing changing requirements will be a challenge over the life of the program. The Smart Columbus Team will employ change management as a key component of scope management to ensure a process is in place to manage changing requirements over time. The technologies and standards involved in this program are in their infancy. Thus, the technical concept described in this proposal may be impacted due to technological advances, enhancements of standards, regulatory changes, or functional obsolescence. The Smart Columbus Team will develop subcontract scopes that are precise, clear, and measurable to ensure ambiguities do not result in scope changes, schedule slips, or added costs. The City has well-established procurement processes geared to handle the various professional and construction services and equipment to be acquired during the



demonstration, which allow for various forms of procurement from closed bid to sole source. The City's Vendor Services is an eGov initiative that provides a one-stop, 24-hour portal for vendor services and contract information. It allows vendors to express interest in doing business with the City and effectively self-manage registration, compliance, and bid information, and provides open access for vendors to compete for the public's business.

### A.1.2.2 Work Breakdown Structure

Each task in the Work Breakdown Structure (WBS) will be associated with a task in the program schedule and a deliverable or element of a deliverable. A preliminary WBS for this project has already been developed as the basis for the project work scope, task descriptions, and the project schedule. An updated version of this WBS will be presented at the kick-off meeting to obtain feedback from the USDOT on the structure of the program and to discuss task priorities.

### A.1.2.3 Schedule

The Smart Columbus baseline schedule is our roadmap to success. The Smart Columbus application writing team has prepared a baseline schedule using Microsoft Project. Figure A-11 shows a summary version, which will be expanded and refined for the kick-off meeting. Our team used our experience from the Safety Pilot Model Deployment and the Tampa Connected Vehicle Pilot projects to provide a realistic schedule that considers risk areas.

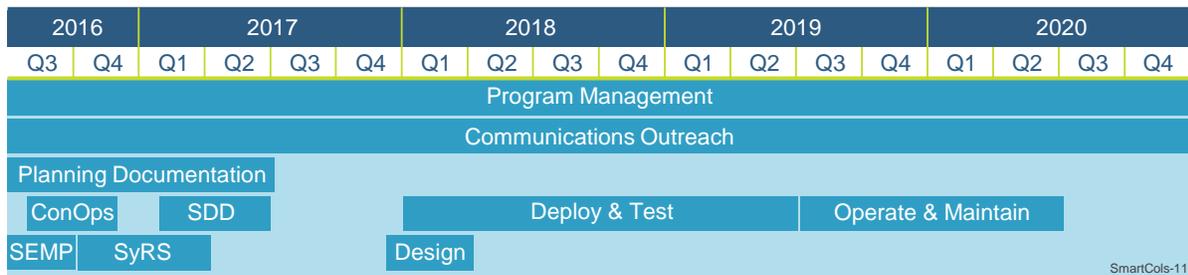


Figure A-11. The proposed schedule leverages the experience of ITS experts.

We will flag and develop a risk management plan for items that present a schedule risk, such as agency procurement, partnering or contracting issues, or intellectual property disclosures during concept development. When tasks are not keeping pace with the baseline schedule, mitigation efforts will be undertaken to bring the task back in line.

### A.1.2.4 Risk Management Plan

The Smart Columbus Team will maintain a risk register, derived from the risk register used on the Safety Pilot and the Tampa CV Pilot. Possible risks will be identified and presented at the kick-off meeting with USDOT. This identification of risks will offer an early opportunity to discuss collaborative ways to mitigate such risks. A plan will then be defined to develop mitigation actions based on the potential impact.

### A.1.2.5 Communications Management

Clear lines of communication are necessary between single points of contact on the Smart Columbus Team and at the USDOT for important decision-making. In many cases, however, it is not necessary that communications be funneled through program leadership, unless decisions are required that affect overall program scope, schedule, or costs. Routine communications can be peer-to-peer to establish and strengthen such relationships as the program moves into deployment and operations.

### A.1.2.6 Milestones and Deliverables

The Smart Columbus Team anticipates a start date as early as July 1, 2016. Anticipating this date, the City of Columbus will seek to begin procurement activities in June 2016 with the intent to have the technical deployment team in contract in July 2016. Following completion of procurement of the



deployment team, the team will commence immediately upon notice to proceed (NTP) with development of the PMP and refinement of the project schedule.

#### A.1.2.7 Systems Engineering and Planning (SEMP)

Our high-level schedule calls for the Systems Engineering and Planning (SEMP) to be completed within two months of NTP. In the meantime, the Smart Columbus Team will begin development of the Concept of Operations (ConOps), which is expected to be completed within the first four months of the contract. When user needs are understood, high-level requirements will be defined and the Systems Requirements Specification process will be completed in early 2017, followed by the System Design Document (SDD). It is anticipated that all systems engineering documents will be completed within 12 months of NTP.

Other plans, including the Performance Measurement Plan, Data Privacy Plan, Data Management Plan, Safety Management Plan, and Communications and Outreach Plan, will be completed in that same timeframe.

#### A.1.2.8 Design, Deployment, Testing, Operations, and Evaluation Support

Upon USDOT approval of the systems engineering documents and plans, the Smart Columbus Team will commence design and deployment activities for the projects. The SDD, Site Plan, and Installation Schedule will be our guide for the design and deployment activities.

The four enabling systems – the CCTN, IDE, EHS System, and the EV infrastructure solutions – will be the first group of projects to be deployed. These projects represent the foundation of the program. Design and development of the enabling systems will occur beginning in mid 2017 with completion expected within 12 months. Four separate teams – one for each district – will work on these systems concurrently to expedite delivery of the system designs to the deployment team.

As these foundational elements are developed, they can be rolled out to the various districts, where solutions will be deployed in conjunction with the Smart Columbus partners. Deployment is expected to take 18-24 months, with completion of the system deployment by June of 2019. This time frame will allow a 12-month operations and data collection period for evaluation, with final completion in July 2020.

### A.1.3 Alignment of Approach with USDOT Vision Elements

As the previous discussions indicate, the proposed Smart Columbus approach embraces and addresses the USDOT vision elements. Table A-5 summarizes this alignment. More importantly, the City has defined an approach that builds on these elements and enables us to achieve the vision we have framed for Columbus: use innovative technology solutions to improve access to jobs, connect visitors to transportation options, use smart logistics to stimulate economic prosperity, connect our residents to safe reliable transportation, and efficiently move people and goods through environmentally sustainable practices.

Table A-5. Technology solution alignment with USDOT vision elements.

USDOT Vision Element	Smart Columbus Program Elements							
	CCTN	IDE	EHS	EV Infrastructure	Residential District	Commercial District	Downtown District	Logistics District
Urban Automation	X	X	X	X		X		X
Connected Vehicles	X	X		X	X	X	X	X
Intelligent Infrastructure	X	X		X	X	X	X	X
Urban Analytics	X	X	X		X	X	X	X
User-Focused Mobility Choices		X	X	X	X	X	X	
Urban Logistics	X	X				X	X	X



Table A-5. Technology solution alignment with USDOT vision elements.

USDOT Vision Element	Smart Columbus Program Elements							
	CCTN	IDE	EHS	EV Infra-structure	Residential District	Commercial District	Downtown District	Logistics District
Electrification & Smart Grid		X		X	X	X		
Connected Citizens	X	X	X		X	X	X	X
Partnering & Strategic Business Models	X	X	X	X	X	X	X	X
Architecture & Standards	X	X	X	X	X	X	X	X
Communications Technology	X	X	X	X	X	X	X	X
Smart Land Use	X			X	X	X	X	X

## A.2 APPROACH TO INTERACTING AND ENGAGING WITH PARTNERS

### A.2.1 USDOT Partners

#### A.2.1.1 Paul Allen’s Vulcan

Vulcan will provide up to \$10 million in funding in addition to the USDOT grant. The partnership with Vulcan will be a client relationship, much like the USDOT relationship. Vulcan will be our client with progress and reporting requirements. In cooperation with Vulcan and the Electrification Coalition, we will work to change how Columbus powers and moves people to reduce greenhouse gas emissions.

#### A.2.1.2 Mobileye

Mobileye has committed to providing 300 units of their Shield + collision avoidance systems to help prevent collisions between vehicles and vulnerable road users (VRUs).

COTA has a fleet of 350 buses, 302 of which run during peak hours. COTA leadership is committed to equipping their entire fleet with Mobileye’s Shield + to take advantage of the safety benefits. Because drivers handle different vehicles, COTA wants to ensure that all vehicles are equipped with the Mobileye Shield; therefore, the purchase of an additional 50 shield units is included. These Shields will eliminate driver confusion that could occur if drivers use different buses. Rosco has been responsible for North American installation of Shield + to date and will work with COTA to determine the best way to train COTA’s technicians and equip their fleet.

The Mobileye units will also be used to collect data on the condition of pavement markings. Currently, the City of Houston, Texas is deploying the lane-marking assessment technology. Columbus would like to incorporate collection of these roadway data, as well as the signing condition and pothole presence data into the deployment, and leverage it as part of their planned asset management system. In the winter, the early detection of potholes will be especially useful.

#### A.2.1.3 Autodesk

Our Smart Columbus Team is working hard to share with our community the opportunity presented by this challenge. Therefore, we are challenged with how best to help various user groups, stakeholders, and anyone affected by the deployment of our smart city initiatives to understand what this challenge means for them. Already, we are hearing that early and effective education will be critical to adoption of any new technology in the Residential District of Linden.



As we progress toward detailed planning and design, we will engage City area commissions, the Urban League, and Columbus Area Committee on Disability Issues for design and deployment suggestions. Autodesk Infracore 360, with its ability to quickly absorb and render numerous data formats, is assisting us with these challenges by conveying what a smart city is and obtaining meaningful feedback through the 3D models and videos.

### A.2.1.4 Amazon Web Services

The City of Columbus will control access to data and resources through Amazon Identity and Access Management (IAM), allowing information and resources to be shared securely with partner agencies, consultants, and contractors. This approach will enable the City to retain control of shared information, while facilitating rapid distribution of data for development purposes, as well as facilitate the ability to monitor data usage and performance for reporting and measuring success with stakeholders.

Resource and action-based permissions will allow the City to attach policies to large datasets in addition to users, groups, and roles to specify what actions can be performed. The City will assign permissions to application developers, providing them permission to specific categories of data from the Smart Columbus Program within the Smart Columbus IDE. These permissions can be assigned to a template through AWS CloudFront, and assigned to other partners as needed.

The City of Columbus will also implement operational and administrative controls through AWS to automate access management and share information securely. A Governance Plan will be created to assist in managing the increased complexity inherent in this smart city deployment. The Governance Plan will define the policies and permissions for levels of access, as well as managing security, audit information, and compliance. A Data Governance plan will be used to plan for the increased complexity inherent in a smart city.

### A.2.1.5 NXP

Partnering with NXP will be a strategic asset in connecting the City of Columbus, whether it be vehicle to infrastructure or people to people via technology. NXP has generously agreed to provide the following:

From the automotive business unit:

- 75 DSRC roadside units (RSUs) with the full WAVE software stack
- 250+ on-board After-market Safety Devices (ASDs)
- 10 Software Development Kit (SDKs)
- 2 development licenses
- Training and support in deploying NXP automotive products

From the security and connectivity business unit:

- 50,000 RFID stickers tags. These tags will replace the parking tags currently distributed by the City at the rate of approximately 6,250 per year. We will work to double the distribution to 12,500 per year. This number equates to 50,000 over the course of 4 years. Our Smart Columbus Team is working with NXP to design a Smart Columbus/NXP-branded RFID sticker for wide distribution.
- 60 POS readers
- 10 RFID readers
- Development of a software application (1 media issuer and 3-4 application providers)
- Development of a software authentication solution
- Training (up to 8 sessions) and support in deploying NXP security and connectivity products.

Our team is most excited about having access to NXP's training staff and their IoT Truck on a regular basis during the four-year grant period. The truck is a hands-on technology showcase and demonstration platform and provides learning opportunities for Columbus youth and emerging scientists. We plan to deploy it, in partnership with local Science, Technology, Engineering, and Math (STEM) programs, community centers, and schools throughout the City.



### A.2.1.6 Alphabet's Sidewalk Labs

Alphabet's Sidewalk Labs Flow platform provides the City of Columbus with an opportunity to address a common challenge within our city and cities throughout the United States.

The Flow platform provides a mechanism for the City to build and integrate trip planning and routing across multiple providers and modes. With the availability of Google's Urban Mobility data, as well as data from Experience Columbus and our regional parking providers, the Flow Platform will provide a back office integration and algorithm assignment that will be used to drive our integrated, multi-modal travel application. A significant benefit of the Flow Platform is its adaptability, and Sidewalk Labs' willingness to customize the platform to solve one of Columbus' key challenges, linking health providers and residents and facilitating their transportation options. Through the Flow platform, the City of Columbus will implement a back office system that health service providers can use to arrange for transportation on behalf of their patients (Figure A-12). This system can encourage health visits to be made through transportation subsidies and the linking of the trip to a "Smart Columbus" payment card/mobile application. Following the initial project deployment, Sidewalk Labs can register new providers and offer this service in a per-transaction service model.

### A.2.1.7 US Department of Energy

The City will partner with USDOE and one of their in-resident electrification SMEs from one of its national laboratories. This SME will be located in Columbus and will serve various deployments. We will engage this resource early to ensure we incorporate their ideas early and have the maximum amount of time to mitigate any new risks that are noted.

### A.2.1.8 AT&T

AT&T has committed to provide in-kind partnering to the City to assist with the deployment of the Columbus Connected Transportation Network (CCTN). The proposed partnering includes professional services and technical support resources; communications and data management technologies; USB cellular modems and SIM cards and connectivity; hardware to support communications and data management services.

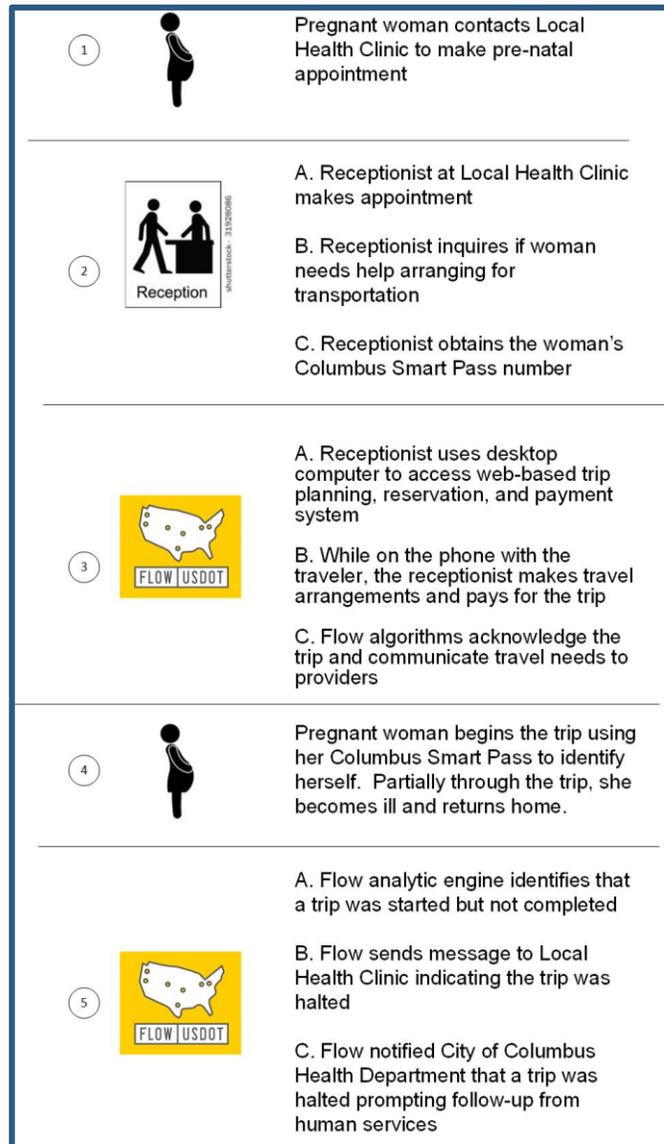


Figure A-12. Flow from Sidewalk Labs will help the City of Columbus improve public health and infant mortality by connecting health service providers and travelers and providing an analytic engine and reports to focus additional intervention activities.



### A.2.1.9 Continental

The City will partner with Continental to develop and deploy technologies to enable the operation of smart intersections as part of the CCTN. The technologies to be deployed include a roadside infrastructure sensing system; onboard V2X system, and DSRC communication systems to enable communication between roadside and onboard systems; API interfaces on cloud backend comprised of APIs for accessing data from both onboard and roadside V2X systems; basic safety messages to demonstrate the effectiveness of the CCTN on alleviating transportation-related issues such as intersection safety warnings, traffic management, automated system to regulate the flow of traffic according to real time traffic information, in-car productivity and safety, V2X warnings based on driver profile, route optimization or navigation, and reduced traffic congestion through load balancing via rerouting services enhanced with real time navigation data; and gamification of driving with incentives for drivers to behave responsibly to improve traffic condition and safety.

### A.2.1.10 DC Solar

DC Solar will partner with the City to deploy eight to ten mobile solar generators or EV charging stations in 11 month increments at locations in the City to be determined. Mobile solar generators and EV charging stations will demonstrate the use of renewable energy sources in support of fleet electrification and power generation.

## A.2.2 Public/Private Partnerships

In addition to the USDOT Partners and team members who will actively participate, Smart Columbus proposes to engage a number of other partners and stakeholders, subject to the City’s procurement regulations, who would collaborate, primarily with in-kind services, to make the demonstration project a success. Table A-6 summarizes the partners and their areas of contribution.

Table A-6. Smart Columbus has numerous partners supporting the deployment effort.

Partner	Area of Impact					Contribution to Smart Columbus
	Enabling Technologies	Residential District	Commercial District	Downtown District	Logistics District	
Battelle	X	X	X	X	X	Direct staff support to program office. Support for AV/EV/CV demonstrations.
Columbus Partnership	X	X	X	X	X	Major funding contributor to the Smart Columbus non-profit. Support for transit pass program.
Econolite	X					Econolite will provide licenses to their new Clarity software free of charge. Clarity provides performance statistics to enable better management of the signal system.
Experience Columbus	X			X		Public parking data and visitor application.
Franklin County	X	X	X	X	X	Major funding contributor to the Smart Columbus non-profit.
Greater Columbus Arts Council		X	X	X		Smart public art and community engagement.
HERE, Inc.	X	X	X	X	X	Data and application support.
Honda	X	X	X	X		Equip up to 200 CV, V2I vehicles.
INRIX	X	X	X	X	X	Providing real-time flow data, traffic monitoring site, incident information and reporting, and performance measures tools.



Table A-6. Smart Columbus has numerous partners supporting the deployment effort.

Partner	Area of Impact					Contribution to Smart Columbus
	Enabling Technologies	Residential District	Commercial District	Downtown District	Logistics District	
MassFactory	X					Based in Barcelona, Spain. Award-winning app development firm providing app to help people with cognitive and physical disabilities move around cities autonomously using various forms of transportation. Will be coordinating with COTA on operation and management of demonstration to 50 clients.
The Ohio Department of Transportation (ODOT)	X	X	X	X	X	Logistics and transportation data. Support for AV/CV demonstrations.
The Ohio State University	X	X	X	X	X	Major funding contributor to the Smart Columbus non-profit. Support for AV/EV/CV demonstrations.
Peloton	X				X	Multi-truck platooning deployment using DSRC from Rickenbacker freight hub.
Sports Pavilion and Automotive Research Complex (SPARC)	X					Proposed Columbus complex that would be anchored by a Technology Center and feature a paved half-mile track. SPARC will serve as a one-stop-shop for installation and testing of DSRC, RFID tags, and other equipment to be loaded on vehicles.

## A.3 TESTING AND DEMONSTRATING HIGHLY AUTOMATED VEHICLES

### A.3.1 Approach

Our proposal for FMLM solutions in our commercial district includes the deployment of multiple EAVs on public roadways. Further, our freight solution includes heavy tractor platooning using an AV for the trailing vehicle. In both cases, for purposes of testing and initial demonstration, the Smart Columbus Team has the distinct advantage of having multiple resources fully capable of supporting the safety and operational testing necessary to deploy autonomous vehicle technology:

- The proposed Sports Pavilion and Automotive Research Complex (SPARC) facility, located less than 1 mile west of Columbus’ central business district will serve as the primary test site, offering an ability to conduct both urban environment and high-speed AV testing in a controlled environment. CV infrastructure, including test intersections, will be available to support the full suite of necessary testing. SPARC is also expected to be the site for testing of all CV-enabled vehicles associated with our proposal, ensuring interoperability in that environment. Details of SPARC’s capabilities are listed in the Safety section of this proposal.
- The National Highway Traffic Safety Administration (NHTSA)-owned and OSU-operated Transportation Research Center (TRC) is located roughly 20 miles northwest of Columbus’ outerbelt and has a seven-mile long, high-speed track that is the perfect environment to test and demonstrate the truck platooning feature. Further, the TRC has the facilities to conduct a majority of the testing associated with the EAV, able to replicate the size extent of the Easton area within TRC’s property. Finally, after-hours testing at the proposed Easton site will be conducted as final demonstration of the concept.



- OSU's CAR West site will be equipped with the necessary charging station and signal systems, identical to that to be deployed in the Easton area, so that the initial component and integration testing can be conducted.

Test procedure development will be led by the OSU research team in cooperation with the vehicle manufacturers and the test facility operators. Currently, the Automated Driving Lab at the Center for Automotive Research of OSU is working with industry partners on several EAV projects. One in particular focuses on reducing energy consumption by 20 percent of connected and automated driving technologies. The energy consumption reduction is expected to be achieved by using CV technology to communicate with the traffic lights to slow down or start vehicle motion optimally at red and green lights, respectively. The results of this collaboration are expected to result in even greener on-demand vehicles that can be deployed in the Columbus EAV deployment sites, including the Easton Town Center. Test procedures and lessons learned from both efforts are expected to be shared in order to advance both projects.

In addition to traditional functional testing, the City will perform an independent safety assessment of the proposed EAVs. All procedures will be reviewed by an independent safety evaluator and our Institutional Review Board (IRB). Professional drivers will be employed as necessary to complete the initial testing phases.

The City's long-term vision includes the continued operation of both the EAVs and the truck platooning tractors, however, if for any reason it was decided to discontinue their use, or if equipment failure resulted in their no longer being suitable for their intended purpose, the following actions would be taken:

- Operational but no longer deployed EAV's will be transferred to OSU's CAR for further research purposes, or at the request of USDOT, provided to another interested UTC.
- All batteries or other power sources utilized in the electrified AVs will be recycled in accordance with State regulations.
- The AV tractor used for truck platooning will remain in the carrier's fleet, operated as a normal driver-controlled vehicle and disposed of following the carrier's normal practices.

### A.3.2 Identification of Regulatory Issues and Plans for Overcoming Impediments

**Licensing of 5.9 Ghz DSRC spectrum.** The City of Columbus will apply for and would be expected to receive the necessary operating license for the 5.9 GHz spectrum associated with the DSRC. The City will be responsible for all licenses deployed in the region even if the radio is deployed on County or ODOT facilities.

**Regulations for driverless vehicles in the State of Ohio.** Smart Columbus Team members are actively engaging state legislators to enact regulations to allow for use of Connected/Automated Vehicles (CAVs) on public roads. These regulations include the necessary regulations to allow fully autonomous "driverless" vehicles to operate on public roads, as well as to allow the necessary close-proximity following that is part of the proposed truck platoon vehicles. Specifically, ODOT is developing an action plan and a cross-functional leadership team with its transportation partners to prepare for CAVs. ODOT has assembled an executive management team to guide the Department's activities. The cross-functional team will include key state agencies like the Departments of Public Safety, Commerce, and Administrative Services, as well as regional and local entities including MORPC, COTA, and the City of Columbus.

## A.4 DEPLOYING ELECTRIC VEHICLES

### A.4.1 Ability and Commitment to Electric Vehicles, Grid Decarbonizing, and Fleet Transition

#### A.4.1.1 Procurement Challenges and Opportunities

There are three types of buyers for electric vehicles: Public Fleets, Private Fleets, and Consumers. There are challenges and strategies that face each group:



- **Public Fleets:** Government fleets must competitively bid vehicle purchases from a “bid list” developed by a local or state government procurement department. Currently in Ohio, no EVs are included on this list. Fortunately, the City of Columbus Fleet Services Division and Procurement Office are highly receptive to EV acquisition and interested in developing specifications and a competitive bid process for EV acquisitions. We anticipate EVs being available for government purchase by the end of 2016.
- **Private Fleets:** Higher initial costs are a barrier for adoption, but financing to leverage total cost of ownership is helpful. Ensuring proper operational range is also critical; sometimes supplemental EV charging is needed. For these fleets, proper planning and consideration can help yield insight for the role EVs can play.
- **Consumers:** Overcoming higher upfront vehicle costs is a hurdle, especially when gas prices are lower. By creating new financial structures and leasing arrangements, individuals can overcome this challenge.

### A.4.1.2 Fleet Conversion

Fleets are often dedicated to a specific make or model of vehicle, in the interest of streamlining maintenance through more parts commonality. With EVs however, maintenance requirements and costs overall are reduced. Integration of EVs will require training for fleet technicians and analysis to help fleets choose EVs that best fits with operations.

### A.4.1.3 Electric Vehicle Car Share

The City of Columbus already carries a number of transportation sharing platforms, including car2go. Currently, this car sharing service does not include EVs. Installation of charging facilities will facilitate adoption of EVs by car sharing services.<sup>2</sup> Fortunately, there are a number of car2go-dedicated parking spaces in off-street lots throughout the City. Importantly, these need to be “smart” charging facilities to enable car sharing EVs to be used in the future to provide grid resources such as peak shaving and vehicle to grid (V2G).

### A.4.1.4 Decarbonization of City Electricity Supply

EV adoption, paired with smart charging, is critical to decarbonize transportation and also the electric grid in the medium to longer-term time frame. Because Ohio is a deregulated electricity market, governments, businesses, and individuals can choose 100 percent renewable electricity plans to help reduce the carbon footprint of their electricity consumption. Charging most EVs at night will enable greater utilization of wind energy. Solar installed on rooftops and parking lots, particularly at workplaces, provides an opportunity for continued decarbonization. Continuation of net metering will be essential.

Enabling benefits from grid-connected solar EVs paired with renewable energy also will require significant investment in smart metering. The City of Columbus and partners support bringing cases to fund investments over time to our utility regulators while obtaining policy support from the Ohio General Assembly. While the City of Columbus has been recognized as #13 in the Environmental Protection Agency’s (EPA’s) rankings of Greenest Local Governments, these steps would further advance efforts to reduce carbon emissions.<sup>3</sup>

### A.4.1.5 Consumer Adoption of Electric Vehicles

Barriers to consumer adoption of EVs include higher initial purchase costs, lack of charging, especially at multi-unit residences and workplaces, and lack of education about the cars and their capabilities. One encouraging model that addresses the up-front cost and educational barrier simultaneously is group cooperative purchasing of EVs. The City of Columbus provided a letter of support to Clean Fuels Ohio (CFO), the local Clean Cities coalition, as part of a seven-state application to the USDOE for consumer

<sup>2</sup> EV Carsharing Services: Car2Go San Diego: <http://bit.ly/OFegN7> and BlueIndy: <http://bit.ly/1iz4ony>

<sup>3</sup> City of Columbus Recognized as Top 30 Greenest Local Government, US EPA: <http://bit.ly/1rt9pT8>



education funding. The program will feature educational partnerships with dealers, workplaces, large-scale educational events, and other efforts.

### **Electrification Infrastructure**

Information on the availability of charging stations within the City of Columbus will be captured, monitored, and disseminated using a version of the Electric Charging Stations Management Connected Vehicle application. Access to this information will be made available through an Application Programming Interface (API) and a base mobile phone or web-application to disseminate this information to the public will be developed and deployed.

#### **A.4.1.6 Lessons Learned and Best Practices**

Group purchasing and “ride and drives” are the best way to encourage adoption of EVs by consumers. Many fleets in the Central Ohio area have analyzed fleet operations and economics of alternative fuel choices using a total cost model. Similar analyses can be conducted regarding prospects for incorporating EVs.

### **A.4.2 Current and Planned Electric Vehicle Deployment and Decarbonization Activities**

#### **A.4.2.1 Local Utilities Programs**

Local utilities in the Columbus area offer various incentives that encourage the decarbonization of electricity production and electric vehicle adoption. There are also significant opportunity for expansion of these programs, such as current policies:

- Local utility AEP offers a Net Energy Metering Service tariff for customers with renewable energy installations, including solar and wind.<sup>4</sup> Customers receive credit for supplying excess energy from their installation back to the grid, saving them money and providing the grid with support during peak hours.
- AEP also offers customers the option to match their electric usage with Renewable Energy Credits (RECs), ensuring that they are purchasing 100 percent renewable energy.<sup>5</sup>

The City of Columbus and our proposed partners are supportive of efforts to encourage community outreach and policy adoption through Ohio utility regulators and the Ohio General Assembly to enable EVs to provide grid resources and facilitate greater development of renewable energy.

#### **A.4.2.2 Sustainability Plans**

The City of Columbus, OSU, and other community entities have sustainability plans and initiatives that address decarbonization of the electricity grid and adoption of electric vehicles. Current plans include the following:

- The City of Columbus has five-year environmental sustainability guidelines.
- OSU’s Sustainability Plan includes reducing the carbon footprint of the university fleet by 25 percent, and includes EV adoption.<sup>6</sup> The university also plans to achieve carbon neutrality by 2050.
- Many large employers and national companies within the Columbus Area have extensive sustainability plans, of which EV adoption is factored in. Further participation in programs such as the Workplace Charging Challenge will help these entities reach their sustainability goals, attract top talent, and provide opportunities for recognition and publicity.<sup>7</sup>

<sup>4</sup> AEP Net Metering Eligibility: <http://bit.ly/1raR3pr>

<sup>5</sup> AEP 100% Retail Electricity Plan: <http://bit.ly/1raR3pr>

<sup>6</sup> Ohio State University Sustainability Plan: <http://bit.ly/1pwipFa>

<sup>7</sup> Workplace Charging Challenge: <http://1.usa.gov/1zYWPOv>



### A.4.2.3 Local Incentives

Current initiatives for EV adoption and energy decarbonization include:

- Ohio Green Fleets Program (CFO – Clean Cities coalition serving Columbus and statewide) – Assistance and recognition to fleets who look to achieve fuel usage and reduce emissions through the adoption of various alternative fuels and efficiency technologies, including EVs.
- The City of Columbus GreenSpot program<sup>8</sup> – Recognizes households, businesses, and community groups for environmental initiatives, including EV use, charging, and fleet initiatives. Nearly 14,000 members are enrolled, including nearly all major employers and community groups.

Planned and potential future policies include:

- County or State Excise Tax Exemption on EV purchases.
- Allocation of Congestion Mitigation and Air Quality (CMAQ) funding for Columbus to provide matching funding for charging station installations at public locations, workplaces, and multi-use dwellings.
- Modify local parking codes to incentivize developers to install EV charging or to be “EV charging ready” to reduce installation costs later on.

### A.4.2.5 Electric Vehicle Infrastructure

Impacts of seasonal weather variation can be addressed by offering EV charging at sheltered and/or in parking garages. This means of charging reduces any perceived or actual issues with range in cold weather. Increased EV infrastructure through public stations and workplace charging also reduces any weather-related range anxiety.

### A.4.2.6 Availability of Electric Vehicles

Currently, a variety of EVs of various ranges and prices are offered by Original Equipment Manufacturer (OEMs) in the Columbus area, including offerings from Tesla, BMW, Nissan, GM, and Ford with Kia and Honda set to enter the Ohio market later in 2017. The number of total EV sales has increased annually since 2013. Some models are not yet available in Ohio but this is expected to change over the next few years. Growth of the EV market in Central Ohio and partnerships with OEMs will accelerate this process. Finally, Honda of America is a partner with significant manufacturing based in Central Ohio. Honda is committing to sell the Fit-EV in Ohio. Honda will bring new plug-in models to market by 2017 and will offer these in the Columbus area.

## A.5 SETTING AND MONITORING MEASURABLE GOALS AND OBJECTIVES

Smart Columbus has developed five major goals to achieve its vision. They revolve around connecting all our residents to safe and reliable transportation, access to jobs, connecting visitors, smart logistics, and environmentally sustainable practices. Smart Columbus has identified specific transportation challenges associated with accomplishing these goals that impact the long-term viability and livability for the City. It is this team’s mission to overcome these challenges through a comprehensive and integrated approach that accomplishes the goals, objectives, and performance measures described in this section.

Each of the five Smart Columbus goals is highlighted in Table A-7. Below each goal are the USDOT Goals and Vision Elements that are addressed with our technology solutions. Each goal has specific objectives to be accomplished and the rationale explained for why these are important. Precise performance measures have been developed to quantify the exact outcome we are trying to achieve with our technology solutions, along with existing or proposed measurements.

<sup>8</sup> Columbus GreenSpot: <http://bit.ly/1QwaXiq>



## A.6 USING EXISTING STANDARDS, ARCHITECTURES, AND CERTIFICATIONS PROCESSES

A successful Smart Columbus will employ an “open” platform approach, leveraging existing and emerging standards, and embodying both an open-architecture for integrating and exchanging data among various partners systems. It will leverage and contribute to the body of available open-source tools and products. Finally, a Smart Columbus will utilize components that meet requisite USDOT and industry certifications, such as J2735 DSRC message set, IEEE 1609.x, IEEE 802.11p, and the various Society of Automotive Engineers Standards. Our team truly understands the importance of these concepts and is committed to their use, both during this initial program and beyond.

Evidence of our commitment to this philosophy can be readily found in both our existing government/public-sector partnerships, and the outcomes they have produced. As an example, MORPC has followed guidance prescribed in the National ITS Architecture, as well as the recommendations provided by the Federal Highway Administration (FHWA). This architecture provided a framework for the integration and interoperability of ITS systems in the region and continues to be refined as new ITS strategies are implemented. Historically, the City, MORPC, and COTA had convened quarterly ITS committee meetings to coordinate current and future ITS capital projects to be integrated into the Regional ITS Architecture plan.



Table A-7. Smart Columbus goals.				
<p><b>GOAL: Improve access to jobs through expanded mobility options in major job centers that currently are autocentric.</b>                      Applicable USDOT Goals: Improve Safety, Enhance Mobility, Enhance Ladders of Opportunity, Address Climate Change                      Applicable USDOT Vision Elements: 1,2,3,4,5,7,8,9,10,11,12</p>				
<b>OBJECTIVE: Provide options for first and last mile connections throughout Columbus' largest job centers</b>				
Rationale	Technology Solution	Performance Measure	Baseline Measurement	Data Source
Improve accessibility options to areas that are not easily reached by urban transit services and other means, so residents will have more employment and travel options for more economic opportunities and higher transit ridership.	Electric Autonomous Vehicle Pilot Project at Easton	The number of commuters using electric autonomous vehicles for job commute	Ridership Survey to be conducted before EAV deployment	EAV and COTA Ridership Survey, COWIC, US Together, Urban League
<p><b>GOAL: Impact the Columbus visitor experience by better connecting our visitors to transportation options.</b>                      Applicable USDOT Goals: Enhance Mobility, Address Climate Change                      Applicable USDOT Vision Elements: 3,4,5,7,11</p>				
<b>OBJECTIVE: Improve perception that Columbus lacks transportation options and parking availability for visitors of special events within the City</b>				
Rationale	Technology Solution	Performance Measure	Baseline Measurement	Data Source
Experience Columbus has collected more than 25,000 perpetual survey responses, indicating a lack of information and satisfaction with parking availability. Through our Columbus visitor application, we will change those perceptions by making the information more readily available.	Event Parking	Decrease number of survey results where lack of information and satisfaction with parking.	Established	Experience Columbus Visitor Survey
		Reduction in Congestion Index preceding and clearance time following event	Established	Department of Public Service, Analytics from Apps
<p><b>GOAL: Stimulate additional economic prosperity as a region and compete globally through smart logistics</b>                      Applicable USDOT Goals: Improve Safety, Enhance Mobility, Enhance Ladders of Opportunity, Address Climate Change                      Applicable USDOT Vision Elements: 1,2,3,4,6,7,10,11</p>				
<b>OBJECTIVE: Minimize the amount of extra (buffer) travel time necessary when planning expected trip travel time</b>				
Rationale	Technology Solution	Performance Measure	Baseline Measurement	Data Source
Freight carriers, commuters, and businesses need reliable and consistent travel times to ensure the on-time delivery of goods and most efficiently use their time. Consistency will give the Columbus Region a competitive edge and lead to economic prosperity.	Loading Zone	Reduction in travel time to loading zone following entry into Downtown District (Short North Neighborhood)	Logistics Survey to be conducted before deployment	RFID Data and Analytics from Apps to be Developed
	Long Haul Truck Parking Optimization	Utilization of developed application to obtain parking availability	Logistics Survey to be conducted before deployment	ODOT and Analytics from Applications



Table A-7. Smart Columbus goals.				
OBJECTIVE: Improve air quality through the reduction of truck congestion				
Rationale	Technology Solution	Performance Measure	Baseline Measurement	Data Source
By more efficiently routing trucks throughout the region, while improving truck congestion, air quality improvements will occur.	Platooning	The rate at which the goods pass through the Logistics District (Alum Creek Drive and Williams Drive)	Established	ODOT, DSRC, Department of Public Service
OBJECTIVE: Reduce truck accidents related to roadway limitations				
Rationale	Technology Solution	Performance Measure	Baseline Measurement	Data Source
By appropriately routing trucks through the region, we can reduce truck accidents, leading to safer roadways, less accident induced congestion, and high costs of repairing roadway infrastructure.	Asset Inventory and Truck Routing	Reduction in number of truck accidents due to truck height, weight, width, roadway limitation	Established	ODOT and City of Columbus Department of Public Service
<b>GOAL: Better connect Columbus residents to safe, reliable transportation that can be accessed by all.</b> <b>Applicable USDOT Goals: Improve Safety, Enhance Mobility, Enhance Ladders of Opportunity, Address Climate Change</b> <b>Applicable USDOT Vision Elements: 1,2,3,4,5,7,8,9,10,11,12</b>				
OBJECTIVE: Improve the ladders of opportunity for residents in our most underserved neighborhoods				
Rationale	Technology Solution	Performance Measure	Baseline Measurement	Data Source
Improving the ladders of opportunities in our most needy neighborhoods. One major technology solution is to provide improved Wi-Fi accessibility to residents throughout Linden. This improved accessibility will connect residents to healthcare, education, and employment options.	Enhanced Human Services; Wi-Fi Smart Street Lights Project; Kiosks, Smart Payment; Data Exchange; Smart Corridor	Number of missed prenatal and pediatric visits scheduled with the Enhanced Human Services Module	User Survey to be conducted before deployment	Enhanced Human Services Module, CelebrateOne
		Amount of food received per family per targeted Residential District (Linden) through the Mid-Ohio Foodbank	Established	Mid-Ohio Foodbank
		Job turnover in targeted Residential District (Linden) due to lack of travel options	Established	Columbus Chamber of Commerce
		Internet Accessibility and Availability in targeted Residential District (Linden)	Resident Survey to be conducted before deployment	Residential Survey, Analytics from Smart Street Lights and Neighborhood Hubs



*Table A-7. Smart Columbus goals.*

<b>OBJECTIVE: Increase mobility options throughout Columbus</b>				
<b>Rationale</b>	<b>Technology Solution</b>	<b>Performance Measure</b>	<b>Baseline Measurement</b>	<b>Data Source</b>
Smart Columbus aims to increase transportation options, not for some, but for all its residents (including the cash-based households) through multiple ways including a smart payment option that does not currently exist today but will work with both COTA and other non-transit providers while paying for it all in one application.	Smart Corridor; Multi-Modal Trip Planning; Smart Payment; Transit Service Information; Integrated Data Exchange; and Enhanced Human Services	Significant reduction in average commute time to work (Linden to Rickenbacker) (Linden to Easton)	Established	Mid-Ohio Regional Planning Commission
		Improvement in travel time reliability (Linden to Rickenbacker) (Linden to Easton)	Established	Mid-Ohio Regional Planning Commission
		Percentage of Smart Payment users that make purchases on multiple types of transactions	Not Applicable (new program)	Smart Payment App Analytics
		The percentage of residents that are aware of the multi-modal transit options	Not Applicable (new program)	Onboard Transit Survey, Transit Columbus
<b>OBJECTIVE: Leverage Columbus' Connected Traffic Signal System upgrades to safely and optimally connect people, goods, and services</b>				
<b>Rationale</b>	<b>Technology Solution</b>	<b>Performance Measure</b>	<b>Baseline Measurement</b>	<b>Data Source</b>
At its core, transportation is all about connecting people, goods, and services to other people, goods and services. Our CCTN will leverage planned improvements to build a safe and optimal demonstration of the system.	Connected Columbus Transportation Network (CCTN)	Number of Connected Vehicles	Not Applicable (new program)	MORPC
		Number of Connected Infrastructure Components	Not Applicable (new program)	Smart Columbus Deployment
<b>OBJECTIVE: Capitalize on the vast amount of data to enhance Columbus' transportation connections</b>				
<b>Rationale</b>	<b>Technology Solution</b>	<b>Performance Measure</b>	<b>Baseline Measurement</b>	<b>Data Source</b>
In the Smart Columbus program, data is the bond that links the infrastructure to the residents and visitors to the city; data is the core of Smart Columbus; a vast array of data has been identified from traditional and non-traditional transportation sources.	Integrated Data Exchange	Number of incoming streams of data from traditional and non-traditional transportation sources.	Established	MORPC, Department of Technology
		Number of third-party application developers utilizing the Integrated Data Exchange	Not Applicable (new program)	MORPC, City of Columbus Department of Technology



*Table A-7. Smart Columbus goals.*

<b>OBJECTIVE: Effectively balance the need for City issued permit-only parking spaces and general public parking in mixed-use neighborhoods</b>				
<b>Rationale</b>	<b>Technology Solution</b>	<b>Performance Measure</b>	<b>Baseline Measurement</b>	<b>Data Source</b>
In several mixed-use neighborhoods surrounding downtown, there is a constant struggle between how much permit-only parking to offer neighborhood residents versus the neighborhood business patrons. By tracking the permitted vehicles with RFID-based stickers, the real need can be correctly established.	Permit-Only Parking Space Management	The number of residential complaints registered in the City's 311 Call Center	Established	City of Columbus 311 Customer Service Center
<b>GOAL: Through environmentally sustainable practices, support the efficient movement of people and goods.</b> Applicable USDOT Goal: Address Climate Change Applicable USDOT Vision Elements: 7,8				
<b>Objective: Increase the number of electric vehicle charging stations positioned throughout Columbus</b>				
<b>Rationale</b>	<b>Technology Solution</b>	<b>Performance Measure</b>	<b>Baseline Measurement</b>	<b>Data Source</b>
With less than 50 current charging stations throughout the Central Ohio Region, the quantity is insufficient to support any large-scale shift toward electric vehicles.	Electric Vehicle Charging Stations	The number of charging stations	Established	Clean Fuels Ohio
		Utilization of charging stations	Not Applicable (new program)	Smart Columbus
<b>OBJECTIVE: Expand the Smart Grid Program to Include vehicle-to-grid capability for charging stations</b>				
<b>Rationale</b>	<b>Technology Solution</b>	<b>Performance Measure</b>	<b>Baseline Measurement</b>	<b>Data Source</b>
Growth of the Smart Grid Program will support electric vehicle infrastructure expansion, and manage grid resources for charging.	Smart Grid	The number of smart meters installed	Not Applicable (new program)	City of Columbus Department of Public Utilities, and AEP



With the addition of CV/AV technologies to the region, this current regional ITS architecture will be expanded to ensure that the guidance and processes captured in the Connected Vehicle Reference Implementation Architecture (CVRIA) are also documented and adopted. Coinciding with this, the review committee will expand to encompass a broader set of regional stakeholders to ensure the necessary interoperability is achieved. Figure A-13 is an example of how the architecture might look as expanded to document the concepts and application of a CV-enabled TSP system as discussed earlier in this proposal. Similar outputs will be produced and vetted for all applications proposed.

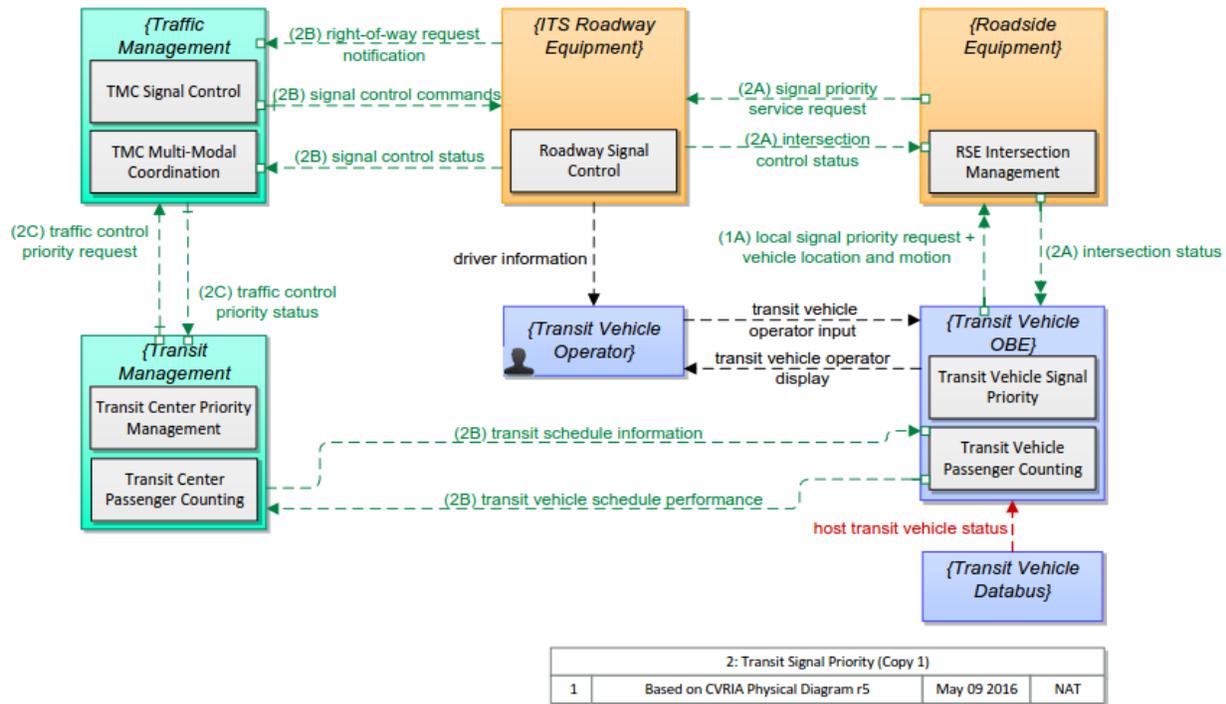


Figure A-13. Smart Columbus will develop an architecture to document concepts and applications and ensure interoperability, using the System Engineering Tool for Intelligent Transportation with the Connected Vehicle Reference Implementation Architecture.

Interim and final versions of this architecture will be made available to USDOT for review. Further, the City will participate in USDOT-led discussions related to CVRIA, sharing the documentation, successes, and lessons learned.

In addition to the expanded ITS and CVRIA architectures, the City also will employ and publish information related to the open-architecture upon which the IDE is based. Details of the IDE are described elsewhere in this proposal, but akin to the process currently used for the regional ITS architecture, the IDE will be brought under the purview of a stakeholder group similarly charged to coordinate current and future data-related projects and update the architecture accordingly.

In supporting these architectures, the City will also draw from the growing list of supporting standards, a list of which will be included in the deliverable Standards Plan. These standards include the core CV standards, such as SAE J2735 & J2945, IEEE 1609.x, etc., and also consider both emerging standards, such as those under development for wireless inductive vehicle charging (SAE J2954), as well as data management standards such as the International Organization of Standardization (ISO) 9075 series. To the extent available, the City will also commit to select equipment or systems that adhere to these standards or related specifications. Opportunities for future standardization will also be captured by the City and its partners, identifying any gaps during the design and implementation phase, and reporting these to the respective standards development organizations. Collectively, as an advocate of these



deployment approaches, Smart Columbus will continue to follow USDOT guidance for the use of Standards, Architectures, and the Certification Processes as an integral part of our successful approach.

## A.7 FOLLOWING A SYSTEMS ENGINEERING APPROACH

Smart Columbus interweaves cross-cutting enabling technologies with innovative solution sets to present a holistic approach to meeting the desired outcomes for the USDOT and the City of Columbus. Our program addresses all 12 USDOT vision elements for a smart city. Because of the complex interplay of these elements with the Smart Columbus Program, strict adherence to the systems engineering process is required to minimize risk and to ensure that user needs are met and performance goals are realized.

### A.7.1 Applying Lessons Learned from Other Federal Programs

The Smart Columbus Team will adapt lessons learned from other Federal programs, such as the Safety Pilot Connected Vehicle Model Deployment, the Tampa and NYC Connected Vehicle Pilots, the Ann Arbor Connected Vehicle Test Environment, the Connected Vehicle Transit Research Project, and the V2I Reference Architecture, to complete the documentation for the Smart Columbus Program and to lay the foundation for requirements definition, design, deployment, and continuing operations. Our experience on these other programs has demonstrated the importance of developing a robust SEMP that is integrated with the overall PMP to produce timely deliverables and to capture early benefits.

The systems engineering process begins with this document. This document is our plan for demonstrating what our smart city will be and what it will become in future as the solutions scale on a citywide basis.

Elements of our approach include:

- **Concept of Operations (ConOps)** – The Smart Columbus approach is focused on the users of the system and their needs. This principle is core for Smart Columbus. Thus, the ConOps will be user-focused.
- **System Requirements** – The system requirements will focus on five key system attributes – functions, interfaces, data, performance (including reliability), and security, specifically for the four core enabling systems.
- **Architecture** – One of the goals of Smart Columbus is to provide a scalable, interoperable, and replicable deployment. This goal is necessary to support national deployment objectives of connected, electric, and automated vehicle technologies. Consequently, the Smart Columbus Team will leverage federal investments in existing ITS standards, architectures, and certification processes for ITS and connected vehicle based technologies to the maximum extent possible. These processes includes the CVRIA and SET-IT tools.
- **System Design Document** – The system design documentation will provide the level of detail needed to implement the system and to document its configuration with drawings and photos for future operation and maintenance.
- **Test Plans and Documentation** – For each requirement, the Smart Columbus Team will identify and describe a test procedure and criteria to describe how the test verifies that the requirement has been met. The test plan will be a compilation of these test procedures. They will be mapped back to the system requirements.
- **Operations and Maintenance Plans** – The Operations and Maintenance Plans will be based on the ConOps and will be documented in MOUs among partner organizations. The Smart Columbus Program Office will continue to be the governing body for ongoing O&M of the system, but also for execution of the policies and procedures established for long-term sustainability of the system.

### A.7.2 Other Systems Engineering Documents

A Comprehensive Deployment Plan will be completed for this program utilizing experience gained on Safety Pilot and the Tampa CV Pilot. The schedule, cost estimates, and scope for the Smart Columbus Program will be presented in the document, which will lay the ground work for the successful design,



deployment, integration, operation and maintenance of the program, as well as demonstrate a comprehensive and integrated approach.

In addition to the Comprehensive Deployment Plan, we will also prepare a Deployment Readiness Summary (DRS) to ensure that we have satisfied all of the required elements of the program, and that we are in a position to design, build, test, and operate the proposed systems.

### A.7.3 System Management and Operations

The Smart Columbus Program Office will be responsible for ongoing system management and operations. The team will employ a Systems Manager Approach for execution of the SEMP and longer-term operation and maintenance of the Smart Columbus Program. This approach, used by the Smart Columbus Team members for other complex projects, provides continuing systems engineering rigor for future upgrades and replacement cycles, while offering flexibility in procurement techniques.

## A.8 ENSURING SAFETY OF ALL TRAVELERS

Safety is a critical component to any technology deployment, and the City has taken measures to mitigate safety concerns to protect the traveling public. In some instances, we will require the installation of duplicative technologies or backup systems to guarantee as safe a deployment as possible. For example, we will equip our autonomous vehicle fleet with DSRC radios that we will monitor via DSRC Roadside Unit (RSU) in and around the Easton deployment site. Further, 350 cameras in the Easton area will allow new ubiquitous coverage. This coverage enables a human operator to intervene and stop the vehicle if needed or to identify a vehicle that is behaving erratically and pull the vehicle out of service. In our Truck Platooning deployment, we will require a similar redundant system as well as having an operator in the second vehicle as an additional backup.

We will develop a detailed safety plan as part of the project design process as well as a comprehensive IRB package. For this project, OSU's IRB will serve as the IRB of record. OSU will review the safety plan and ensure that the team has undertaken the appropriate protective measures during the planning process, and during deployment through quarterly review meetings.

One key safety aspect with a technology deployment is to ensure that we install the equipment and technology components consistently and that they do not impede driving or other requirements of the operator. For this project, the proposed SPARC development would potentially provide, as a cost-share to the project, access to their facility and staff located in a HUB zone in downtown Columbus (Figure A-14). SPARC will be

instrumented with two DSRC RSUs and all vehicles for the project will be instrumented for the project will be equipped at this facility and run through a 1/4-mile road course to ensure that the operator and equipment are functioning correctly and that there are no safety issues that need to be addressed (e.g., visibility impairments, etc.). Similar capabilities are available both at

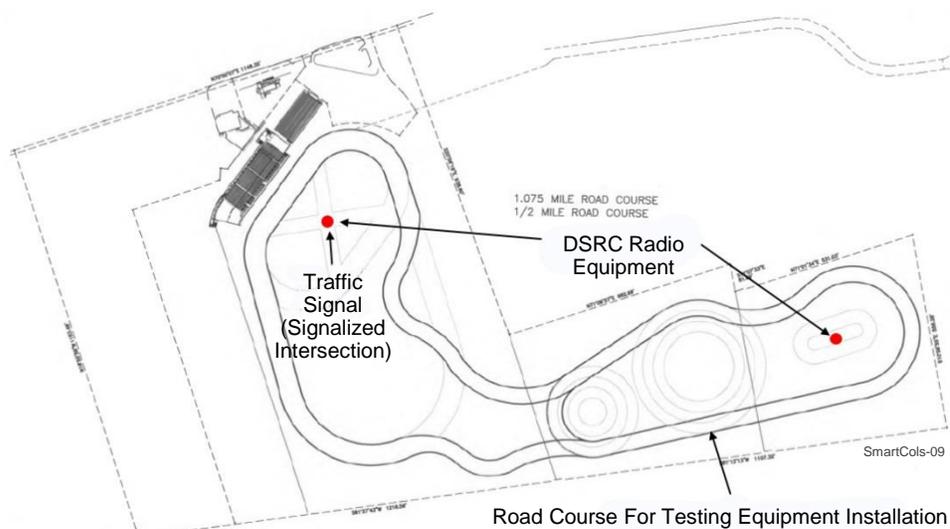


Figure A-14. The City of Columbus may partner with the proposed SPARC development to perform vehicle installation and safety checkouts of all vehicle technologies deployed.



OSU CAR and at the TRC in Marysville, Ohio, located about 20 miles west of Downtown Columbus. Key staff at these facilities will be available to assist in the SPARC installation and testing program startup. Table A-8 provides a high-level summary of safety risks associated with this project and the measures to mitigate those risks.

Table A-8. Illustration of potential safety risks and mitigation measures.

Potential Safety Risk	Likelihood	Impact	Description of Mitigation Measures
Autonomous vehicles not properly calibrated and are involved in incidents/accidents	Low	High	All AV vehicles will undergo a strict testing process at both the SPARC/TRC/OSU CAR facilities and at Easton during low-travel periods (i.e., early morning)
Transit operators confused by equipment and notifications	Low	Medium	COTA will provide training of transit providers on all equipment installed on COTA buses (Mobileye and DSRC equipment). Operators will be provided with both presentation style and on-road experience at SPARC/TRC/OSU CAR with the systems before use on operational roads.
Signal phasing on DSRC fails, resulting in incidents/accidents from cross-traffic	Low	Low	The City of Columbus will ensure that each intersection includes a fault monitor that will revert the intersection back to a “flashing red/yellow” intersection should the DSRC signal preemption equipment fail or conflict with the traffic signal controller.
Increased bus traffic on Cleveland Avenue increases pedestrian risk	Low	High	Smart Columbus has intentionally planned two redundant systems for pedestrian safety. First, each transit vehicle will be equipped with Mobileye devices. Second, several transit stop, intersection, and transit buses will be equipped with DSRC equipment and will include Pedestrian in Crosswalk CV applications as well as Transit Bus Stop Pedestrian Warning Applications (TSPW). Several high usage Transit Stop will be equipped with Pedestrian Warning devices consistent with the TSPW Application.
Technology failure in vehicle platoon	Low	High	Although the second vehicle in the platoon will be fully autonomous, we will require an operator to be present in the vehicle and ready to assume control of the vehicle in the event of a technology failure.

## A.9 COMMUNICATIONS AND OUTREACH

This communication outreach plan includes outreach to Columbus residents as well as communication with other mid-sized cities. Any major public/private initiative will be successful only if residents and other stakeholders know and understand it, are engaged and help shape it, and feel a personal connection to it. We want Columbus residents, visitors, and stakeholders to be inspired and improve their lives through Smart Columbus. We want to ensure partners are all on the same page, moving in one, unified direction, and that we capture and communicate our lessons learned so that our experiences can be replicated throughout Columbus and by neighborhoods across the United States and around the world. The Communication and Outreach Plan is designed to achieve these goals and ensures that we successfully engage residents, community leaders and organizations in our communications efforts.

### Audiences

A number of audiences are critical to achieving the Smart Columbus vision:

- Local participants, including residents, truck fleet operators, visitors, the arts community, logistics companies, businesses and community leaders in each district.
- The greater Columbus community.
- USDOT, partners, and vendors, who will help fund and implement the vision, and capture/share experiences.
- Other mid-sized cities (Mid-Sized City Forum).
- The transportation and technology industries, the research community, cities across the United States, and others who will monitor and duplicate our lessons learned, including international audiences.



- The media, including print, digital, and broadcast – with local, state, national, and international audiences.
- Policymakers, including elected officials at the federal, state and local levels.

## **Communications and Outreach Plan**

Upon project award, the Smart Columbus Team will further refine and expand upon this Communication and Outreach Plan, vet it with local partners and USDOT, finalize the schedule, and proceed with implementation. We will document all outreach activities and provide interim reports.

## **Brand, Message, Website, and Communication Toolkit**

Working through community partners we have thus far engaged, the Smart Columbus Program Office has established a compelling brand in Smart Columbus that will work in conjunction with the Smart Cities brand. The name, logo, and color palette will be incorporated into all communication materials. Next, we will develop a concise message so the community understands and can rally around the Smart Cities mission. This foundational message, along with sub-messages for each target audience, will serve as the overarching talking points and basis for all communication tools moving forward, from a website and presentations to news releases, frequently asked questions, fact cards, social media, and advertising. These outreach measures ensure the public hears one consistent message, which builds understanding over time.

The toolkit will include a promotional video about the Smart City Program that will be updated at least twice. We will also produce short video clips that can be posted regularly on the website and easily shared on social media with the Smart Columbus message.

The City of Columbus Smart Columbus website [www.columbus.gov/smartcity](http://www.columbus.gov/smartcity) will provide demonstration updates and the communication toolkit, but will also relay progress through a regular blog and dash board that reports numbers of participants, community engagement opportunities, and data/transportation metrics. Interim reports and other knowledge transfer will be gathered here. The blog, which will spotlight various aspects of Smart Columbus, along with photographs and other web content will become the basis for our social media campaign. The City of Columbus and its partners have already established a region-wide social media and public information officer network. This network has agreed to drive social media updates to audiences throughout the region and, with USDOT and national vendor assistance, throughout the nation.

## **District Outreach Strategy**

We will employ a number of outreach strategies to engage the community and promote the demonstration in each district.

### **All Districts**

Several strategies will be incorporated in all districts. We will also:

- Appoint a team liaison to each district to ensure the community is informed, engaged, and has one point of contact. The liaison will provide regular updates to the Area Commission; conduct monthly “Walk Abouts” in District businesses and neighborhoods to answer questions and distribute information; make presentations at District-based meetings and events; and also, with other team members, engage the public in local events such as the Columbus Arts Festival and Neighborhood Pride events. The liaisons also will participate in presentations throughout Columbus so other neighborhoods can learn how the pilot programs are working and what they can do to prepare for eventual roll-out in their neighborhoods.
- Run a paid print, electronic, and social media public awareness and incentive campaign to recruit participants. Participants will have the option to sign up through the website, mail or by phone to ensure equal access. Information will be provided in a multi-lingual format. Additionally, Smart Columbus will work with the Columbus Area Committee on Disability Issues (CCDI) to ensure distribution to residents with cognitive and other disabilities.
- Print and distribute fact cards and/or door hangers to each district household and businesses with step-by-step instructions on how to access Smart Columbus mobility options.



- Ask up to 25 early adopters in each district to serve as Smart Columbus ambassadors, who would ride along with others, share their experiences with their neighbors and the media, and be spotlighted in blog and social media content. Additionally, we will seek ambassadors from specific underserved communities such as seniors, people with disabilities, new Americans and students so they can advise us how best to communicate and engage their peers. A train-the-trainer model will be used with these ambassadors.
- Provide articles and social media images for the district businesses and organizations to share with their audiences via their internal communication vehicles.
- Develop lesson plans that explain the STEM involved in Smart Columbus – and engage students in exploring creative ways to use the generated data.
- Partner with COTA to provide printed information at their various pass sales locations and high visibility signage at transit centers and shelters.
- Work with Yay Bikes!, a local bike advocacy group, to expand their ADA accessible bike share system started at OSU. Yay Bikes! Will also lead bicycle rides in the smart corridors to demonstrate how connected and AV technology will affect their rides.

**Residential District (Linden)**

We know from our Linden-area discussions that local residents are unlikely to acquire their news from the local paper or by attending a public meeting. They, like most Columbus residents, listen to the radio and watch TV, ride the bus when it is convenient, and use smartphones to access social media. They respect their pastors, school teachers, and neighborhood commissions. We will use this understanding to develop the following:

- A gamified rewards strategy to engage and incentivize residents to download the mobile transit service app and choose a smart device in their daily travel (Figure A-15). We will partner with Columbus City Schools to identify students from Linden-area middle and high schools who can also serve as ambassadors to demonstrate to their peers and parents how to use the app.
- Recruiting Linden car owners to install after-market safety devices (ASDs) on their vehicles.
- Working in partnership with the Greater Columbus Arts Council, we would intend to engage the Linden community in an art contest to wrap the kiosks to be procured with local artwork in addition to the Smart Columbus logo.



Figure A-15. The proposed rewards strategy is designed to engage and incentivize residents to download the mobile transit service application.



## **Commercial District (Easton)**

The Easton area has more than 235 retail stores and 40,000 employees. We will coordinate with those businesses and use the following to build awareness and usage of Smart Columbus and electric autonomous vehicles (EAVs):

- Working with Easton Town Center, hold a Smart Columbus launch rally to share details about the services and distribute “how to” fact cards to attendees and local establishments.
- Collaborate with local businesses to use their existing communication vehicles (e.g., e-news, social media, cafeteria posters) to promote the app to their employees.
- In anticipation of expanding the system to other locations within the City, a series of “Smart Columbus AV days” will be held to deploy the AVs in other locations throughout Columbus to provide demonstration rides to local business owners and patrons.

## **Downtown District**

We will coordinate with the Downtown Residents Association, Downtown Columbus Special Improvement District (SID), Columbus Downtown Development Corporation (CDDC), Columbus Chamber and Experience Columbus, Short North Business Association, and others to communicate the following, in addition to those outlined above:

- NXP has agreed to provide up to 50,000 RFID window stickers for parking. We will promote these via the paid advertising outlined above, news releases, employer transportation fairs, e-newsletter articles and social media.
- MORPC and the Urban Land Institute has established an insight2050 Academy to convene major stakeholders to share information and learn how to plan for emerging trends. They have agreed to use these forums to discuss how Smart Columbus and the advent of AV technology will impact development with regard to parking demand and location, site access, market potential for increased development density, and overall infrastructure needs. Developer Arshot Investment Corp. has offered to customize its new 25-story Downtown building, to open in 2018, with parking spots that accommodate AVs.
- Experience Columbus already has an ambassador program to help visitors experience the best of Columbus; we will train them so they can also showcase the Smart Columbus story. We will also provide Experience Columbus links to our website and related promotional materials so visitors can also download the Smart Columbus app.

## **Logistics District (Rickenbacker Airport)**

We will coordinate with the Rickenbacker Global Logistics Park, Columbus Region Logistics Council, Columbus Regional Airport Authority, and other business and community organizations in the Rickenbacker area to communicate in the following ways in addition to those outlined above:

- Ambassadors and interns will partner with the District Liaison and brief Rickenbacker-area employers on how Smart Columbus works. “How to” fact cards will be provided.
- The City will work with the Greater Linden Development Corporation to build upon the Linden Logistics Pilot Program. The Columbus Region has a high need for logistics employees and has trouble filling these positions; meanwhile Linden suffers from high unemployment rates. The first phase of this program will involve a four-week “Customer Service and Logistics Career Exploration Boot Camp,” follow-up training will be provided through a number of community partners such as the CSCC Supply Chain Management program. The City will work with GLDC to increase the size and frequency of this program in order to train more students from throughout Columbus and the region.

## **Partnership with Greater Columbus Arts Council**

Integration of the arts into Smart Columbus is meant to inspire, create a sense of pride, and make people feel more connected to their neighborhoods and public spaces, such as the mural in Figure A-16 that is located under a railroad bridge and highlights Ohio’s history. The Greater Columbus Arts Council has committed \$1 million to partner with us to:



- Commission local artists to design imagery for AVs, kiosks, crosswalks, utility boxes, and trash cans for neighborhoods and along key points in Linden and the other Districts as appropriate.
- Provide pop-up music and poetry-reading performances on CMAX buses and at transit centers and other unexpected places.
- Integrate Columbus ArtWalks into Smart Columbus communications/marketing to neighborhoods.
- Establish a Culture Trail, selecting key points within and along the Smart Columbus Districts and corridors to highlight cultural venues, public art and concentrations of neighborhood stores and businesses.
- Install screens in taxis, buses and/or Transit Centers that could share a variety of videos such as Art Makes Columbus artist profiles and WOSU Broad & High segments and excerpts from WOSU's Neighborhood series.



Figure A-16. The Moonwall mural, located near Cooke Road, demonstrates investment in neighborhood art.

### Community Awareness

In addition to the strategies outlined above, we will expand our reach beyond the four districts to the community at large through the following:

- NXP has graciously agreed to partner with Smart Columbus to increase local STEM education by bringing its Smart World Tour Internet of Things Bus to Columbus for 12 months. We will take this mobile lab to each of the districts, schools, and also feature it at Columbus-wide community events like the Red, White & Boom parade held on the 4<sup>th</sup> of July, which attracts a half million people. The trucks is a hands-on technology showcase and demonstration platform, so we can provide learning opportunities for Columbus youth and emerging scientists.
- Partner with 200+ organizations to link websites and share information via social media and e-news articles. We will provide content and ask them to personalize/share.
- Provide regular briefings to local, state, and Congressional elected officials. We will steer these officials to the web-based dashboard that reports metrics and lessons learned, so they understand our progress and how these results can be replicated elsewhere.
- Develop a presentation and trade show booth, then aggressively pursue opportunities to present to conferences and organizations such as Columbus Metropolitan Club, Kiwanis, MORPC, and Chamber events.
- Staff and promote a telephone hotline, e-mail address, website, and social media so residents and the public can access information in ways most convenient to them. All phone/email interactions will be tracked so they can be summarized in a lessons learned report.

### Mid-Sized City Forum

Smart Columbus will organize and host an annual Mid-Sized City Forum with USDOT. The purpose of the forum is to share our Smart Columbus program plans, results and lessons learned.

### National/International Collaboration and Media Strategy

The Smart Columbus Team will solicit and accommodate site visits from the media, researchers, and others who want to learn from our experiences. We will work with the USDOT to pitch success stories to the national media, and also pitch stories to industry media, inviting them to interview local ambassadors and participants. This marketing strategy will include placing at least three articles per year in trade journals, and leveraging existing transportation conferences and demonstrations as opportunities for additional news coverage. As part of our media relations effort, we will provide media and speaker training to the Smart Columbus Team, liaisons, ambassadors and interns, and ask them to participate in at least two local press conferences each year as well as regularly scheduled webinars with USDOT.



We will ensure knowledge transfer on a number of fronts. ODOT is currently developing an action plan and strengthening key relationships with its transportation partners to prepare for CV and AV. This action plan will be closely coordinated with Smart Columbus, and tentatively includes hosting a CAV conference in Columbus within the next two years. The purpose of this national conference will be to engage CAV stakeholders in the national dialogue and discuss the progress made in Ohio, and particularly in Columbus. ODOT will also include sessions on Smart Columbus at its bi-annual statewide Planning Conference to be held this summer, and will highlight Smart Columbus/Smart Cities at an upcoming Ohio Transportation Engineering Conference that it convenes annually in partnership with OSU.

MORPC hosts an annual Sustainability Summit each fall, and has agreed to feature Smart Columbus/Smart Cities during the four-year pilot. We will ask other community partners to incorporate a Smart Cities module in their annual conferences and outreach to other cities. We will work with USDOT to display a trade show booth at the Transportation Research Board's annual conference, and make team members available to present findings there and at other conferences. We would also be eager to partner with USDOT to host annual Smart Cities gatherings in Columbus to report our interim findings in addition to the webinars.

### **Crisis Communications Plan**

We will prepare a Crisis Communications Plan and emergency response protocol to include the following:

- Develop a chain of command and staff designated to respond to emergencies.
- Develop communications approaches/draft messages to respond to various emergency scenarios.
- Develop actions to be taken to mitigate the crisis.
- Develop procedures for notifying USDOT, the public, and others who are impacted.
- Develop a process for identifying corrective procedures to be put in place as a result of the crisis.
- Conduct crisis communication training to ensure all Crisis Team members understand the protocols and participate in practice drills.
- Distribute laminated cards with contact information for each team member, and provide printed and online instructions on the various aspects of crisis response.
- Review and update the plan annually.



## B DATA MANAGEMENT APPROACH

The City of Columbus has many different sources of data that have been developed, managed, and maintained by many of our partners. For example, Mid-Ohio Regional Planning Commission (MORPC) provides detailed information on peer-to-peer ridesharing as well as information on transit providers, and freight information. Central Ohio Transit Authority (COTA), the Ohio State University (OSU), and other transit providers maintain data and web-portals that contain the computer-aided dispatch and location information for their transit fleets. Other partners, such as the Mid-Ohio Food Bank, track usage of their services by location. The Columbus Chamber maintains a database associated with their Workforce Program that tracks employment history of users and can be used to demonstrate steps on the ladder-of-success. Our local United Way maintains databases on usage and service provided by geographic area. Hands-on Ohio maintains a database of Human Service providers throughout the state and tracks utilization of these services by geographic neighborhoods. In short, Columbus has a wealth of information and data that is captured, analyzed, and maintained. However, at the same time, a critical aspect of this data that has not been widely adopted or formulated in Columbus, and many other cities of our size, is the consolidation and synthesis of data from these disparate sources into a single, comprehensive location. This disparity creates opportunities for advanced analytics and the development and targeting of new services, products, and mobilization of resources to address issues that previously have been undetected.

### B.1 DATA MANAGEMENT

As a public entity, we recognize the importance of maintaining data integrity and protecting the public's interest as well as providing a critical resource to measuring and understanding the benefits, costs, issues, and potential resolutions for replication of the success of Smart Columbus elsewhere. Within the Columbus Region, our Metropolitan Planning Organization (MPO), MORPC, in collaboration with several public and private sector partners, has provided leadership in data collaboration and has initiated developing a Regional Data Lab for Central Ohio. The Smart Columbus Program will build upon this framework and expand this concept to an even wider range of data sources and integration opportunities utilizing the Smart Columbus Integrated Data Exchange (IDE).

#### B.1.1 Types of Data to be Produced

The City of Columbus, and our proposed partnering agencies already collect a significant amount of information as illustrated in Figure A-2 in Section A.1.1.1.2. We are envisioning an information exchange where information from disparate sources will be combined with data from traditional transportation data using data fusion techniques that can key upon common characteristics such as geography. As part of the Smart Columbus Program, all data generated through the project will be ported into this IDE and processed using a variety of cloud-based analytical tools. Application Programming Interfaces (APIs) and Software Development Kits (SDKs) will be developed and made available so that private, public, and corporate software developers, service providers, and others can access and build additional apps and conduct analytics using the data. The Smart Columbus IDE will provide a mechanism for the collection and synthesis of data from many disparate sources. These data will be made available through APIs, SDKs, standardized performance dashboards and reports, and through managed Amazon Web Services (AWS) mechanisms.

#### B.1.2 Policies for Data Access and Sharing

It is our intention that, to the extent that is possible under privacy laws, all data captured and included in the IDE be made available to the public. To foster this information exchange, we will provide the requisite software tools for developers to utilize to extract and access the data directly using their preferred platform. Data made available through the IDE to the public will follow an open data format following technical formats that meet the State of Ohio and Project Open Data Metadata Schema v1.1 standards and support of two-way APIs. The RDAC is chaired by a representative from OCLC, a global library cooperative that developed the globally recognized metadata standards that will be followed for open data format in the IDE.



At the same time, we will leverage the work conducted by the United States Department of Energy (USDOE) National Laboratories, particularly Oak Ridge National Laboratory's National Transportation Research Center, who have created online data access and analysis tools to datasets such as the National Household Travel Survey, Freight Analysis Framework, and others. Additionally, we anticipate that data will be made available and disseminated through our partner organizations as appropriate. For example, MORPC will continue to provide extracts and excerpts of these data as reports and online datasets.

Access to the raw data will be restricted to protect privacy and confidentiality and the integrity of the data. Industry standard credentialing and multi-level authentication will be utilized. Only processed, post-processed data will be made available for analysis and extraction by public entities. Access by private entities to the raw data will be made available in accordance with the City's privacy policy as managed by the Department of Technology.

The Smart Columbus IDE will be designed using an overall data governance model that will be driven through automation using AWS IIM services. This level of automation will allow overall control by the City of Columbus of the IDE platform, while minimizing manual information technology service actions. This automated governance model will be critical to making proprietary datasets available and accessible to necessary parties while assigning appropriate staff and providing documentation.

### **B.1.3 Policies for Data Re-use, Redistribution, and Production of Derivatives**

One of the City of Columbus' objectives is to provide data for unrestricted public re-use and distribution. Data and metadata made available through the IDE APIs will be non-restricted for public and private use. As well, built into the governance model will be the methods for all stakeholders to interact with the IDE using data interfaces or templates that allow authorized use and/or publishing of different datasets. Throughout implementation, the Smart Columbus IDE data agreements will be maintained and will include applicable elements necessary for the City to comply with the Freedom of Information Act.

### **B.1.4 Archiving Data and Preserving Access**

The data governance model will also drive automated archival rules and processes. The Smart Columbus IDE will leverage AWS web services capabilities for archival and flexible retrieval policies. Specifically, historic non-time sensitive data will be stored in the extremely affordable Amazon Glacier platform and more recently archived data will be stored using Amazon Simple Storage Service (S3) for faster retrieval. As the demonstration deployment progresses, the City will evaluate alternatives for long-term sustainment of the data management and hosting, maintenance, hardware, support, app management and ownership of the various components of the system.

The use of these AWS services will allow for long-term data retention and disposition with extremely low cost and minimal labor necessary to retrieve and use any data assets that have been archived.

## **B.2 DATA CITY CURRENTLY COLLECTS**

The City of Columbus and our proposed partnering agencies already collect a significant amount of information that includes both traditional transportation information such as traffic counts, transit ridership, information on work zones, incidents/accidents, and ridesharing metrics as illustrated in Figure B-1. For example, in cooperation with the Ohio Department of Transportation (ODOT) and neighboring municipalities, data from more than 50 traffic cameras, that can be recorded, analyzed, and used to create "heat maps" of traffic congestion and incidents, origin/destination data, estimates of emissions, and other transportation planning and performance metrics.

However, our current data collection activities go far beyond those traditional data sources and metrics. Our partner agencies collect a significant amount of information that can be used to assess the overall impact that transportation technology has on the community and the health and well-being of its inhabitants.



## B.2.1 Using Current and Newly Collected Data to Address City Challenges

We are envisioning an information exchange where information from disparate sources will be combined with data from traditional transportation data using data fusion techniques that can key upon common characteristics such as geography. As part of the Smart Columbus Program, all data generated through the project will be ported into this IDE and processed using a variety of cloud-based analytical tools. Linkages between the different data sources will be created and the appropriate “data tags” will be encoded and embedded within the data records. Application Programming Interfaces and Software Development Kits will be developed and made available so that private, public, and corporate software developers, service providers, and others can access and build additional apps and conduct analytics using the data.

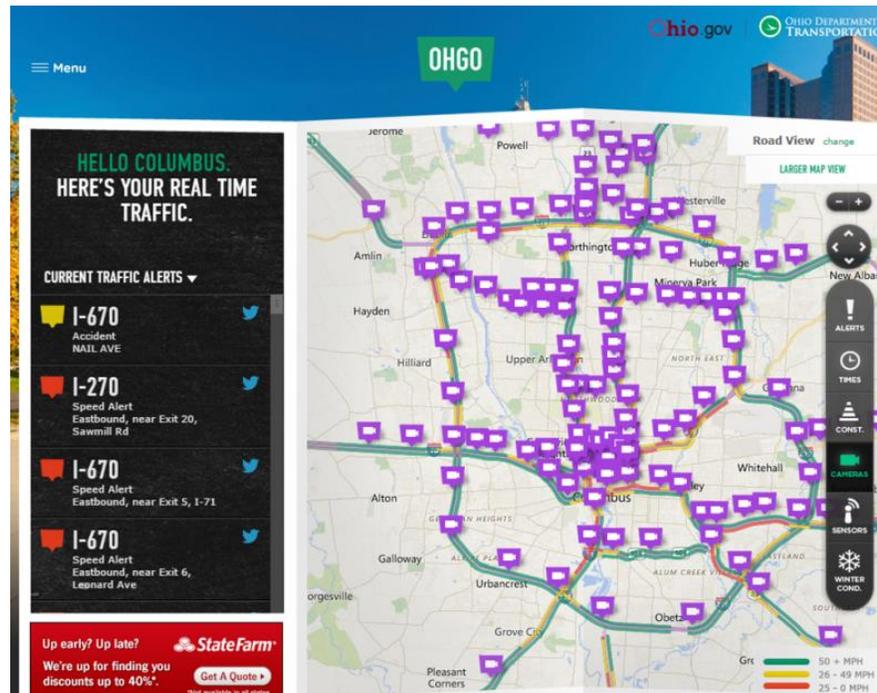


Figure B-1. The City of Columbus and our proposed partners already capture data from more than 1,000 traffic cameras and sensors.

One core component of the data management approach for the Smart Columbus Program will be to develop and define standards and guidelines for data integration into the Integrated Data Exchange as well as preparing APIs and SDKs for accessing the data from the exchange. We do not expect that all of the current data providers will be able to modify their existing databases and we will therefore build the requisite software components into AWS to process their data in its current format, transforming it into a standardized record structure and layout, and integrating it into the database as a relational component. Again, we will develop and include record linkages, such as those based upon geography, so that the resulting data can be fused with other sources.

There are incredible opportunities to leverage the investments ODOT has made in the development and implementation of a transportation asset management system that plays a critical role in the planning, development, preservation and construction of Ohio's Transportation System. The use of these data as well as using the data collected by the Smart Columbus initiatives to better inform this asset management data can help to refine and enhance infrastructure investment decisions.

Through data fusion techniques and geographic based analysis, we will link the transportation data with non-transportation data. This fusion will enable the City of Columbus and our proposed partners to have a one-stop-shop for data visualization of information across the City – facilitating the identification of “hot-spots,” emerging issues, and track performance and improvements over time. For example, the City's 311 Application receives calls/emails/web and mobile service request submissions on any number of topics from issues associated with public health, crimes, social services, as well as transportation. The City of Columbus aggregates information on all 311 service requests made to the City's 311 Hotline, Website or MyColumbus mobile app using a geographic information system (GIS) as illustrated in Figure B-2. Correlation of this non-traditional transportation data with data from transportation metrics such as ridership on the new Bus Rapid Transit (BRT) service provides a unique ability to measure and assess the overall societal benefits to residents of Columbus. Even a cursory examination of the current data



captured by the 311 system reveals distinct concentrations of service requests in neighborhoods that the Smart Columbus Program will be targeting and enhancing with technology.

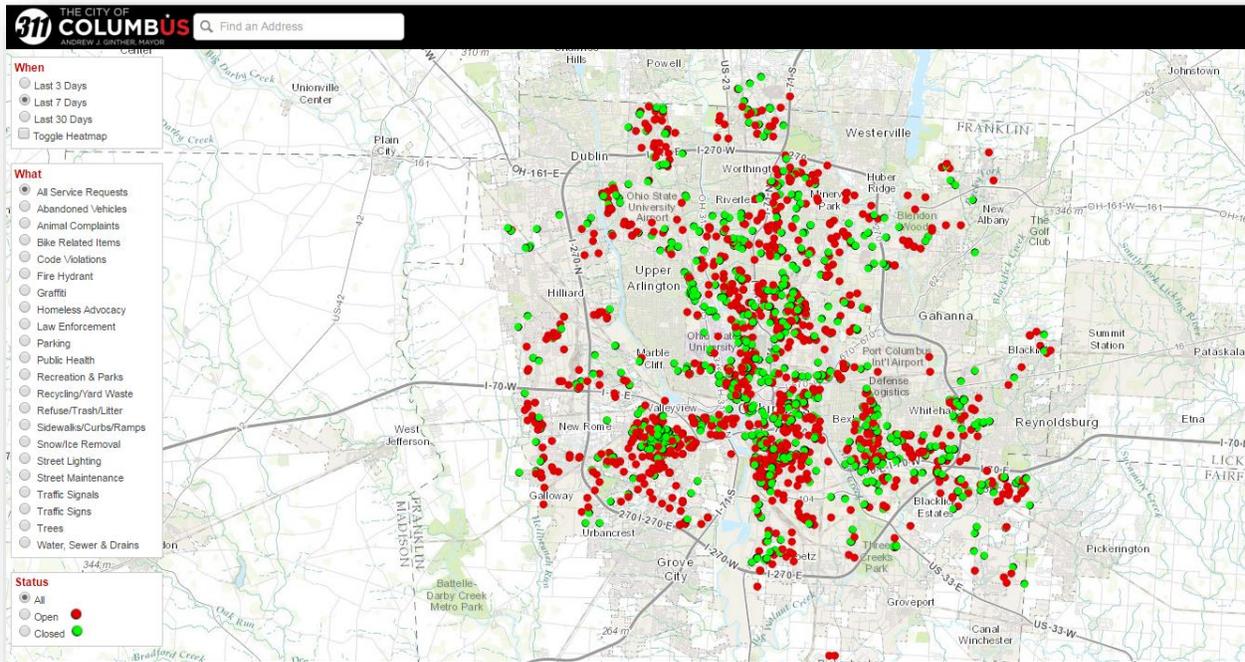


Figure B-2. The City of Columbus and our proposed partners already capture a wealth of data such as calls for service requests or assistance to the City's Customer Service Hotline.

### B.2.2 Integrating Transportation Data with Other Functions or Services to Improve City Management and Operations

The Smart Columbus IDE will enable both public and private providers to visualize and coordinate services ranging from transportation to health services. Data made available through the IDE open APIs can be consumed by other entities and applications to enhance their use by using tools and methods posted in public resources such as <http://developer.columbus.gov> and <http://data.columbus.opendata.arcgis.com>.

Through an urban analytics approach, the IDE will enable easy correlations of the transportation data with non-transportation data. One particular source of data that will provide information that can be used to assess performance of the overall transportation system includes data from INRIX, some of which is currently being purchased by ODOT and made available to the City of Columbus. These data, along with ridership data from COTA and data from the instrumented Smart Corridor will facilitate an independent evaluation of the impact of the technology in reducing congestion at a corridor-wide level similar to the national evaluation framework used to evaluate the impacts of the Integrated Corridor Management deployments.

### B.2.3 Integrating Data from Other Sectors with Transportation Data to Improve Transportation Operations

By building out the Smart Columbus IDE and applying analytics, we envision many applications for improvement such as:

- Cities will be able to evaluate all the factors affecting road congestion in real-time, and advisories will be issued before congestion develops to reroute traffic or shift commuters to alternate modes of transportation.



- Bus, airports, and cities will use analytics to better predict demand and optimize their capacity, schedules, pricing models, and staff plans.
- Travelers are now able to state their departure and arrival locations and their desired time of travel in their own words. A cognitive system will recommend door-to-door travel options spanning all modes of transport and price options.
- Schedules will be optimized based on actual demand in real-time, while vehicles and routes will be managed to minimize congestion, fuel use and carbon emissions.
- Coordinated communications across channels will provide an integrated and unified data source.
- Integrated intelligence from all related business areas, such as operations, cities and other agencies, will be used to intelligently maximize each users touch points.
- Detect operational inefficiencies, theft, and fraud.
- Forecast transport demand to construct price and schedule structures.
- Congestion will be reduced and major risks will be avoided through performing what-if analyses and policy change scenarios.

## B.2.4 Applicable Policies

Bringing data together makes it easier to enforce standards, but having data in one place creates a higher risk of loss or misuse of information if the security of the system is compromised. The IDE addresses this dilemma with its information governance and security capabilities.

Data Policy is a key to the success of the IDE and the overall Smart Columbus effort:

- Federal, State, and local governments recognizing data is a strategic asset.
- Policies support open-sharing, machine-readable data with public, service providers, and other agencies.
- Policies support developing and maintaining systems and connections to share these data.

Open government data policies are often best when developed iteratively, adapting to help strengthen and grow fledgling efforts and to identify where continued revision is needed. By being open (or even requiring) future review and iteration, open data policies will be able to keep current with best practices, technological advances, and feedback from existing policy oversight. Through the outreach efforts throughout this initiative, the City will work to continually evaluate the open data policies and practices in order to encourage the highest and best use of the incredible data asset of Smart Columbus.

The IDE's governance model will engage a plan for both automated and manual data checks using AWS health check dashboard when verifying data quality and perform regular diagnostic checks to ensure equipment accuracy and health.

## B.2.5 Using and Sharing Data from Other Partners

The IDE, supported by the Regional Data Advisory Committee (RDAC), has capabilities that ensure the data is properly cataloged and protected so subject matter experts (SMEs) have access to the data they need for their work. This design point is critical because SMEs play a crucial role in ensuring that analytics provides worthwhile and valuable insights at appropriate points in the organization's operation. With access to the Smart Columbus IDE, line-of-business teams can take advantage of the data in the IDE to make decisions with confidence.

## B.2.6 Sustainability

During the third year of this grant, the Smart Columbus Team will work with partners to re-visit and develop in detail the long-term sustainability plan. This plan will include role definition, responsibilities, long-term adjustments to architecture, and ties to necessary resources to lay out a sustainable approach for hosting and other data management elements beyond the grant proposal.



### B.3 SUPPORT FOR INDEPENDENT EVALUATION

The Smart Columbus Program Office will prepare an Evaluation Support Plan with details of our support for the independent evaluation of the demonstration. We will work with the independent evaluator to provide access to specific sites and staff as needed during the evaluation.

Through data fusion techniques and geographic tagging of records, we intend to link the transportation data with non-transportation data. One particular source of data that will provide information that can be used to assess performance of the overall transportation system includes data from both INRIX and HERE. These data, along with ridership data from COTA and data from the instrumented Smart Corridor, will facilitate an independent evaluation of the impact of the technology in reducing congestion at a corridor-wide level similar to the national evaluation framework used by Battelle and others to evaluate the impacts of the Integrated Corridor Management deployments.

Data quality measures needed to support independent evaluation will be initiated by controlling the data at the source, or early in the process, through data model design and well-defined business rules the Smart Columbus IDE.

Data can be scheduled to pass to the Independent Evaluator's location via a web service in a standardized encrypted format, such as an encrypted Java Script Object Notation (JSON) response as the target output schema. Included in this response would be digital certificate properties, such as X.509 certificate authentication or another as defined by the Independent Evaluator, where only the Recipient and Independent Evaluator have the key needed to decrypt this securely transmitted data.

The data governance model will also provide full access and privileges of the Smart Columbus IDE platform to the Independent Evaluator. The primary focus though will be to drive specific performance measure data through an API and web services designed specific to the Evaluator's needs.



## C MANAGEMENT APPROACH

The City of Columbus has a bold vision to become a world-class smart city and a mission to share its experiences with other mid-sized cities in the United States through our Mid-Sized City Forum. To achieve this vision and mission, the Smart Columbus Team is proposing to manage this large and diverse transportation technology deployment and data project in a way that ensures successful implementation and builds a sustainable program.

### C.1 PROJECT LEADERSHIP

Sound and stable leadership is a hallmark of Columbus. Mayor Andrew J. Ginther assumed office on January 1 of this year after serving nine years on the City Council and five years as its president. Mayor Ginther will provide continuous leadership over the period of the entire Smart City Challenge grant, and serve as the champion of the Smart Columbus Program. Mayor Ginther places a high priority on Smart Columbus, as it creates the opportunity both to meet the goals of the United States Department of Transportation (USDOT) for improving safety, enhancing mobility, enhancing ladders of opportunity, and addressing climate change, and to advance administration priorities, such as reducing infant mortality, lifting up disadvantaged neighborhoods, reducing the City’s carbon footprint, and ensuring that the City is prepared for an expected increase in population of up to 50 percent over the next three decades.

### C.2 TEAM MEMBERS AND PARTNERS

The City of Columbus is proposing a team of key personnel from the City’s Department of Public Service to manage the activities of the Smart Columbus Program Office. The Program Office will be assisted by USDOT partners, City departments, regional implementation partners, communications professionals, and technical ITS consultants to assist with funding, design, deployment, operation, and sustainment of the demonstration. The following City departments will have significant roles in the demonstration program:

- Technology: Smart payment app, Integrated Data Exchange (IDE), kiosks, and mobile transit app
- Public Utilities: Smart street lights and smart utility metering for water and city electric
- Finance and Management: Fleet electrification, auditing, and vendor procurement.

Table C-1 presents the assistance and coordination our strategic implementation partners will provide.

*Table C-1. Smart Columbus has numerous partners supporting the deployment effort.*

Partner	Area of Impact					Contribution to Smart Columbus
	Enabling Technologies	Residential District	Commercial District	Downtown District	Logistics District	
Battelle	X	X	X	X	X	Battelle scientists and engineers will provide in-kind technical expertise in Dedicated Short Range Communications (DSRC) connected vehicle and deployment.
Central Ohio Transit Authority (COTA)	X	X	X	X	X	COTA serves 1.2 million residents and provides more than 19 million passenger trips annually. COTA's support is key to the Bus Rapid Transit (BRT) line, Mobileye Shield +, park and ride EV charging installations, Mass Factory app for users with cognitive disabilities, monitoring/operating the AV Easton shuttle demonstration, and adding bus service from the residential district to Rickenbacker.
Clean Fuels Ohio (CFO)	X					Columbus-based not-for-profit serves as USDOE Clean Cities program center for Ohio, and will assist with EV/EVSE analytics, education and awareness, and technical support and legislative assistance.



Table C-1. Smart Columbus has numerous partners supporting the deployment effort.

Partner	Area of Impact					Contribution to Smart Columbus
	Enabling Technologies	Residential District	Commercial District	Downtown District	Logistics District	
Columbus Partnership	X	X	X	X	X	The Columbus Partnership will provide resources in the acceleration fund, engage private-sector leadership and expertise across all programs, lead establishment of community-wide adoption programs (Transit Benefit Program and Lead Electric), and launch targeted initiatives to focus on implementation of solar and creation of job opportunities for Linden residents.
Greater Columbus Arts Council (GCAC)		X				GCAC is committing \$1M for art to be added to kiosks, EAV, and other infrastructure to assist with education and outreach.
Mid-Ohio Regional Planning Commission (MORPC)	X	X	X	X	X	MORPC serves as the Metropolitan Planning Organization (MPO) for Central Ohio. They store and analyze much of the regional data. MORPC will collaborate on the IDE, sustainable funding, outreach/engagement, and Ford InfoCycle demonstration.
Ohio Department of Transportation (ODOT)	X				X	ODOT Central Office, located in Columbus, Ohio, hosts the statewide Traffic Management Center (TMC) and generates and shares a significant amount of data. ODOT supported the development of the Infracore 360 model and has provided support on various traffic operations and freight items.
The Ohio State University (OSU)	X		X		X	OSU is committed to deploying Electric Vehicle/Electric Vehicle Supply Equipment (EV/EVSE) in their fleet, and further decarbonizing their electricity usage and production. The university also provides facilities for conversion and testing for autonomous vehicles and, through the Transportation Research Center, a Connected Vehicle/Autonomous Vehicle (CV/AV) test track. OSU's Crash Imminent Safety (CrIS) University Transportation Center offers access to interdisciplinary state-of-the-art research on autonomous vehicles.
Rev1 Ventures, Inc.	X					Columbus-based startup not-for-profit will assist in engaging startups, small businesses, local technologists and educators.

The City of Columbus will also procure consulting services of the best qualified Intelligent Transportation Systems (ITS), communications, and project design professionals to ensure successful delivery of the demonstration project. The City assembled a team of top local and national ITS professionals, connected vehicle/autonomous vehicle (CV/AV) researchers, and implementers to develop this program proposal. Their depth and breadth of experience has provided the City with a well-founded, well-grounded, ambitious, and atypical approach to demonstrate the objectives and goals of the Smart City Challenge. Examples of this application team's experience include the following:

- The design and deployment of the City's Columbus Traffic Signal System (CTSS) fiber network, which will be the backbone for the Smart Columbus Program
- National leading experts in CV/AV research and deployment
- Ongoing and known relationships with USDOT
- Ties to the United States Department of Energy (USDOE) national laboratory experts in CV/AV, electric vehicle (EV), and electrification technology deployments
- Ties to ongoing AV/CV research and deployment with Detroit automakers



- Ongoing USDOT CV demonstration projects in Tampa and New York City, which will help accelerate practical and low-risk deployments in the City.

## C.3 KEY IMPLEMENTATION PARTNERS

The Smart Columbus Program Office, located within the Department of Public Service, will coordinate with key stakeholders to achieve the goals of the Smart Columbus Program. Business and public entities in the region, including Columbus Partnership, Columbus 2020, Rev1 Ventures, Inc., Mid-Ohio Regional Planning Commission (MORPC), Experience Columbus, Central Ohio Transit Authority (COTA), the Ohio Department of Transportation (ODOT), Ohio State University (OSU), and Clean Fuels Ohio (CFO) have strategic implementation roles in areas such as policy/regulatory, program sustainment, and economic development:

- The Columbus Partnership is the non-profit, membership-based Chief Executive Officer (CEO) organization representing more than 50 of Columbus' leading businesses and institutions, and is the proxy for the key private sector engagement on implementation of the Smart Columbus Program.
- Columbus 2020 is the regional economic development engine and the key stakeholder to building existing assets, attracting new investment, and creating sustainable new business opportunities as an outgrowth from the Smart Columbus Program.
- Rev1 Ventures, Inc. is a venture development organization with the expertise and connections to fuel startup success. Rev1 will be key to engaging startups to assist in sustaining the Smart Columbus Program.
- MORPC is the local Metropolitan Planning Organization (MPO) for the Central Ohio region. MORPC is a sustaining funding partner that will help expand the Smart Columbus deployments beyond the demonstration. MORPC is also a key stakeholder to the data management, electrification/decarbonization and mobility components of the Smart Columbus Program.
- Experience Columbus supports more than 1,000 members who invest in the efforts to help make the City of Columbus an appealing destination to visitors, meeting planners, convention delegates, and residents. Experience Columbus is key to assembling downtown parking operators and venue organizers to assist in the visitor-centric mobility app.
- COTA is the regional public transit provider for Greater Columbus and Central Ohio.
- ODOT is key to coordinating data management and availability, managing transportation policy development stemming from the Smart Columbus demonstration, and assisting in transferability and portability of the Smart Columbus Program to other Ohio cities.
- OSU provides invaluable research and organizational support to the Smart Columbus Program, particularly through the Transportation Research Center, the Center for Automotive Research, the University Transportation Center, and the Center for Urban and Regional Analysis.
- CFO is a non-profit organization that is the largest among the USDOT's nearly 100 Clean Cities coalitions. CFO is a key stakeholder, providing fleet consultation and certification services and educating Ohio cities, fleet organizations, fuel marketers, and the public on the electrification/decarbonization components of the Smart Columbus Program.

## C.4 GOVERNANCE PROCESSES

The Department of Public Service, through the Smart Columbus Program Office, will be responsible for directing and coordinating all activities related to the planning, procurement, execution, monitoring, sharing, close-out, and sustainment of the Smart Columbus Program, including funds from USDOT, Vulcan, Inc., and Smart Columbus not-for-profit (Refer to Section E). To ensure the sustainment of the program beyond the demonstration period, the Program Office will train, organize, and assist city departments in changing procedures, policies, and standards to meet the goals and objectives of the Smart Columbus Program. This approach is taken from lessons learned by the City over the past 16 years as it has incorporated accessibility and complete streets concepts into its projects and programs. For instance, a concentrated group first focused on a major policy shift and made changes to current departmental policies and procedures. Then, the policy and processes were broadened to the rest of the



city departments, thus promoting sustainment to the changes. We would propose to follow this successful algorithm and apply it to our Smart Columbus approach – first incubate smart city policies and procedures in the Program Office, and use our partnerships with city departments to accelerate learning and debugging policy and process change, so that at the end of the demonstration, the City organization will be infused with the smart city approach.

## C.5 PARTNERSHIP MANAGEMENT

The Smart Columbus Program Office will be supported by a Smart Columbus Board of Trustees, chaired by Mayor Andrew J. Ginther. The Board will meet at regular intervals and provide the following support to the Program Office:

- Provide resources and guidance needed to implement the projects and initiatives in the Smart Columbus application for the Smart City Challenge.
- Identify resources (capital, grants, research, and expertise) needed to fund and implement Smart Columbus through a privately financed Smart Columbus Acceleration Fund.
- Assist in determining how funds are allocated from the Smart Columbus Acceleration Fund.
- Fund completion of the Columbus Connected Transportation Network (CCTN).
- Build and market Smart Columbus as a global leader in ITS and innovation.
- Share Smart Columbus success with the world.
- Retain, support, and attract innovative companies and organizations in the City of Columbus.
- Use innovation to address social challenges and support ladders of opportunity for our residents.
- Encourage individuals, companies, governments, and organizations to invest in electrification or other alternative fuels for transportation.
- Encourage individuals, companies, governments, and organizations to invest in sustainable energy upgrades to private infrastructure, facilities, buildings, and homes.

Table C-2 presents the organization and members of the Smart Columbus Board of Trustees.

Name	Title	Organization
Andrew J. Ginther, Chair	Mayor	City of Columbus
Alex Fischer, Vice Chair	President & CEO	Columbus Partnership
Dr. Michael Drake, MD	President	The Ohio State University
Nicholas K. Akins	Chairman, President, CEO	American Electric Power
Dr. Jeffrey Wadsworth	President & CEO	Battelle Memorial Institute
Stephanie Hightower	President & CEO	Columbus Urban League
W. Curtis Stitt	President & CEO	Central Ohio Transit Authority
John O'Grady	Commissioner	Franklin County
William Murdock	Executive Director	Mid-Ohio Regional Planning Commission
Shannon Granville Hardin	Council Member	City of Columbus
Steven S. Rasmussen	CEO	Nationwide
Dr. David Harrison	President	Columbus State Community College
Steve Lipster	Director	Electrical Trades Center
Jennifer Adair	Area Commissioner	North Linden Area Commission
Tom Walker	President & CEO	Rev1 Ventures, Inc.
Yaromir Steiner	Founder & CEO	Steiner & Associates
Elissa Schneider	Chair, Board of Trustees	Transit Columbus
Stu Hampton	Area Commissioner	South Linden Area Commission



## C.6 COMMUNITY SUPPORT

The Smart Columbus Proposal is supported by a wide coalition of local, state, and congressional elected officials; government leaders; education, business, and labor leaders; non-profit, community, workforce development and advocacy groups; international partners; and logistics, transportation, and technology businesses. Over 120 of these regional partners have voiced their support – they realize the advantages to the Columbus Region’s residents and economic vitality by pursuing the Smart Columbus vision.

### Elected Officials

As a major swing state, Ohio's political winds change with every election cycle. A constant in this cycle is the never-ending, bi-partisan support of our delegation to move Ohio forward, as demonstrated by their letter of support in Figure C-1. In addition, the following state and county elected officials have expressed their support: State Senators Kevin Bacon (R-OH 3rd District); Jim Hughes (R-OH 16th District); and Charleta B. Tavares (D-OH 15th District); and State Representatives Robert D. Hackett (R-OH 74th District); Kristin Boggs (D-OH 18th District); Kevin L. Boyce (D-OH 25th District); Leland (D-OH 22nd District); and Hearcel F. Craig (D-OH 26th District). The Ohio General Assembly issued a resolution stating their support.

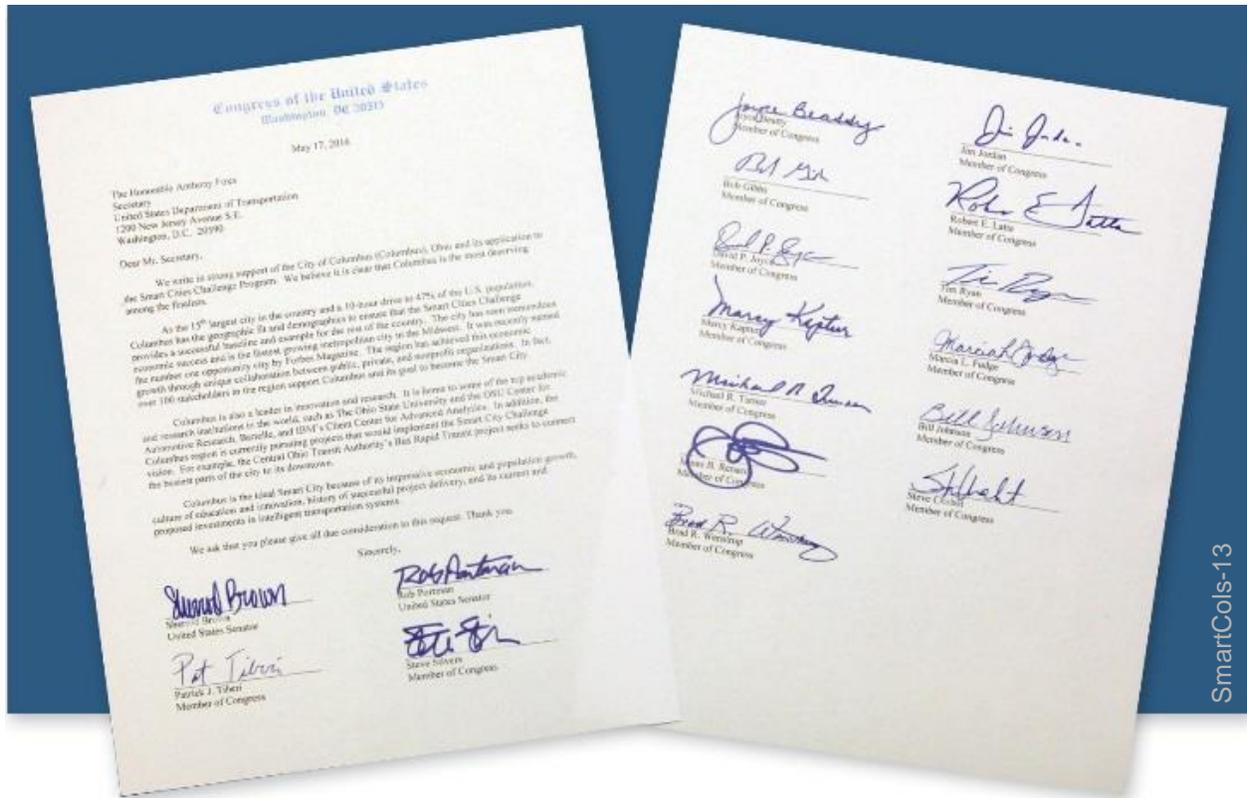


Figure C-1. The Ohio congressional delegation has expressed strong support for Smart Columbus.

### Local Officials

Columbus City Council; Cities of Grandview, Dublin, Gahanna, Grove City, Hilliard, New Albany, Reynoldsburg, Upper Arlington, Whitehall, Worthington; Plain Township; Franklin, Delaware, and Union County Commissions; Franklin County Engineer

### Government Leaders

Drug and Mental Health Board of Franklin County; Central Ohio Transit Authority; Franklin County Convention Center Authority; Central Ohio Area Agency on Aging; Columbus Zoo and Aquarium;



Columbus Regional Airport Authority; Greater Columbus Sports Commission; Mid-Ohio Regional Planning Commission; Ohio Turnpike and Infrastructure Commission; Workforce Development Board of Central Ohio; OhioMeansJobs Columbus-Franklin County; Union County Economic Development Partnership; Columbus Metropolitan Housing Authority

### **Education Leaders**

The Ohio State University; Columbus State Community College; Columbus City Schools; Educational Service Center of Central Ohio

### **Business Leaders**

Columbus Partnership; Columbus 2020; Columbus Chamber of Commerce; Columbus Downtown Development Corporation; American Electric Power; Battelle Memorial Institute; Cardinal Health; IBM; Nationwide Children's Hospital; OhioHealth; JobsOhio; Nationwide; Rev1 Ventures; Easton Town Center; Alliance Data Systems; 1776

### **Labor Leaders**

Columbus/Central Ohio Building & Construction Trades Council; American Federation State County Municipal Employees Locals 1632 and 2191; Communication Workers of America Local 4502; Columbus Firefighter Union Local #67; Fraternal Order of Police Capital City Lodge No. 9

### **Non-Profit and Community Groups**

Clean Fuels Ohio; The Center for Disability Empowerment; The Columbus Foundation; Community Refugee & Immigration Services; Create Columbus Commission; Experience Columbus; Forge Columbus; Forge Ahead, Forge Columbus; Godman Guild; Greater Columbus Arts Council; Transit Columbus; United Way of Central Ohio; Urban Land Institute, Columbus District Council; US Together; World Relief Columbus; Northland Community Council; South Linden Area Commission; North Linden Area Commission; Milo-Grogan Area Commission; Downtown Resident Area Commission; US Together; Transit Columbus; Columbus Advisory Committee on Disability Issues; Greater Columbus Arts Council; Maryhaven; South Side Settlement House; Southeast, Inc.; Primary 1; Mount Carmel Community Outreach

### **Workforce Development Groups**

Alvis House; Central Ohio Workforce Investment Corporation; Jewish Family Services; Per Scholas; United Way; Urban League

### **Advocacy Groups**

Yay Bikes!; Ohio Association of Regional Councils; North American Bikeshare Association; Society of Automotive Engineers

### **International Partners**

City of Barcelona; IDIADA Automotive Technology S.A.; ACCIA Catalonia; InnoCiteMTL; Mass Factory

### **Logistics Business Leaders**

Columbus Region Logistics Council; Abercrombie & Fitch; Acquisition Logistics Engineering; Benesch Friedlander Coplan & Aronoff, LLP; D+S Distribution Inc.; Dismas Distribution Services; Gwynnie Bee; ODW Logistics, Inc.; ProLogistix; Roadmaster Drivers School of Ohio, Inc.; TTS, LLC

### **Technology and Transportation Business Leaders**

Sports Pavilion & Automotive Research Complex (SPARC); Transportation Research Center, Inc.; General Motors; Ford; Nissan; Honda R&D Americas, Inc.; Innova EV & Innova EV Car Share; Navistar, Inc.; Peloton; EasyMile; car2go; CoGo Bikeshare; Uber Columbus; Buckeye Parking Garage; CampusParc; LAZ Parking; Pizzuti; General Electric; Ricardo, Inc.; Siemens ITS; AT&T; Ericsson; Persistent Systems, Inc.; Moovel; INRIX; Live Traffic Data; Orange Barrel Media; LQD; Moovel; HERE, Inc.



## C.7 START-UP AND SMALL BUSINESS INVOLVEMENT

Smart Columbus has partnered with Rev1 Ventures, Inc., Columbus’ technology incubator, who hosted the Smart City Challenge proposal development team and partner meetings in their facility. Through discussions with leaders at Rev1, the Smart Columbus Team has developed the following plan to capitalize on the start-up and small business environment Rev1 fosters:

- Two hackathons are planned for the second and third quarter after the USDOT award is made. Four topics will be identified that need further development, such as the comment payment system or how to transfer cash to a smartphone without a bank account. Prior to the hackathons, the four topics of interest will be tested via national surveys to gauge interest in development and use of the various applications being considered.
- Rev1 will reach out and assemble a combination of professional programmers that are entrepreneurs or from large Central Ohio companies, such as Nationwide Insurance and Cardinal Health, which frequently assist them with start-up activities. Rev1 will also enlist OSU and other college faculty and students.

The City of Columbus, though not explicitly setting Disadvantaged Business Enterprise (DBE) and small business goals in their requests for proposals, is committed to their involvement. Mayor Ginther appointed the City’s first-ever Chief Diversity Officer to lead the City’s efforts to expand the depth and breadth of the Small, Minority, and Female business community through creative support services and business growth opportunities. In the City’s recent experience with public projects, including those for communications network and fiber design projects, consulting contracts utilized over 20 percent DBEs, and even more was paid to small businesses. With the unique nature of many of the Smart Columbus Program advanced technology deployments, we may see a DBE participation of 15-20 percent on the Smart Columbus Program. However, we are confident that the skills developed by these and other firms in the Columbus area on other ITS project and the communications and outreach activities will provide opportunities for local DBE firms to display their various skillsets.

## C.8 ROLES, RESPONSIBILITIES, AND LINES OF COMMUNICATION

Well-defined roles and clear lines of communication are critical to the effectiveness and efficiency of organizations. The roles and lines of communication for the Smart Columbus Program Office are summarized in Figure C-2. The Program Manager, Deputy Program Manager, and Project Managers are critical to implementation and long-term sustainability of the Smart Columbus Program, and for maintaining regular interaction with the USDOT Smart City Challenge leadership. Key elements of this organization are highlighted below:

- **Smart Columbus Board of Trustees** includes the City’s top government and industry representatives and provides access to other government entities and major employers.
- **Program Management** within the Smart Columbus Program Office includes the City’s designated Program Manager and Deputy Program Manager.
- **Project Management** within the Smart Columbus Program Office includes the City’s designated Project Managers.
- **Senior Technical Advisors** provide access to experts and professionals from ODOT, OSU, MORPC, COTA, and CFO to identify issues and lower risk.

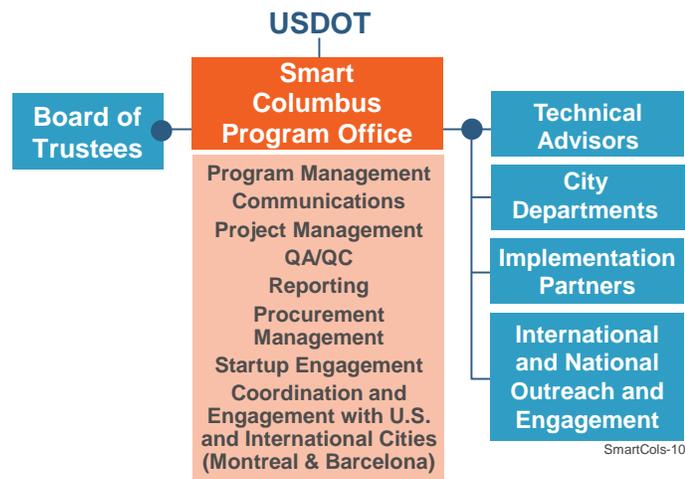


Figure C-2. The Smart Columbus Program Office provides the Smart Columbus Program with a centralized management and advisory team.



Please refer to Section D.2 for detailed position descriptions for the Program Manager, Deputy Program Manager, and Project Managers.

## C.9 LEVERAGING FEDERAL RESOURCES

The City of Columbus will leverage long-standing public and private cooperation efforts, such as the Columbus Partnership, to successfully accomplish our vision. Recent examples of cooperation, cost-sharing, and blended funding include Columbus 2020's Columbus Regional Logistics Council (CRLC), which provided private donations to the Rickenbacker Parkway Intersection. This project was an Ohio Stimulus project, which included cost-sharing between public and private sectors. To support the Heartland Corridor Double-Stack Clearance Project, Columbus, Licking County, and Franklin County with the Columbus Regional Airport Authority received a 2012 Transportation Investment Generating Economic Recovery (TIGER) grant, which included local public and private sector matches, including cash cost share and land donated by Norfolk Southern Railroad.

To implement the deployments proposed under the Smart Columbus Program, and to ensure a sustainable approach to the program beyond the deployment demonstration, the Smart Columbus Program will initially leverage federal funding, including the COTA Bus Rapid Transit Project Brand (CMAX BRT) Cleveland Avenue project, currently in the Federal Transit Administration (FTA) Major Capital Investment grants program pipeline and OSU's SMOOTH ITS Project, which was funded in part through a National Science Foundation (NSF) grant. In addition, Smart Columbus will work with MORPC to update the MPO's Metropolitan Transportation Plan during the deployment demonstration so that future phases of the Smart Columbus deployment will be eligible for MPO-attributable funding (e.g., Congestion Mitigation and Air Quality (CMAQ) program funding for additional CV-enabled corridors).

Once USDOT awards the demonstration to Smart Columbus, the City will work with MORPC and ODOT to have the Demonstration amended into the MPO TIP/STIP and ODOT SPR Work Programs.

The City of Columbus supports and seeks to leverage grant funding by its implementation partners. For example, the City supports pending requests for USDOT funding by our partners, including OSU's University Transportation Center (UTC) grants, COTA's Enhanced Mobility of Seniors & Individuals with Disabilities/Rides to Wellness Demonstration & Innovative Coordinated Access & Mobility grants, as well as the Advanced Transportation and Congestion Management Technologies Deployment (ATCMTD) Initiative, which is a partnership between ODOT and the Indiana DOT.

## C.10 APPROACH TO ENSURING QUALITY, TIMELINESS, COST CONTROL, AND MANAGING RISK

Successful risk management on advanced technology programs, such as this Smart Columbus Program, begins with a detailed Program Management Plan (PMP) and skilled program leadership. The Smart Columbus Program Office will ensure successful execution of the PMP, including ongoing operations. In addition, the Program Office will be informed by a Board of Directors and a team of internal and external Senior Technical Advisors with skills and experience to support the activities and components of the Smart Columbus Program. Furthermore, the Program Office will be supported by an audit and quality assurance/quality control (QA/QC) team member to ensure fiscal accountability, document control, and quality assurance. Finally, the Program Office will utilize and maintain a Schedule Management Plan with USDOT with frequent reviews to ensure timely delivery of projects and to facilitate schedule recovery.



## D STAFFING APPROACH

The City of Columbus is organizing the Smart Columbus Program Office within the City's Department of Public Service. The Program Office will be staffed by technically qualified leaders serving as Program Manager, Deputy Program Manager, and Project Managers. This Smart Columbus Team will direct, manage, and coordinate the Smart Columbus Program. The Program Office will leverage the existing and well-established Department of Public Service organizational structure to procure highly qualified Intelligent Transportation Systems (ITS) consulting professionals, communications support, construction and installation services, and equipment. The Program Office will also coordinate with other city departments and key stakeholders.

Public Service has an organizational infrastructure that is geared toward implementing the Smart Columbus Program with its highly qualified staff and well-established procedures designed to handle bidding and procurement of over \$150 million annually for professional services, construction contracts, and other procurements for a variety of agencies, including public-private partnerships. The Department has a successful track record in managing complex projects and programs with a variety of funding schemes, including multiple Federal and State programs and private sector partnerships.

The Program Office will also coordinate procurement, contracting, project management, deployment, and operation of the Demonstration with City Departments of Technology, Public Utilities, and Finance and Management, both to ensure a successful Demonstration project and to ensure a sustainable program following the Demonstration.

### D.1 ORGANIZATIONAL STAFFING CHART

The Smart Columbus Program Office is organized to manage, direct, and coordinate all aspects of the demonstration deployment while leveraging existing and well-established city organizational structure. Figure D-1 illustrates the Smart Columbus Program Office organization.

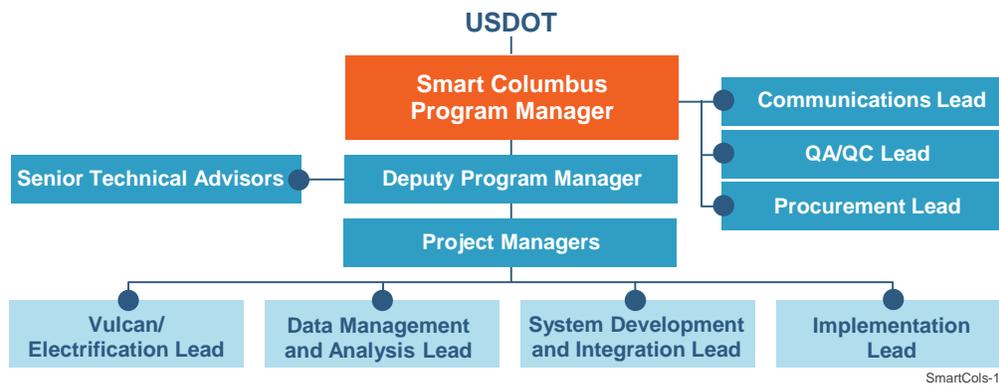


Figure D-1. The Program Office provides Smart Columbus with a centralized management and advisory team.

### D.2 POSITION DESCRIPTIONS AND LEVEL OF EFFORT

Table D-1 provides position descriptions and level of effort for the Smart Columbus Key Personnel. The level of effort for the Smart Columbus Program is expected to be 100 percent to ensure a deployment that meets The United States Department of Transportation (USDOT) goals.



Table D-1. Smart Columbus staffing plan.

Title and Position Responsibilities	Proposed LOE (%)	Allocation of Time (Hours/Year)				Summary Hours
		Y1	Y2	Y3	Y4	
<b>Program Manager</b> <ul style="list-style-type: none"> <li>Responsible for overall program delivery</li> <li>Primary point of contact for the USDOT</li> <li>Primary point of contact for Smart Columbus Board of Trustees</li> <li>Manages all stages of the project</li> <li>Manages and directs procurement, reporting, and auditing</li> <li>Responsible for communication plan and its execution</li> <li>Responsible for the stakeholder plan and its execution</li> </ul>	100%	2080	2080	2080	2080	8320
<b>Deputy Program Manager</b> <ul style="list-style-type: none"> <li>Manages the technical activities and deliverables, City department support, and Implementation Partner support</li> <li>Primary point of contact for Senior Technical Advisors</li> <li>Leads component reviews by the Senior Technical Advisors (every 6 months), as well as the periodic reviews for safety and quality assurance/quality control (QA/QC)</li> </ul>	100%	2080	2080	2080	2080	8320
<b>Project Manager (2)</b> <ul style="list-style-type: none"> <li>Acts as engineer-in-charge of deployments</li> <li>Reviews and interprets plans and specifications and reviews designs for conformance to plans; contract specifications; Federal, State, and City regulations; and codes and sound engineering principles</li> <li>Prepares technical reports and maintains engineering files</li> <li>Reviews reports, studies, recommendations, and surveys</li> <li>Prepares preliminary plans/proposals and specifications and cost estimates for engineering projects</li> </ul>	100% each	4160	4160	4160	4160	16640
<b>Vulcan and Electrification Lead</b> <ul style="list-style-type: none"> <li>Responsible for the deployment components for electrification, decarbonization, and liaison to Vulcan</li> </ul>	100%	2080	2080	2080	2080	8320
<b>Data Management and Analytics Lead</b> <ul style="list-style-type: none"> <li>Responsible for data collection, management, integration, and dissemination plan, which covers the City's approach to meeting requirements for publicly available open, machine-readable data that understands privacy and security needs</li> </ul>	100%	2080	2080	2080	2080	8320
<b>System Development &amp; Integration Lead</b> <ul style="list-style-type: none"> <li>Responsible for integrating the component applications</li> <li>Manages concept development, design, deployment, testing O&amp;M, and evaluation</li> <li>Leads the application of the systems engineering process</li> </ul>	100%	2080	2080	2080	2080	8320
<b>Implementation Lead</b> <ul style="list-style-type: none"> <li>Responsible for the project approach, component implementation, and objectives for the entire project</li> <li>Leads team agreements, performance measures, and implementation best practices</li> </ul>	100%	2080	2080	2080	2080	8320
<b>Senior Technical Advisors</b> <ul style="list-style-type: none"> <li>Small group of regional senior staff responsible for periodically reviewing the approach and progress of each of the components</li> </ul>	~10% each	240	240	240	240	760



## D.3 KEY STAFF EXPERIENCE ON RELATED ITS AND TRANSPORTATION PROJECTS

The Smart Columbus Team includes five key personnel with expertise in Program Management and Transportation Technology. Following are highlights of each key person's experience and expertise.

**Program Manager: Aparna Dial, MS, MBA, LEED AP.** Ms. Dial has over 20 years of distinguished public service experience in executive, operational and technical management, engineering review, strategic planning, budget preparation and management, purchasing, contract management, and regulatory compliance. She brings to the leadership of the Smart Columbus Program Office a particularly successful history of forging robust public-private partnerships and collaboration across cross-functional stakeholders in political environments. She is skilled at providing critical vision, leadership, and focus. Ms. Dial previously served as the Director of Energy Services and Sustainability at The Ohio State University and as the University Energy and Sustainability Engineer. Currently, she is the Deputy Director of the City Department of Public Service.

**Deputy Program Manager: Randall Bowman, PE.** Mr. Bowman joined the City Department of Public Service as the City was engaged in an extensive, multi-year, multi-million-dollar program to comply with a consent decree for the construction of wheelchair ramps. He helped guide the Department to new construction standards and completion of the construction program, monitoring, and reporting to successfully complete the City's obligations. More recently as the City's first Mobility Options Administrator, he directed and managed implementation of complete streets, greater mobility, improved bikability, greater pedestrian accommodation, and a complete modernization of the City's 5,000 parking meter program.

**Project Manager (Vulcan/Electrification): Bud Braughton, PE.** While spending twenty seven years with Conrail and CSX railroads, Bud Braughton's career in the transportation industry involved improving and modernizing railroad signal systems and training employees in order to provide for better safety and reliability. Mr. Braughton became part of the City of Columbus' Engineering staff in 2003, initially as a Project Manager, grants writer, and ADA manager. In the past several years, Bud has worked as a senior Project Manager directing city engineering staff and consultants in their duties to plan, design, and construct major downtown and "special" projects. Project oversight included infrastructure schedule coordination of 28 projects in the RiverSouth area totaling over \$100 Million, and delivering projects on time for the 2012 City Bicentennial celebration. Additional project oversight includes coordinating with ODOT as the over \$1 Billion ODOT Columbus Crossroads project is well underway.

**Project Manager (ITS): Ryan Bollo, PE.** Mr. Bollo's 14 years of experience includes more than 12 years in signal operations and design. He has managed the City's Columbus Traffic Signal System (CTSS) program. This five-phase project will connect all the City's traffic signals to the newly constructed state-of-the-art Traffic Management Center, for which Mr. Bollo managed the design. He also assisted the Ohio Department of Transportation in developing the Traffic Engineering Manual's Section 13 "Intelligent Transportation Systems." In addition, he was the Project Manager for the City's first ever Traffic Signal Design Manual, a comprehensive document that provides consulting engineers a set of standards for design of the City's signals and interconnect.

**Vulcan and Electrification Lead: Ben Ritchey.** Ben was the chair of the Columbus Regional Logistics Council and worked on hiring, highway congestion and regulations with logistics businesses in the Rickenbacker areas. He was project manager for the USDOT comprehensive truck size and weight studies and technology-related alternative fuels studies.

**Data Management and Analytics Lead: Michael Bieberitz.** Michael's 17 years of experience includes relational database design and development, web API design and programming, requirements gathering and documentation, and workflow process modeling and deployment of cloud-based big data solutions. Michael will be the HNTB team's lead in the ITS Program Office, and will lead by principals of the defined IDE Architecture Framework.

**System Development and Integration Lead: Frank Perry.** Over the past 10 years, Frank has been working with the USDOT to develop and operate connected vehicle deployments in Michigan and Virginia. Frank led the design of the current specification for Roadside DSRC units for USDOT and the



design, implementation and operations of the first active data management system for connected vehicle data. Frank brings more than 20 years of experience in the design, deployment, optimization and management of wireless and wired communication systems.

**Implementation Lead: Greg Krueger, PE.** Greg brings 20 years of experience and is an internationally recognized leader in connected vehicle technologies. His prior experience includes supporting the USDOT Safety Pilot Model Deployment effort and other CV programs for USDOT, MDOT and AASHTO. Greg offers experience as the project manager of the USDOT Southeast Michigan Connected Vehicle Test Bed. Prior to that, Greg was on loan to the USDOT to help develop the national CV program for the Joint Program office. Greg will use his expertise throughout the project, with a focus on development of the CCTN and implementation leadership.

In addition to the experienced City staff leading the application effort, the City assembled a team of top local and national ITS professionals, connected vehicle/autonomous (CV/AV) vehicle researchers, and implementers to develop this program proposal, as Table D-2 highlights. Their depth and breadth of experience has provided the City with a well-founded, well-grounded approach to demonstrate the objectives and goals of the Smart City Challenge. Following city regulations for procurement, the Smart

City Program Office will oversee and direct procurement of the best-qualified professionals for the demonstration deployment. The Program Office will ensure that the deployment team will match or exceed the highly qualified ITS professionals who supported the application development.

*Table D-2. The application team provided expertise to develop the Smart Columbus application.*

<p><b>Jim Barbaresso (HNTB)</b></p> <ul style="list-style-type: none"> <li>• Senior technical advisor for Tampa Hillsborough Expressway Authority (THEA) Connected Vehicle (CV) Pilot Deployment.</li> <li>• Senior technical advisor for Florida DOT's statewide connected and automated vehicle program for research, development, and deployment of emerging transportation technologies.</li> <li>• Program Manager for subcontractor services for American Association of State Highway and Transportation Officials (AASHTO) Near-Term Vehicle-to-Infrastructure Transition and Phasing Analysis.</li> <li>• Chairperson of the 2014 World Congress on Intelligent Transport Systems, Detroit, MI</li> </ul>
<p><b>Greg Krueger, PE (HNTB)</b></p> <ul style="list-style-type: none"> <li>• Managed the USDOT Southeast Michigan Connected Vehicle Test Bed. Served as Michigan Department of Transportation's (MDOT) Program Manager for its statewide ITS program.</li> <li>• Supported the Safety Pilot Model Deployment effort in Ann Arbor, MI, as well as a variety of other connected vehicle programs for USDOT, MDOT, and AASHTO.</li> </ul>
<p><b>Tom Timcho (PB)</b></p> <ul style="list-style-type: none"> <li>• Two decades of systems engineering expertise with specific emphasis on CV technologies.</li> <li>• Has led or supported the USDOT Safety Pilot Model Deployment in Ann Arbor, Michigan; USDOT Dynamic Mobility Application (DMA) program prototype deployments, including Seattle, Washington; Columbus, Ohio; and Orlando, Florida; and the USDOT CV Test Beds located in Southeast Michigan, Northern Virginia, and other U.S. locations.</li> </ul>
<p><b>Scott Shogan (PB)</b></p> <ul style="list-style-type: none"> <li>• Served as the infrastructure team manager for the AACVTE.</li> <li>• Served as Project Manager for MDOT CV planning and deployment initiatives.</li> <li>• Serves as a key interface between pilot activities and the USDOT's CV program staff.</li> </ul>
<p><b>Michael Bieberitz (HNTB)</b></p> <ul style="list-style-type: none"> <li>• Lead for database development and data standards, workflow processes, final design documentation, Entity Framework and Web API calls for Michigan Department of Transportation Metro ITS Asset Management System, MI.</li> <li>• Lead database and Web API developer for New Jersey Turnpike Authority (NJTA) Traffic Permit and Lane Closure Request</li> </ul>
<p><b>Ben Ritchey (CDM Smith)</b></p> <ul style="list-style-type: none"> <li>• Project Manager for two Comprehensive Truck Size and Weight Studies for the USDOT, OST with a total cost \$8 million.</li> <li>• Project Manager for several technology-related alternative fuels studies.</li> </ul>



Table D-2. The application team provided expertise to develop the Smart Columbus application.

**Katherine Zehnder, PE, PTOE, AICP (HNTB)**

- Project Manager for developing ITS Architectures for AMATS, Eastgate, MORPC, NOACA, OKI, SCATS, and TMAGOG
- Project Manager for CTSS System Assessment and Phase A-C Design, Columbus, and Phase D regional traffic signal system, communications network, and new Traffic Management Center (TMC) relocation
- Project Manager for Ohio Turnpike Infrastructure Commission’s communications, geographic information system (GIS), and ITS infrastructure upgrades

## D.4 STAFF CONTINGENCY PLAN

The primary organizational risks are associated with potential turnover in staff and key personnel involved in the project. We have addressed these risks by proposing a team that has a large depth of cross-trained staff and resources available. With Mayor Andrew J. Ginther as leader and champion of the Smart Columbus Program, the City is bringing its entire organizational resources to support the Program Office including redundancy in capable staff, which will allow us to replace key personnel over the life of the project. Additionally, the City will procure consulting services of the best qualified ITS, communications, and project design professionals to ensure successful delivery of the Demonstration project.

## D.5 KEY PERSONNEL RESUMES

Brief resumes for the proposed Department of Public Service Smart Columbus Program Office key personnel are provided at the end of Section D.



**Name:**                   **Aparna Dial**  
**Proposed Role:**   Program Manager  
**Education:**        B.S., Civil Engineering, Osmania University (India), 1992  
                          M.S., Civil and Environmental Engineering, Utah State University, 1997  
                          M.B.A., The Ohio State University, 2004  
**Certifications:**   LEED AP BD+C

**Qualifications:**

Aparna Dial joined Mayor Ginther’s leadership team in 2016 as Deputy Director of the Department of Public Service. She has over 20 years of distinguished public service experience in executive, operational, and technical management, engineering review, strategic planning, budget preparation and management, purchasing, contract management, and regulatory compliance. Ms. Dial is adept in running the day-to-day operations of large and diverse organizations and implementing complex projects. She brings to the leadership of the Smart Columbus Program Office a particularly successful history of forging robust public-private partnerships and collaboration across cross-functional stakeholders in political environments. She is skilled at providing critical vision, leadership, and focus. She has served as the Director of Energy Services and Sustainability at The Ohio State University and as the University Energy and Sustainability Engineer, where she managed a refuse-services operating and capital budget of over \$1 million, and recycling and municipal solid waste collection, hauling and disposal from more than 300 locations daily. She also managed the campus utility budget of \$70 million, including \$30 million in revenues, the purchasing of fuels on the commodities market and the engineering review of construction projects. Additionally, she ensured that proposed and contractual obligations between The Ohio State University, third-party contractors and customers were met. Her expertise also includes strategic planning, project management, environmental compliance, and enforcement.

**Professional Experience**

**The Ohio State University, Facilities Operations and Development**

*University Director and Energy Services and Sustainability Engineer* 02/2006 – 05/2016

- Deliver quality services in the area of infrastructure maintenance and support including installation and maintenance of utility meters, vendor selection and contracts, recycling and refuse collection, energy management, transportation, design and construction project reviews, and manage diverse (bargaining and non-bargaining unit) public sector staff.
- Provide leadership and oversight of energy and sustainability programs including forecasting and management of campus utility budget of \$70 million, including \$40 million in revenue generation. Established utility metering program including implementation of new metering and billing software with over \$500,000 annual spending in utility meter installations, fuels purchasing, and energy engineering projects. Facilitated university wind power purchase of 141,000,000 kWh a \$167 M commitment.
- Manage an operating and capital refuse-services budget of over \$1 million, recycling and municipal solid waste collection, hauling and disposal from more than 300 locations daily.
- Review and award bids. Ensure all proposed and contractual obligations between third-party contractors and customers are met.
- Serve as the university representative for sustainability, energy, recycling, and refuse issues at various community and board meetings. Establish and maintain effective community relations and interface with the media on a regular basis.
- Collaborate to ensure successful and timely delivery of construction and renovation projects from room renovations, construction of parking garages, and street resurfacing to construction of new and extremely complex buildings. Provide technical review and prioritization of all capital projects across all campuses.
- Cooperate to develop University’s Transportation and Parking Plan, the Campus Transportation Survey, Bike Share, and other transportation programs.



- Develop strategy to integrate sustainability principles into all operational functions of the university; establish goals and create a long-range energy, waste reduction, and sustainability plan for the university that aligns with university mission and goals. Establish the Ohio State University as a national and international leader in waste-management, energy, and operational sustainability.

*Interim Director – Service/Call Center*

10/2005 – 11/2007

Managed 24-hour/365-day customer service center that handles approximately 100,000 calls a year, over 50,000 service requests, coordination, and communication with building occupants, and all other basic non-emergency services. Increased efficiency and response time, consolidated services, and drastically reduced the number of customer complaints. Developed and maintained excellent communication with customers; managed service follow-up programs and surveys.

*Director – Environmental Affairs*

6/2004 – 02/2006

Managed air, water, asbestos, hazardous waste, and infectious waste programs; managed university compliance with environmental regulations, including Clean Air Act, Clean Water Act, FIFRA, SPCC, and others; coordinated preparation of reports, permits, test notifications, and exceedance reports; served as a liaison with regulatory agencies; oversaw implementation of the environmental management system, environmental cleanup projects; provided engineering review support services relating to environmental issues.

*Environmental Engineer*

06/2000 – 05/2004

Managed the air pollution prevention and compliance program for the Ohio State University (OSU), including satellite campuses and university-owned businesses; developed technical policy and guidance related to air pollution; provided technical assistance to campus entities; evaluated university owned air pollution sources for compliance with state and federal air regulations and permits; conducted regular inspections of university operations; ensured compliance with permits by assisting affected parties to establish adequate record keeping and reporting procedures.

### **Ohio Environmental Protection Agency (Ohio EPA), Division of Air Pollution Control**

*Environmental Specialist 2*

06/1997 – 05/2000

Reviewed air permit applications; wrote permits; performed compliance inspections; determined best available control technology for new sources; conducted complaint investigations; oversaw stack tests; provided assistance to the community and public; and initiated enforcement actions against entities violating regulations.

### **Arizona Department of Environmental Quality, Division of Surface Water**

*Environmental Engineer*

10/1996 – 05/1997

Reviewed detailed engineering plans for public and industrial waste water treatment plants and sludge management; performed site inspections; prepared discharge permits and reviewed permit reports; responded to citizen enquiries and complaints; and assisted in the resolution of enforcement cases.

### **Maricopa County, Arizona**

*Environmental Planner*

07/1996 – 10/1996

Reviewed annual air emission inventory reports from industry; assisted in the preparation of the Maricopa County PM-10 report for small sources; and participated in the development of regulations for air contaminant sources.

### **Utah Water Research Laboratory (EPA certified)**

*Research Assistant*

09/1992 – 06/1996

Participated in remediation/monitoring of soil gas and groundwater at a leaking underground storage tank at Hill US Air Force base; participated in field sampling/site characterization of a gasoline remediation site; managed databases and analyzed data from hazardous waste natural-attenuation sites.



**Name:** Randall Bowman  
**Proposed Role:** Deputy Program Manager  
**Education:** B.S., Civil Engineering, University of Illinois, 1987  
**Certifications:** Ohio Licensed Professional Engineer #E-57391

**Qualifications:**

Randall Bowman’s career in public service spans over 28 years. Mr. Bowman is the city of Columbus’ Assistant Public Service Director for Sustainability and assists the Director of Public Service on sustainable policy development and special projects. He served as Columbus City Engineer for much of the last decade, and he joined the Department of Public Service as the City was engaged in an extensive, multi-year, multi-million-dollar program to comply with a consent decree for the construction of wheelchair ramps. Mr. Bowman helped guide the Department to new construction standards and completion of the construction program, monitoring and reporting to successfully complete the City’s obligations. More recently as the City’s first Mobility Options Administrator, he directed and managed implementation of complete streets, greater mobility, improved bikability, greater pedestrian accommodation, and a complete modernization of the City’s 5,000 parking meter program. As Columbus City Engineer, Mr. Bowman directed \$220M in capital improvement construction and design projects from 2002 to 2008, with three-quarters of contracts legislated within 30 days of planned start date; oversaw development of the City’s first Bikeways Plan and Complete Streets policy in 2009; oversaw the modernization of the City’s parking meter program to new technology in 2010; and oversaw development of the City’s plans to modernize the Columbus Traffic Management Center, and the Columbus Traffic Signal System project from 2003-2005.

**Recent Accomplishments**

Administered a division of 56 employees with an annual operating budget of \$6.4 million and an annual capital improvements budgets of \$8 million. Significant responsibilities and accomplishments included:

- Administration of planning, educating, and advocating for greater mobility of the various roadway users necessary to ensure a safe and efficient transportation system for pedestrians, bicyclists, and vehicular traffic as well as improving neighborhood livability and safety.
- Administering the implementation of the city’s Bicentennial Bikeways Plan, which outlines goals for making Columbus a more bike-friendly city, and Operation SAFEWALKS, which sets priorities for filling in sidewalk gaps along the City’s arterial streets.
- Administering parking management services, including on-street and parking garage planning, parking enforcement, various forms of parking permits, meter collections, and maintenance.
- Updating the City’s parking meter program to new technology.
- Administering and managing between City investment of \$11.5 million in new bicycle facilities following adoption of the City’s first Bikeways Plan.

**Professional Experience**

4/2014 – Present: Assistant Director of Public Service, City of Columbus, Ohio  
3/2009 – 4/2014: Division of Mobility Options Administrator, City of Columbus, Ohio  
1/2002 – 3/2009: City Engineer/Assistant Administrator, City of Columbus, Ohio  
2/2001 – 1/2002: Assistant City Engineer/Assistant Administrator, City of Columbus, Ohio  
3/1999 – 2/2001: City Engineer, City of Hilliard, Ohio  
9/1992 – 3/1999: Assistant City Engineer, City of Dublin, Ohio  
8/1989 – 9/1992: Civil Engineer, City of Urbana, Illinois  
8/1987 – 7/1989: Civil Engineer, Illinois Department of Transportation



**Name:** Bud Braughton, PE  
**Proposed Role:** Vulcan/Electrification Project Manager  
**Education:** Master of Business Administration  
May, 2006. Franklin University, Columbus, Ohio  
Bachelor of Science, EET  
April, 1988 Franklin University, Columbus, Ohio  
**Certifications:** Registered Professional Engineer, Ohio #64471.  
The Ohio State University and Franklin University Alumni Associations  
University of Wisconsin Railroad Engineering Course – Rail Transit  
Drexel University, Certified Trainer Program.  
Cincinnati Technical College, Micro Station computer aided design.  
Ohio University, Grants Management.  
OPERATION LIFESAVER Presenter and President 2008-2011

**Qualifications:**

*July 07- Present* **City of Columbus, Department of Public Service**

**Engineer IV, Downtown, ODOT, and Special Projects**, Division of Design and Construction

- Direct city engineering staff and consultants in their duties to plan, design, and construct major downtown projects.
- Consult with city, state and county government officials and stakeholders, private utilities and private organizations, and citizens at public meetings to plan and coordinate multiple projects at one time in the downtown area.
- Confer with senior city officials about progress, issues, and solutions to problems that arise for important projects. Lead a task team of City administrators to address planning efforts for major downtown Interstate projects.
- Prepare and review cost estimates that are submitted for capital improvement projects. Provide budget and project information in order to acquire grant funds from state and federal agencies. Prepare and review maintenance agreements that will be implemented with private developers or other agencies for items placed within the city right-of-way. Implement projects for construction.
- Prepare technical reports and other correspondence for administration.

*October 03- July 07* **City of Columbus, Transportation Division**

**Engineer III**, Transportation Division

- Manage city roadway projects. Write RFP's and agreements for projects. Prepare information for legislation. Develop goals and perform employee evaluations and interviews. Identify, develop, and implement needed policies. Review engineering plans. Ensure timely handling of 311 service requests from citizens concerning ADA and other issues.
- Develop capital improvement budget information and determine grant funding needs for transportation projects and apply for grant funding. Ensure proper grant fund management.
- Coordinate as liaison with Columbus Public School Project Managers for the rebuild program.
- Coordinator for other department projects with Ohio Rail Development Commission, PUCO, and citizens regarding railroad issues.

*June 00 - October 03* **CSX Transportation**, Louisville Division

**Engineer, Train Control**

- Managed 4 Supervisors and 85 maintenance employees responsible for testing and troubleshooting of railway signal circuits. Managed employees to ensure system reliability during snow storms and severe weather. Helped develop goals for supervisors, and evaluated their performance every six months.
- Facilitated engineering teams in "Six Sigma black belt" program. Used Six Sigma statistical tools to analyze service request data, develop and implement plans to increase safety and reduce costs.



- Mentored engineering department “green belt” employees and supervisors through various engineering projects, developing cost reducing policies and standards for implementation.
- Performed site inspections with Supervisors and Federal Railway Administration inspectors to find and eliminate defects.
- Evaluated proposed equipment provided by various manufacturers and suppliers. Researched the use of various forms of new technology to help reduce defects. Prepared and presented Microsoft Power Point presentations to CSX senior management concerning project status and potential savings and improvements. Teach and qualify railroad engineering employees on railroad operating rules.
- Performed investigations for cause of train accidents, lightning damage, and ground faults. Investigated personnel safety related incidents.
- Conducted quality control inspections, and technical training, interacted with vendors for installation of new equipment, reducing train delays, and overtime costs by over 50%.
- Managed railroad engineering employees for tie replacement and resurfacing projects.
- Researched and reported on other industry best practices for implementation.
- Monitored capital improvement project spending and operating expenses of division signal department. Reviewed inventory of sub-divisions, conducting audits of on-hand material.

*July 99-May 00 CSX Transportation, Detroit Division*

**Supervisor, Train Control**

- Managed 14 employees. Integrated employees from 3 separate maintenance teams into one team after acquisition from former employer. Educated employees of rules, standards, and computer system use of acquiring corporation. Organized newly formed subdivision, vehicle fleet, and headquarters.
- Ensured safety of employees by providing proper personal protective equipment.
- Inspected signal control locations for proper fusing, lightning protection, and grounding.

*May 96-June 99 Conrail, Indianapolis Division*

**Electronic Engineer, Communications & Signals**

- Managed 10 Electronic Technicians, and other maintenance employees concerning Programmable I/O equipment used for train control. Plan, supervise, and test the installation of railway signal PLC systems. Provide safety and technical guidance for employees on design, installation, maintenance, trouble-shooting, and repair.
- Provided information to employees concerning revisions to improve existing electronic equipment. Serve on a standards committee that evaluates current and potential standards for equipment used in railway communications and signal systems.
- Member of a safety team responsible for training, inspecting, and gathering information to provide a safe environment in which to work.

*Oct 82-Apr 96 Conrail, Columbus OH*

**Instructor, Communications & Signals**

- Industrial electronics. Developed and taught electronics classes that effectively expanded mostly mechanical skills of an existing 1000+ workforce to install, maintain, and test microprocessor based equipment and programmable controllers.
- Qualified over 300 employees on OSHA and corporate rules concerning power and pole line safety. Wrote, produced, and edited safety instruction manuals, presentations, and instructional videos.
- Instructed signal employees on various facets of railway signaling, including federal rules & tests, circuit plan interpretation, and system functions.
- Co-taught approximately 20 front line supervisor classes on “Improving Employee Performance” and “Interpersonal Communications,” which enabled technically skilled employees to become effective front line managers.
- Skilled in research, development, and testing of items such as surge suppression, batteries, lenses, meters, relays, and electrically motorized gates and switches.



**Name:** Ryan Bollo, PE  
**Proposed Role:** Project Manager  
**Education:** The Ohio State University, Columbus, OH -Bachelor of Science in Electrical Engineering, June 1999  
**Certifications:** State of Ohio Professional Engineer (License No. E-69041)

**Qualifications:**

Mr. Bollo brings 14 years of experience to this program, over 12 of those in signal operations and design. Mr. Bollo has managed the City's Columbus Traffic Signal System (CTSS) program. He has overseen all funding, design, construction, and is the liaison to the operations staff on all aspects of these projects. This five-phase project will connect all the City's traffic signals to the newly constructed state-of-the-art Traffic Management Center, for which he personally managed the design. He assisted the Ohio Department of Transportation in the development of the Traffic Engineering Manual's Section 13 "Intelligent Transportation Systems." He was also the Project Manager for the City's first ever Traffic Signal Design Manual, a comprehensive document that provides consulting engineers a set of standards for design of the City's signals and interconnect. His excellence in project management was recognized in 2015 when he was awarded the City's Engineer of the Year. Mr. Bollo's staff includes three engineers to assist him in the design and review of City projects. This staff brings an additional level of experience to the program.

**Professional Experience**

CITY OF COLUMBUS, COLUMBUS, OH

*Department of Public Service, Division of Design & Construction (2002 – Present)*

- Program/Project Manager of the Columbus Traffic Signal Systems (CTSS)  
Phases A – E projects (2005 – Present)
  - \$62 million for all five phases between design, construction, ROW, and utilities.
  - Replaces and expands on existing coaxial communication system implemented in the 1970s with fiber optic cable and wireless technology.
  - Migrates over 1,000 traffic signals in Columbus and Franklin County and allows for interjurisdictional communications to local agencies including ODOT and the Central Ohio Transit Authority (COTA).
  - Coordinated efforts with multiple division and departments within Columbus as well as State, Federal, and the Metropolitan Planning Organization (MPO) to complete projects.
- Traffic Signal Design Manual (2013-2015)
  - Project Manager of the comprehensive manual over 225 pages that organizes, updates, and memorializes traffic signal design standards.
  - Organized and led over 100 meetings to develop the manual.
  - Created over 40 new traffic signal standard construction drawings (SCDs) to supplement the manual.
- Traffic Management Center (TMC) (2012 – 2013)
  - Project Manager on the design phase of the new modern 7,500 SF building including a new Traffic Management Center (TMC).
  - Designed the project in four months, constructed in less than eight months to meet the aggressive external schedule.
  - Coordinated multi-jurisdictional communication networks relocations to other facilities.
- Plan Review Supervisor (2015 – Present)
  - Supervises nine personnel in the capital improvement program and private development.
  - Tested, created standards, and implemented Electronic Plan Review throughout the department, which saves thousands of dollars per project and countless plan sheets per project.
- ODOT Traffic Engineering Manual (2007-2008)



- Assisted the Ohio Department of Transportation in the development of the Traffic Engineering Manual's (TEM) Section 13 "Intelligent Transportation Systems".
- Project Manager (2002-2005)
  - Managed over \$2.8 million in municipal construction contracts.
  - Created the Project Management Manual for the department with 150 templates.

DUBLIN TECHNICAL SYSTEMS, INC., DUBLIN, OH

*Project Engineer (1999 –2002)*

- Performed diagnostic checkouts and programmed Ethernet communication networks, which consisted of programmable logic controllers (PLCs), field equipment, and workstations.
- Developed human machine interfaces (HMIs) for customers, trained operators, and wrote operations and maintenance manuals.



**Name:** Ben Ritchey, PMP  
**Proposed Role:** Vulcan/Electrification Lead  
**Education:** M.P.A. – Public Administration, George Washington University, 1982  
B.A. – Political Science, The Pennsylvania State University, 1978  
Community College, 1986  
**Certifications:** Project Management Professional (1571937)

**Qualifications:**

Mr. Ritchey brings 30 years of transportation research related experience primarily for USDOT and transportation industry. He has extensive project management experience on large, highly visible FHWA and USDOT projects. He is versed in strategy and policy options development, and application of policies to impact the transportation arena. He is currently CDM Smith Client Service Manager for the US Department of Transportation.

Mr. Ritchey recently joined CDM Smith. His transportation research experience includes large, visible projects for USDOT, USDHS, the transportation industry and US DOE. He has been the Project Manager for two Amtrak projects for the USDOT; the USDOT 2000 and current Comprehensive Truck Size and Weight Studies, Western Uniformity Scenario Analysis and Federal Highway Cost Allocation Study; and several FHWA IDIQ contracts. He recently participated on a team that completed the Future Uses of Rights of Way study for the FHWA Office of Policy, where he prepared two white papers (Rest Areas and the Emerging Technologies) and support the scenario planning. He has managed a number of other projects for the FHWA, including Multimodal FAF, and vehicle fuel efficiencies and technologies related projects. For US DOE, Mr. Ritchey led alternative fuels and hazardous material routing projects. For USDHS, he participated in security assessments of supply chain operations and regulatory analysis. For transportation industry, his projects involved problem solving for operational issues, and technology assessments and implementations.

**Professional Experience**

Transportation project management experience

Mr. Ritchey's transportation program management experience includes managing major contracts and projects for USDOT and us doe. He managed several multimillion-dollar indefinite delivery/indefinite quantity technical support contracts for the FHWA office of policy; us doe office of energy technology; and USDHS office of science and technology. Mr. Ritchey has managed several multi-year, visible projects, such as the USDOT STSW 2000 study, two Amtrak studies and the federal HLA study for the USDOT. He also has managed several alternative fuels/clean energy technology studies and demonstration projects for trucking and transit fleets.

Transportation technology experience

Mr. Ritchey's transportation technology experience encompasses projects for USDOT, USDHS, and Ohio dot. He directed efforts for Battelle's transportation (aviation) technology test and evaluation business in support of USDHS test facility (at the Atlantic City Lab) and for Battelle's transportation (vehicle) technology technical support business for USDOT JPO program. He supported technology strategy development for Ohio DOTs green rest area technology program. Also, his experience covers technology assessments for alternative fuels for trucking and transit fleets, tolling, and transit-based security and payments systems. His technology experience includes facilitation of government clean energy technology buy-down programs with specific fleets for adoption of converting to alternative fuels and exhaust technology systems

Transportation security research experience



Mr. Ritchey's transportation security experience includes business and strategy development at USDOT and USDHS. He directed business development efforts for Battelle's transportation test and evaluation business and product development for USDHS. His experience covers security assessments and regulatory analysis for several modes (aviation, highway and transit), as well as operational and technology topics. He also led the business strategy development effort for Battelle's air cargo screening product development project.

#### Selected experience

- USDOT, office of policy, interstate system future uses of rights-of-ways study, 2 white papers (emerging technologies and rest areas), 2012
- NCFRP 22, applying benefit-cost analysis to freight project selection: lessons from the corps of engineers, 2013
- Highway capacity 2040 policy options white paper, 2012
- USDOT, OST, Amtrak efficiency studies (2 studies), project manager, 1996 and 2003
- USDOT, FHWA, federal highway cost allocation study, project manager, 1999
- USDOT, FHWA, 2000 CTSW study, project manager
- USDOT, FHWA, western uniformity scenario analysis, pm, 2000
- Society of automotive engineers, commercial vehicle volume, TSW policy issues in the Texas-Mexico border region, 1999.



**Name:** Michael Bieberitz  
**Proposed Role:** Data (Including IDE) Lead  
**Education:** M.B.A., Kellstadt Graduate School of Business, DePaul University, 2011  
Graduate Certificate, Geographic Information Systems, University of Wisconsin – Madison, 2001  
B.S., Urban and Regional Studies, University of Wisconsin – Green Bay, 1999

**Qualifications:**

Michael serves as a technology senior project manager in HNTB's Great Lakes Technology group. He has a wide variety of experience in the implementation of custom GIS and IT solutions related to infrastructure, planning, design, operations and maintenance. His primary technical experience includes relational database design and development, web API design and programming, requirements gathering and documentation, and workflow process modeling. Michael's database management and programming skills include SQL Server and Oracle database development, SQL, SQL Server Integration Services (SSIS), C#, VB.Net/Visual Basic/VBA, ASP/ASP.Net, JavaScript (Ajax), XML, Entity Framework and Web API, Amazon RDS, and Amazon Web Services. His specific expertise in GIS platforms includes ArcWeb Services and ArcSDE.

**Recent Accomplishments**

- RailAdvise®, HNTB Railroad-Based Asset Management Software (2012-present) – Database development lead of an application for bridge inspection and management. RailAdvise® is an Amazon cloud-based web application that enables railroads to meet FRA guidelines by tracking their bridges electronically. Bridge stakeholders can view inspection data through an interactive web map. The mapping user interface was developed using the Google Maps Javascript API and jQuery, and uses a backend built upon Microsoft MVC web technologies. Data is stored in an Amazon RDS SQL Server database. Clients are able to store an unlimited number of scanned photos and documents in Amazon's S3 cloud storage, and access them directly through the interactive map – which includes multimedia asset integration (video and still imagery). Tasks includes database design, data management and procedures for integration with application interface.
- New Jersey Turnpike Authority (NJTA) Traffic Permit and Lane Closure Request Application, Statewide, NJ (2014-present) – Lead database and Web API developer for an automated Traffic Permit and Lane Closure request application. The solution is an Amazon cloud-based web portal for stakeholders and the Authority to better manage the 500-600 traffic permit and lane closure requests that are received on a weekly basis. Tasks included workflow process modeling, data management, and Oracle database and Web API development.
- MDOT Statewide Asset Management, MI (May 2014-present) – Project Manager and enhancements lead for providing long-term hosting, maintenance and enhancement support to the HNTB-designed and developed statewide ITS asset management system. The Amazon cloud-based system has been collaboratively designed and developed in several phases and has been established as a crucial element to MDOT's ongoing asset and data management strategy. The system provides for web-based viewing and reporting which allows remote access to statewide ITS data. Stakeholders can query ITS assets and view results in tabular formats or in a map through an integration with MDOT's statewide GIS data. A cost management module assists MDOT in projecting capital and operational costs year over year. Tasks included workflow process modeling, enhancements prioritization, data management, and SQL Server database and Web API development.

**Professional Experience**

2/2005 – Present: Technology Senior Project Manager



**Name:** Frank Perry  
**Proposed Role:** System Development and Integration  
**Education:** M.S. Engineering Management, University of Detroit-Mercy, 2004  
B.S. Electronic Engineering Technology, University of Toledo, 1995

### Qualifications:

Over the past 10 years, Frank has been working with the USDOT to develop and operate connected vehicle deployments in Michigan and Virginia. Frank led the design of the current specification for Roadside DSRC units for USDOT and the design, implementation and operations of the first active data management system for connected vehicle data. Frank brings more than 20 years of experience in the design, deployment, optimization and management of wireless and wired communication systems.

### Recent Accomplishments

Frank is the past Chairman of the Society of Automotive Engineers (SAE) DSRC Technical Committee, developing J2735 and J2945 standards. The committee is charged with developing message definition, and performance requirements, to enable wireless vehicle-to-vehicle and vehicle-to-roadside communications. Frank has been part of the SAE DSRC Technical Committee as well as the IEEE 1609 Working Groups since 2004.

Frank was the project manager for deployment, operations, interoperability testing, design and implementation for connected vehicle systems for the USDOT. His experience includes:

- USDOT Deployment and operations of the Michigan Connected Vehicle Testbed, including a prototype 5.9 GHz Dedicated Short Range Communication (DSRC) wireless system.
- USDOT Interoperability Testing for Connected Vehicles in Ann Arbor, MI, which included 10 device types from 5 manufactures. Frank verified that Vehicle On-Board Equipment and Roadside Equipment could process SAE J2735 defined DSRC messages, as well as request and properly manage IEEE 1609.2 security credentials from the USDOT Security Credential Management System (SCMS).
- USDOT Design and Implementation of Connected Vehicle System Architecture in Detroit, MI, which is a Reference Implementation for future Connected Vehicle Systems.

Frank has six years of light duty vehicle architecture experience, developing strategies for connecting wireless enabled consumer electronic devices to vehicles and connecting vehicles to external wireless systems. His experience includes:

- Ford Motor Company Technical Lead to the Vehicle Infrastructure Integration-Consortium (VIIC), which is comprised of eight (8) Automotive Manufactures responsible for the development of DSRC-based vehicle communication hardware and software.
- Development of hardware and software specifications to integrate Bluetooth, 802.11, and cellular wireless communications protocols into automotive architectures for delivering Telematics based services, including an Enhanced Crash Notification System field trial in Houston, TX consisting of 500 Police Cruisers.

### Professional Experience

2011 – Present: Leidos (formally SAIC), Senior Systems Engineer  
2010 – 2011: MixonHill of Michigan, Inc., Regional Manager  
2007 – 2010: Booz Allen Hamilton, Systems Engineer  
2001 – 2007: Ford Motor Company, Research Engineer – Wireless  
1987 – 2001: Alltel Wireless, Staff Manager – RF Engineering



**Name:** Greg Krueger, PE  
**Proposed Role:** CCTN  
**Education:** M.S., Civil Engineering (Traffic Operations), Texas A&M University, 1995  
B.S., Civil Engineering, Colorado State University, 1993  
**Certifications:** Michigan Licensed Professional Engineer #6201047061

**Qualifications:**

Greg is a recognized leader in the Connected Vehicle program specializing in emerging technologies in transportation. With more than 20 years of experience, he supports HNTB’s intelligent transportation systems programs and clients nationwide. Greg works with both public- and private-sector clients to facilitate the deployment of connected and automated vehicles on the nation’s roadways.

Previously, Greg was manager of the USDOT Southeast Michigan Connected Vehicle Test Bed where he oversaw the day-to-day operations and technology enhancements for the original Proof of Concept facility. He also served as the Michigan Department of Transportation’s program manager for its statewide intelligent transportation systems program, overseeing all development, deployment, operations and maintenance of ITS throughout the state of Michigan.

Additionally, Greg has supported the Safety Pilot Model Deployment effort in Ann Arbor, Michigan, as well as a variety of other connected vehicle programs for USDOT, Michigan DOT and the American Association of State Highway and Transportation Officials.

**Recent Accomplishments**

Greg has experience working with and for the USDOT and assisted in concept development for the City of Columbus’ Smart City application to the USDOT. His project experience includes:

- Tampa Hillsborough Expressway Authority (THEA) Connected Vehicle Pilot (2016) – Task leader supporting the development of a connected vehicle pilot program in the Tampa area. This program is in support of a USDOT grant to develop, deploy and operate a complete connected vehicle system in Tampa. Greg is serving as an overall subject matter expert on the project and is also the safety manager, working to ensure that all participants and systems are operating in a safe manner and safety issues are addressed appropriately. In addition, Greg oversees the business planning for the expansion and sustainability of the deployment and working with partners to find additional funding to expand and operate the system.
- USDOT Connected Vehicle Test Bed (2010-2015) – Program manager on the operations, upgrade and expansion of the USDOT Connected Vehicle test bed in southeast Michigan. Greg worked with the USDOT and technology providers to develop a long-range plan for the operations and upgrade of the USDOT deployment and oversaw the implementation of that long-range plan. This included the procurement and testing of hardware, the development of new software applications and test tools and working with partners, both public and private, to encourage testing, development and expansion of the test bed in other states.
- City of Columbus Smart City Development (2016) – Task manager and proposed CCTN technical expert for the City of Columbus Smart City program. Greg, under contract to the City of Columbus, helped to develop the framework for the successful Smart City application to the USDOT. This included the development of the Columbus Connected Transportation Network that integrates connected vehicles with bus rapid transit, pedestrian detection, kiosks and other technologies throughout Columbus to improve mobility and provide opportunities for the citizens of Columbus to more efficiently travel to places of employment, retail centers and health care facilities.

**Professional Experience**

12/2015 – Present: National ITS Practice Consultant



## E CAPACITY AND CAPABILITY

Columbus is Ohio's State Capital, the largest Ohio city, and the fifteenth largest city in the United States. We are the fastest growing metro in the Midwest, the top metro for job growth in the Midwest, and the top metro for wage growth in the United States. In 2015, we were designated the Most Intelligent Community in the World by Intelligent Communities Forum. We are an international economic powerhouse with a gross metropolitan product (GMP) of \$118 billion—an economy larger than 142 countries and 17 states. The Columbus Region is home to 15 Fortune 1000 companies and 4 Fortune 500 companies, including Cardinal Health, American Electric Power, L. Brands, Inc., and Nationwide Mutual Insurance Company. Other companies with a major presence in the region are JP Morgan Chase & Co., Honda of America Mfg., Inc., Alliance Data Systems, Emerson Network Power, and IBM.

### E.1 CAPACITY AND CAPABILITY TO CONDUCT PROJECT

The City of Columbus has more than 8,000 employees, an operating budget of over \$820 million, and a capital budget of more than \$1 billion. Columbus is the only city of its size in the United States to have a AAA bond rating—the highest possible credit rating from Moody's Investors Service, Standard & Poors, and Fitch Ratings. It has held a AAA rating since 1995. Columbus is located in three counties—Franklin, Delaware, and Licking—and both Franklin and Delaware have AAA Bond Ratings as well and are among only 80 out of 3,143 counties nationwide that have AAA bond rating. Our bond rating allows the City to borrow at lower interest rates, saving the City millions of dollars in borrowing costs.

The core of the City's financial stability is the Special Income Tax Fund (SIT). A quarter of every dollar the City receives in income tax goes to the SIT to pay the debt service on its capital investments such as park and roadway improvements, fire stations, and safety equipment. The City income tax rate is 2.5 percent and was raised 0.5 percent in 2009. The permanent income tax increase was approved by 52 percent of Columbus voters because of their faith in the effectiveness of their city government, despite being in the depth of the Great Recession. This tax increase allowed the City to maintain its critical services and make significant infrastructure investments, while cities across the country were forced to make deep cuts. Consequently, the City regained its jobs much quicker than its peers during the recession.

#### Managing Complex Projects

The City of Columbus has a long history of managing large, complex projects with multiple partners and Federal, State, local, private, and grant funding. A few examples include:

- **Scioto Greenways Project.** Completed in 2015, the \$35.5 million project transformed the Scioto Riverfront by removing a low head dam, restoring the natural flow of the river, improving the ecological systems and river habitat, and adding 33 acres of new greenway and 1.5 miles of bike trails. In addition to the City, Mid-Ohio Regional Planning Commission (MORPC), Ohio Department of Environmental Protection, Columbus Downtown Development Corporation, The Columbus Foundation, and Battelle contributed funding.
- **Olentangy-Scioto-Interceptor Augmentation Relief Sewer (OARS) Deep Sewer Tunnel.** The 200-foot deep, 20-foot in diameter, 4.5-mile tunnel will intercept wet weather overflows that currently empty into the Scioto River and carry the flows instead to the City's Jackson Pike and Southerly wastewater treatment plants. Design began in 2008 and construction is set to complete in the summer of 2017. The \$387 million project is the largest capital improvement project in Columbus history.
- **Public Private Partnership (3P) Program.** The City has a robust 3P Program to build infrastructure, funded by a multitude of public and private funding sources, to support economic development projects. Since 2012, the 3P Program has managed 102 (60 active) projects, representing \$284.5 million in public investment while leveraging \$2.58 billion in private investment.

The City of Columbus has an experienced procurement office with defined procedures for managing large capital and technology projects, as well as unique projects that may not fit the usual capital project



definition. The City also has efficient contracting process and performance measures. The Department of Public Service selects consultants within nine days of Request for Proposal (RFP) submittal and contracts within 55 days of selection. Invoices are paid in an average of 32 days.

### Executive Commitment

Sound and stable leadership is a hallmark of Columbus. Mayor Andrew J. Ginther assumed office on January 1 this year after serving nine years on the City Council and five years as its president. Mayor Ginther will provide continuous leadership over the entire Smart City Challenge grant. He continues the steady leadership the City has enjoyed over the past 16 years under former Mayor Michael Coleman, the longest serving mayor in City history. Mayor Ginther has made Smart Columbus one of his highest priorities and has engaged our leading business, political, and community leaders in the effort. He assembled broad bi-partisan support of the community with more than 100 letters of support from local, State, and Federal elected officials, regional governments, business leaders, and non-profits.

Columbus has a long tradition of partnerships and collaboration between business, non-profits, and government. The Mayor and City Council have a great working relationship. The City Council is also made of up seven At-Large Members, which lessens conflicts between different areas of the City that have paralyzed other cities. The City and County regularly partner on major initiatives like CelebrateOne to address infant mortality in our community.

The Mid-Ohio Regional Planning Commission (MORPC), a voluntary association of more than 60 Columbus Region governments, has served as the metropolitan planning organization (MPO) for the region since 1943. The Columbus Chamber of Commerce has been helping Columbus Region businesses succeed since 1884. The Chamber also helps to administer the Columbus Region Logistics Council (CRLC), to promote Columbus as global logistics hub. The Columbus Partnership is a membership-based organization comprised of over 50 CEOs from the City's leading businesses and institutions and also supports Columbus 2020, the leading economic development organization for the Columbus Region.

Smart Columbus will build on a foundation of transformative Columbus projects like the Long Street Bridge and Cultural Wall that have become a national template for how transportation can reconnect neighborhoods. The US Department of Transportation's 2017 budget proposal cover featured the Long Street Bridge, which restored the connection between the King-Lincoln District and downtown as part of the Columbus Crossroads I-70/I-71 improvements.

King-Lincoln is a historically African-American neighborhood that was cut off in the 1960s by the construction of I-71 interstate highway from the City's center and economic opportunity. In his budget message, Secretary Foxx said, "This effort to reconnect and revitalize a community divided by past transportation policies is a compelling example of how transportation can create or eliminate opportunity gaps in our Nation."

### Workforce Capacity

The Columbus Region has the workforce to sustain the Smart Columbus Plan well into the future. The Columbus Region is a center of learning and higher education with more than 138,000 students attending 63 college and university campuses, including The Ohio State University (OSU).

The Smart Columbus Team comprises some of the world's most talented individuals, companies, and organizations. OSU is in the top 20 of all universities in higher education research and development expenditures, and a national leader in Intelligent Transportation Systems (ITS) research and development. Headquartered and founded in Columbus, Battelle Memorial Institute is the world's largest non-profit research and development organization with 22,000 employees at more than 60 locations globally. Battelle and each of the six national laboratories it manages bring excellent facilities and expertise into the electrification of vehicles, autonomous vehicles, and smart grid. IBM's Client Center for Advanced Analytics, based in Columbus, is advancing research, development, client services, and skills training in the areas of analytics, big data, and cognitive computing. The Columbus Region is home to Honda of America Mfg., Inc., Honda R&D Americas, Inc., and the Honda North America corporate headquarters. All partners are providing significant resources and expertise to the Smart Columbus Team.



The Smart Columbus application writing team includes four organizations with significant local ITS talent and national expertise with ITS and Connected Vehicle/Autonomous Vehicle (CV/AV) projects. For example, HNTB is leading the Tampa Hillsborough Expressway Authority (THEA) Connected Vehicle (CV) Pilot Deployment, which will demonstrate multi-modal applications of CV technology in a real-world urban environment. Parsons Brinckerhoff's ITS experience includes the United States Department of Transportation (USDOT) Safety Pilot Model Deployment, Ann Arbor Connected Vehicle Test Environment, and the Michigan Department of Transportation (MDOT) Connected Vehicle Deployment. Battelle's extensive ITS experience includes its current role in the NYC Connected Vehicle Pilot, and CDM Smith is supporting the Illinois Tollway Smart Corridor. CDM Smith and HNTB has AV project experience with Florida DOT.

To foster the next generation of entrepreneurs and innovators, the OSU Center for Innovation and Entrepreneurship facilitates entrepreneurial and innovation-based learning and experiences that will impact the global economy by assisting in new company formation and the development of new products and services within existing businesses. The City's business catalyst, Rev1 Ventures, Inc., has been recognized for offering startup acceleration, business mentoring, seed funding, and capital attraction. In 2014, Rev1 Ventures provided 169 companies with funding or business services and invested in 18 companies, making it one of the most active feed funds in the Midwest. In November, Rev1 Ventures launched VentureNEXT, an annual event that gathers the region's top entrepreneurs, investors, economic developers, and community leaders. And Rev1 Labs, a small business incubator, was ranked the #3 incubator in the world by UBI Global in 2014.

### **Degree of Infrastructure Readiness**

Columbus has state-of-the-art facilities and networks. Columbus has completed construction of a new advanced Traffic Management Center (TMC), and construction is presently underway with the City's multi-year, \$76 million investment in the Columbus Traffic Signal System (CTSS) project. When completed in 2018, 565 miles of fiber will link the Columbus TMC to all 1,250 signalized Columbus intersections and utilize new weather-tracking software and sensors to relay pavement conditions while a global positioning system (GPS) system provides real-time information about where snowplows are operating. The CTSS is the backbone of the Smart Columbus Plan's Columbus Connected Transportation Network (CCTN). Most importantly, the CTSS project and the new Columbus TMC will provide traffic management coordination between Columbus, 12 regional communities, Franklin County, OSU, and the Ohio Department of Transportation (ODOT). The Columbus TMC consolidates traffic signal, special event traffic, and snow removal operation command into a centralized location for the Columbus Region. Columbus is also home to ODOT TMC, which monitors traffic conditions in each of the major metropolitan areas of the state and is linked to city infrastructure through a robust network of sensors, cameras, and communication technologies.

The Central Ohio Transit Authority's (COTA) CMAX Cleveland Avenue Bus Rapid Transit (BRT) project will connect the City's second busiest transit route from the northern boundary of Columbus to downtown. The 15.6-mile project is estimated to begin construction in 2016 and begin service in January 2018. The over \$48 million project was made possible through more than \$37 million in New Starts program funding from the Federal Transit Administration (FTA).

The City of Columbus was among the first to establish a formal Bikeway Advisory Committee (1993) and was an early leader in Safe Routes to School with our Walk Smart School Program (1998). Columbus also just hosted the 2016 Safe Routes to School National Conference. The city has continued to advance mobility, adopting a robust complete street policy and fully embracing the sharing economy. CoGo, Columbus' bikesharing system, launched July 30, 2013 with 30 stations and has grown to its present 410 bicycles and 41 stations across the City serving over 120,000 trips and counting. In the same year, Car2Go launched in Columbus with 300 point-to-point shared cars – one of only nine US cities to enjoy the service – which complements the rent-and-return car share service Zipcar. Newly passed statewide legislation governing ride-sharing operations has been praised by Uber and other Transportation Networking Companies (TNCs) for providing a fair, consistent, predictable and welcoming foundation.



## Data and Performance Management Capabilities

The City of Columbus maintains information and communication technology (ICT) data from across the City made available to the public in the MyColumbus app. Winner of the 2014 Consumerization of IT in the Enterprise (CITE) Award for Best Customer App and the 2013 ComputerWorld Honors Laureate Award, the app puts the City at residents' fingertips, providing enhanced access to city and community resources including COTA bus schedules, Columbus capital project information, 311 service request submission, viewing, and more. The hybrid app promotes personal and environmental health by providing information about parks, local sporting events, and pointers for environmentally sustainable behavior.

Combining walking and art, the app includes an Art Walk feature that provides various walking routes, which highlight city artwork, monuments, and historical sites along the way with visual, text, and audio descriptions. The MyColumbus app, in addition to "pushing" a large variety of information to users via a data bus, "pulls" information as well through an interactive feedback loop. With over 25,000 downloads, the MyColumbus app offers push notifications to remind residents of trash/recycling/yard waste collection days, which due to the vast size of the collection area rolls to a different day each time there's a holiday.

The app offers live streaming of the CTV Columbus Television station keeping residents up to date on city activities. Additionally, users can view live traffic camera feeds and construction information.

To make data accessible and user-friendly to the public, Columbus maintains a robust data visualization program called MyNeighborhood, which aggregates the data from a variety of city agencies and public sources (roughly 50 in total) into a user-friendly format, synched with the MyColumbus app via common APIs. The app is tightly integrated with the City's website, [www.columbus.gov](http://www.columbus.gov), and its content management system allowing the content entered to be reused across multiple forums, like MyColumbus. For example, News and Alerts are entered via the content management system and displayed on the departmental home page, the City home page, and the MyColumbus app eliminating any duplicate entry and increasing the opportunities to filter the message out to constituents.

Further, the City's comprehensive and award-winning global information systems (GIS) datasets and applications are also available to city departments and residents from [City's GIS Portal](#), as well as the [Open Data Portal](#).

In addition, MORPC maintains a publically available online data center, with access to zoning, aerials, traffic counts, GIS layers, and population estimates. DataSource 2.0 is a regional data visualization tool developed by MORPC, which allows users to create maps, charts, and other visualizations free of charge using a variety of data and geographic areas from the Columbus region. MORPC is creating a web-based Regional Data Lab to create a branded, single point of entry to access credible data about the Columbus Region for empowering business decisions, completing grant applications, conducting research, promoting entrepreneurship, and marketing the Columbus Region.

COTA maintains a public website at [www.cota.com/data](http://www.cota.com/data) with General Transit Feed Specification (GTFS) and GIS files available to the public for download. The agency launched real-time bus arrival through a mobile application in May 2016. Finally, for over 20 years Columbus, ODOT, MORPC, and the Federal Highway Administration (FHWA) have collaborated on Paving the Way, the Columbus region's one-stop source for road construction and roadway safety information.

## E.2 SUSTAINABILITY PLAN

We are committed to fund the Smart Columbus Program well beyond the period of performance of the USDOT application. The Smart Columbus Program Office is only a subset of the wider Smart City vision. The Smart Columbus Team will establish a Smart Columbus non-profit to support the City's vision:

- To be the nation's epicenter for ITS (CV and AV) research, development, and implementation.
- To be synonymous for ITS in the same way Silicon Valley is synonymous for information technology.
- To create opportunities for economic development and job creation and to provide ladders of opportunity for our residents to better access jobs, fresh food, services, education, and recreation.
- To show the world a practical path to implementing ITS and reducing greenhouse gas emissions.
- To address major community challenges such as the CelebrateOne effort to address infant mortality.



Much like the Smart Columbus Program Office, the Smart Columbus Board of Trustees (The Board) is composed of some of the world’s most talented individuals, companies, and organizations. The Board will provide the Program Office the resources and guidance needed to implement the projects and initiatives in the Smart Columbus Plan for the Smart City Challenge.

**Smart Columbus \$140 Million Program**

The Board will create an Acceleration Fund that will nearly triple the USDOT and Vulcan grant funding for a Program total of \$140 million. We will use this funding to accelerate specific projects as well as sustain the Smart Columbus Program Office and its smart city technology deployment, scale-up activities within the Columbus region, and transfer of our project results with other mid-sized cities via our Mid-Sized City Forum. Table E-1 summarizes the make-up of the Acceleration Fund. The Acceleration Fund has three components: program funds, cash, and research funds. We will use the program (in-kind) funds to implement specific projects in the Smart Columbus Program pertaining to those organizations, as highlighted for USDOT projects. We will use the matching cash to support Smart Columbus projects or capitalize on new opportunities for investment. However, the Columbus Partnership fund (\$10M) will be used for sustaining the program beyond the grant’s period of performance. The matching research funds will fund specific projects in the Smart Columbus Program or future areas of innovation research. Throughout the period of performance and in the years beyond, the City and its partners will invest additional capital funds, identify grants, and raise additional private funding to support the Smart Columbus Program Office and the Board.

Table E-1. Smart Columbus Acceleration Fund.

Organization	Grants	Acceleration Fund		
		Program <sup>1</sup>	Cash	Research <sup>1</sup>
US Department of Transportation	\$40,000,000			
Vulcan Foundation	\$10,000,000			
City of Columbus		\$2,500,000	\$8,000,000	
Franklin County, Ohio		\$3,000,000	\$1,000,000	
American Electric Power		\$22,100,000		\$700,000
The Ohio State University		\$5,000,000	\$2,000,000	\$8,000,000
Columbus Partnership		\$7,500,000	\$10,000,000	\$5,000,000
Ohio Department of Transportation		\$7,000,000		
Battelle		\$1,000,000		
Greater Columbus Arts Council			\$1,000,000	
Experience Columbus			\$100,000	
Mid-Ohio Regional Planning Commission			\$600,000	
Honda of America		\$2,600,000		
Vendors		\$3,597,200 <sup>2</sup>		
<b>Total</b>	<b>\$50,000,000</b>	<b>\$54,297,200</b>	<b>\$22,700,000</b>	<b>\$13,700,000</b>
		<b>Total Acceleration Fund: \$90,697,200</b>		
<b>Notes</b>		<b>Total Program Fund \$140,697,200</b>		
USDOT		Vulcan		Sustainment
<sup>1</sup> In-kind cost estimate supporting specific projects in USDOT and Vulcan plans. <sup>2</sup> In-kind vendor support for USDOT-specific projects: HERE \$1,000,000, Peloton \$165,000, SPARC \$388,200, Mass Factory \$40,000, INRIX \$1,424,000, and Econolite \$280,000. In-kind vendor support for Vulcan-specific projects: FleetCarma \$300,000.				

The Board and Acceleration Fund are critical factors in proposing a real solution for sustaining the Smart Columbus Plan and vision.



The Board is also committed to the following functions:

- Complete the Columbus Connected Transportation Network (CCTN).
- Build and market Smart Columbus as a global leader in ITS and innovation.
- Share the Smart Columbus Plan success with the world.
- Retain, support, and attract innovative companies and organizations in Columbus.
- Use innovation to address social challenges and support ladders of opportunity for our residents.
- Encourage individuals, companies, governments, and organizations to invest in electrification or other alternative fuels for transportation.
- Encourage individuals, companies, governments, and organizations to invest in sustainable energy upgrades to private infrastructure, facilities, buildings, and homes.

**Complete Columbus Connected Transportation Network (CCTN).** The USDOT application will partially fund the CCTN. The City of Columbus and MORPC, with other government partners, will complete the CCTN over the next 6-8 years. This completed CCTN project will be the first connected vehicle network for an entire region in the United States. Having a completed connected vehicle network will be valuable for future deployment and understanding of the full potential for the connected vehicle technologies.

**Build and Market Smart Columbus as a Global Leader in ITS and Innovation.** The Board will invest resources for marketing, advertising, building relationships, and travel for key conferences/events for Smart Columbus Team members to present the Smart Columbus Vision.

**Share Smart Columbus Success with the World.** Through our Mid-Sized City Sized Forum, the Smart Columbus Team will be sharing its successes and challenges. The Smart Columbus Team will also leverage its existing relationships with Barcelona, Montreal, and the existing Sister Cities Relationships the City has with Curitiba, Brazil; Accra, Ghana; Seville, Spain; Genoa, Italy; Odense, Denmark; Ahmedabad, India; Tainan City, Republic of China; and Hefei, People's Republic of China, to spread its vision around the globe. The Smart Columbus Team will invest the resources to develop new partnerships across the globe.

**Retain, Support, and Attract Innovative Companies and Organizations in Columbus.** The Smart Columbus Team will work with JobsOhio, Columbus 2020, Rev1 Ventures, Inc., and others to connect to innovative companies build relationships to support economic development and job creation.

**Use Innovation to Address Social Challenges and Support Ladders of Opportunity for Our Residents.** The Smart Columbus Team will incorporate the plan used in the Residential District of Linden to improve mobility and ladders of opportunity and scale it to other challenged neighborhoods in Columbus. The Smart Columbus Team will also research how other cities are using innovation to address social challenges.

**Encourage Individuals, Companies, Governments, and Organizations to Invest in Sustainable Energy Upgrades to Private Infrastructure, Facilities, Buildings, and Homes.** The Smart Columbus Team will work with MORPC and others to use new innovative tools like the Energy Special Improvement District (ESID), a Property-Assessed Clean Energy (PACE) program that is a non-contiguous geographical area made up of only those properties that have chosen to use PACE financing. The voluntary program helps to finance energy efficiency upgrades for existing commercial and industrial buildings.